

**Item 1 – Cover Page**

**Form ADV Part 2B  
Brochure Supplement  
September 17, 2021**

**Investment Committee**

[Brent R. Brodeski, CPA, CFP®, CFA®](#)  
[Gina M. Beall, CIMA®](#)  
[Kevin M. Hrdlicka, CFP®, CFA®](#)  
[Philip R. Huber, CFA®, CFP®](#)  
[Grant W. Moore, CFP®, MPAS®, CRPC®, AIF®, CRPS®](#)  
[Anthony R. Spangenberg, AWMA®, CFP®, CFA®](#)  
[Chris A. Walters](#)

**Investment Advisors**

[Allison A. Alexander, CPA, CFP®, CDFA®](#)  
[Martin J. Armbruster, CPA/PFS, CFP®](#)  
[Matthew D. Armstrong, CFP®, CRPS®, AIF®](#)  
[Sobhi Baghdadi, CFP®](#)  
[Cory R. Barel](#)  
[Tracy S. Beard, CFP®, AIF®](#)  
[Richard P. Bender, LUTCF, ChFC®, CLU®, CRPS®, CFP®](#)  
[Richard A. Bennett, AIF®, CFP®](#)  
[Wendy M. Blair, CFP®, ChFC®, AIF®](#)  
[Richard H. Brown, Jr., CFP®](#)  
[U. Calvin Brown, Jr., MST](#)  
[Cory D. Buehler, CFP®, CRPS®, CPFA](#)  
[Christopher M. Carlson, CFP®](#)  
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[Robert T. Conrardy, CFA®](#)  
[Brian P. Conroy, CFP®, AIF®, CRPS®](#)  
[Philip E. Corcoran, CFP®](#)  
[Edward H. Cruickshank, CFP®](#)  
[Stephen D. Cummings, Jr., ChFC®](#)  
[Joel R. Cundick, CFP®, APMA®, AIF®](#)  
[Daryl R. Dagit, CFP®, CRPS®, CEP®](#)  
[Danielle M. Dahn, CFP®](#)  
[Gregory S. De Jong, CFP®](#)  
[Nicholas J. De Jong, CPA, CFP®](#)

[Scott F. Demler, CFP®, CRPS®](#)  
[Michael R. Denten](#)  
[Joseph Peter Doyle, Jr., CPA/PFS, CFP®](#)  
[Kimberly S. Drake, CFA®](#)  
[Donald D. Duncan, CPA/PFS, CFA®, CSEP, CFP®](#)  
[Teryn A. Fitzgerald, CFP®, CTFA](#)  
[Adam J. Glassberg, CFP®, CIMA®](#)  
[Gabriel Gonzalez, CRPC®, CFP®](#)  
[Drake R. Grindle, MAS, CPA, CFP®, CEPA](#)  
[William V. Gunlicks, CFP®](#)  
[Theresa A. Harezlak, CFP® CDFA®](#)  
[Daniel E. Holdridge, CFP®](#)  
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[Jeremy S. Joseph, CFP®](#)  
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[Gerard S. Korabik, AIFA®, CFP®, CRPS®](#)  
[Paulina M. Ksiazek, CFP®](#)  
[Scott K. Laue, JD, CFP®](#)  
[Jeffrey R. Lewis, CFP®, ChFC®](#)  
[Brent A. Lindell, CTFA, CFP®, AIF®, CRPS®](#)  
[Jakob C. Loescher, CRPC®, CFP®, ChFC®, AIF®, CRPS®](#)  
[Stephen C. Lorence, CFP®](#)  
[Ryan H. Marietta, MBA, CFP®](#)  
[David H. McCormick-Goodhart, CPA/PFS, CFP®, CRPS®, AIF®](#)  
[Andrew J. McCorry](#)  
[Kevin T. McFadden, CFP®, LUTCF](#)  
[Sarah E. McGinniss, CFP®, CRPS®](#)  
[Ryan G. Monette, CFP®, ChFC®](#)  
[Robert E. Morrison, CFP®](#)  
[Douglas R. Morton, III, CFP®](#)  
[Elizabeth N. Muldowney, CRPC®, CFP®, BFA™](#)

[Martin F. Perdoux, CFP®](#)  
[Janet E. Petran, CPA, CFP®](#)  
[Kevin J. Phillips, CFP®](#)  
[Andrew M. Pise!](#)  
[Christopher R. Plagge, CPA, EA](#)  
[Ambari Prakash Pinto, JD, CFP®](#)  
[Thomas J. Ptacin, CFP®, AIF®, CRPS®](#)  
[Brian T. Rykovich, CFP®](#)  
[Christopher N. Ruedi, RICP®, CFP®](#)  
[Lorene K. Shaw, CPA, CFP®, CDFA®](#)  
[Justin D. Smith, CFP®, CFA®, CAP®](#)  
[Bradley R. Stewart, CFP®](#)  
[Rebecca J. Stroud, CFA®, AIF®](#)  
[Wayne B. Titus III, CPA/PFS, AIFA®](#)  
[Joseph "JT" Trimble, CFP®](#)  
[Stephanie L. Willison, CFP®, AIF®, CPA/PFS](#)  
[Scott M. Wintersteen, CPA, CFA®](#)  
[Robert J. Witt, CFP®, RICP®](#)  
[Maureen D. Wright, CFP®](#)

**Financial Planning, Retirement Plan Services, Wealth Transfer and Investment Research**

[Derek A. Carter, CFP®](#)  
[Daniel B. Crowley, CFP®, CFA®](#)  
[Michael T. Cyr, JD, CFP®](#)  
[Jonathan J. Fuller](#)  
[Tawn M. Jacobs, CPA/PFS, CFP®](#)  
[Jessica L. Knudsen, CFP®, CSRP®, MPAS®](#)  
[Mary T. Lynch, CFP®](#)  
[Dominick J. Parillo, CFP®](#)  
[Kelli M. Peterson, CPA, CFP®, ATA](#)  
[Eamon M. Verdone, CFP®, CFA®, CAIA®](#)

All supervised persons can be reached at the address and telephone listed above.

Please refer to the descriptions of professional designations listed at the end of this document; [Appendix A](#).

This brochure supplement provides information about our supervised persons that supplements the Savant Wealth Management brochure. You should have received a copy of that brochure. Please contact us at [info@savantwealth.com](mailto:info@savantwealth.com) if you did not receive Savant Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about our supervised persons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Brent R. Brodeski, CPA, CFP®, CFA®**

*Brent R. Brodeski (b. 1967) is a founder and the Chief Executive Officer and Chief Advisory Officer, a Financial Advisor and has a membership interest in Savant. He is the Chairman of Savant's Board of Managers, a member of the Executive Team and Investment Committee. Brent has been involved in the financial services industry since 1988 and taught investment and finance courses at Rock Valley College, Rockford University, and Northern Illinois University.*

**Item 2 – Educational Background and Business Experience**

- Northern Illinois University, DeKalb, IL, M.B.A., Finance & Accounting emphasis, 1991
- Northern Illinois University, DeKalb, IL, B.S., Finance; minor in Economics, 1988
- Leading Professional Services Firm's Seminar, 2011
- Harvard Business School/YPO President's Seminars, Harvard Business School, Boston, MA, 2012, 2013, 2015, 2017
- Chartered Financial Analyst® (CFA®) 1993
- Certified Public Accountant (CPA) 1992
- CERTIFIED FINANCIAL PLANNER™ professional 1992
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 12/1992–01/2012
- Registered Representative/Financial Principal, Bates Securities, Inc., 05/1989–09/1992
- Financial Advisor, George E. Bates & Associates, Inc., 05/1989–09/1992
- Agent, Franklin Life Insurance Company, 05/1988–04/1989

**Item 3 – Disciplinary Information**

Mr. Brodeski does not have any disciplinary action to report. Public information concerning Mr. Brodeski's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Brodeski is the owner of SCMI, Inc., which is an owner of Savant Capital, LLC.

**Item 5 – Additional Compensation**

Mr. Brodeski is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Gina M. Beall, CIMA®**

*Gina M. Beall (b. 1969) is Director of Investment Research, chairs the Investment Committee, and has a membership interest in Savant. She supervises the investment research analysts and is responsible for contributing to the management of Savant's investment strategy, conducting portfolio analysis, and managing investment processes for Savant's clients. Gina has authored various articles, papers, and commentary that appear in Savant's newsletters and website.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., DePaul University, Chicago, IL, 1998
- B.B.A. Finance, University of San Diego, San Diego, CA, 1991
- Certified Investment Management Analyst<sup>SM</sup> (CIMA®), 2011
- Director of Investment Research, Savant Wealth Management, 07/2020-Present
- Director of Investment Research, Savant Capital Management, 03/2020-07/2020
- Manager of Investment Research, Savant Capital Management, 03/2017–03/2020
- Investment Research Analyst, Savant Capital Management, 01/2012–02/2017
- Investment Research Analyst, Savant Capital Management, Inc., 04/2010–01/2012
- Investment Strategist, AMCORE, 01/2006–04/2010
- Consultant, Ennis Knupp & Associates, 06/2005–01/2006
- Consultant, Ennis Knupp & Associates, 08/1998–02/2004
- Private Client Services Associate, Morgan Stanley & Co., Inc., 10/1993–08/1998
- Account Executive, San Diego Trust Securities, 02/1992–08/1993
- Client Sales Assistant, Shearson Lehman Brothers, 07/1991–02/1992
- Customer Service, Bank of America, 07/1989–07/1991

### **Item 3 – Disciplinary Information**

Ms. Beall does not have any disciplinary action to report. Public information concerning Ms. Beall's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Beall does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Beall is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Kevin M. Hrdlicka, CFP®, CFA®**

*Kevin M. Hrdlicka (b. 1986) is the Chief Operating Officer, is a member of the Executive Team, and has a membership interest in Savant. He is also secretary of the Investment Committee. Kevin oversees the investment research, portfolio accounting, trading, and compliance departments. He is responsible for portfolio management, contributing to Savant's investment strategy, and managing investment processes for clients. He oversees the content that appears in Savant's newsletters and manages the relationships for all of Savant's custodian partners.*

**Item 2 – Educational Background and Business Experience**

- B.S., Business Administration, emphasis in Finance, minor in Economics, Truman State University, Kirksville, MO, 2008
- Chartered Financial Analyst® (CFA®), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Chief Operating Officer, Savant Wealth Management, 07/2020-Present
- Chief Operating Officer, Savant Management, 05/2020–07/2020
- Chief Operating Officer & Chief Compliance Officer, Savant Capital Management, 03/2019–05/2020
- Chief Operating Officer, Savant Capital Management, 01/2018–02/2019
- Director of Investments, Savant Capital Management, 03/2017–12/2017
- Manager of Investment Research and Trading, Savant Capital Management, 05/2016–02/2017
- Manager of Investment Services, Savant Capital Management, 03/2015–05/2016
- Manager of Trading Services, Savant Capital Management, 07/2013–02/2015
- Investment Research Analyst, Savant Capital Management, 01/2012–07/2013
- Investment Research Analyst, Savant Capital Management, Inc., 02/2011–12/2011
- Portfolio Management Systems Administrator, Savant Capital Management, Inc., 05/2008–02/2011
- Intern, Savant Capital Management, Inc., 05/2007–08/2007

**Item 3 – Disciplinary Information**

Mr. Hrdlicka does not have any disciplinary action to report. Public information concerning Mr. Hrdlicka's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Hrdlicka does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Hrdlicka is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Philip R. Huber, CFA®, CFP®

*Philip R. Huber (b. 1985) is the Chief Investment Officer located in the Savant Lincolnshire, IL office. He is a member of the Investment Team, Savant's Investment Committee, and has a membership interest in Savant. He is responsible for portfolio management, contributing to Savant's investment strategy, and managing investment processes for clients. He takes an active role in writing straightforward commentary to inform clients and explain the firm's investment philosophy.*

### Item 2 – Educational Background and Business Experience

- B.S., Business, Major in Finance, Kelley School of Business, Indiana University, Bloomington, IN, 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Chartered Financial Analyst® (CFA®), 2010
- Chief Investment Officer, Savant Wealth Management, 02/2020–Present
- Chief Investment Officer, Huber Financial Advisors, LLC, 05/2015–04/2020
- Senior Portfolio Manager/Wealth Manager, Huber Financial Advisors, LLC, 07/2013-04/2015
- President, Huber Financial Group, Ltd., 06/2008-07/2013

### Item 3 – Disciplinary Information

Mr. Huber does not have any disciplinary action to report. Public information concerning Mr. Huber's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Huber has no other business activities.

### Item 5 – Additional Compensation

Mr. Huber is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Grant W. Moore, MS, MPAS®, CFP®, CRPS®, CRPC®, AIF®**

*Grant W. Moore (b. 1984) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Investment Committee and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., Marquette University, Milwaukee, WI, 2010
- B.S. Business Administration, Marquette University, Milwaukee, WI, 2006
- Master Planner Advanced Studies<sup>SM</sup> (MPAS®), 2019
- Master of Science Degree, Personal Financial Planning (MS), 2019
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Accredited Investment Fiduciary (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2008
- Chartered Retirement Planning Counselor (CRPC®), 2008
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2010–01/2012
- Financial Planner, Savant Capital Management, Inc., 02/2008–02/2010
- Paraplanner, Savant Capital Management, Inc., 05/2006–02/2008

**Item 3 – Disciplinary Information**

Mr. Moore does not have any disciplinary action to report. Public information concerning Mr. Moore's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Moore does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Moore is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Anthony R. Spangenberg, AWMA®, CFP®, CFA®**

*Anthony (Tony) R. Spangenberg (b. 1982) is a Financial Advisor in Savant's Wilmette, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Investment Committee and Compliance Committee and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.A., Philosophy, Northern Illinois University, DeKalb, IL, 2005
- Chartered Financial Analyst® (CFA®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Accredited Wealth Management Advisor<sup>SM</sup> (AWMA®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 09/2016–07/2020
- VP-Financial Consultant, Charles Schwab & Co., Inc., 3/2012–09/2016
- Investment Consultant, TD Ameritrade, Inc., 12/2008–03/2012
- Financial Advisor, Edward Jones, 11/2007–11/2008

**Item 3 – Disciplinary Information**

Mr. Spangenberg does not have any disciplinary action to report. Public information concerning Mr. Spangenberg's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Spangenberg has no other business activities.

**Item 5 – Additional Compensation**

Mr. Spangenberg is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Chris A. Walters

*Chris A. Walters (b. 1963) is the Chief Growth & Practice Officer in Savant's Rockford, IL office, is a member of the Advisory Team and has a membership interest in Savant. He is a member of the Investment Committee and has oversight responsibility for Savant's advisory business in its Midwest markets outside of the Chicago metropolitan area.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Economics, California State University, Long Beach, CA
- Chief Growth & Practice Officer, Savant Wealth Management, 02/2021-Present
- Midwest Advisory & Development Manager, Savant Wealth Management, 07/2020-02/2021
- Midwest Advisory & Development Manager, Savant Capital Management, 09/2019-07/2020
- Principal, Lighthouse Advisory, 08/2017-Present
- IAR, Clarity Capital Partners, 07/2019-09/2019
- Managing Director, Genspring Family Offices, 06/2015-08/2017
- Executive Vice President, Rabobank, N.A., 11/2013-05/2015
- Registered Rep, LPL Financial, 07/2011-07/2012
- UVEST Financial Services Group, Inc, 10/2008-07/2012
- Executive Vice President, Citizens Business Bank, 06/2007-07/2012

### **Item 3 – Disciplinary Information**

Mr. Walters does not have any disciplinary action to report. Public information concerning Mr. Walter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Walters is currently engaged in an outside business activity with Lighthouse Advisory where he provides non-investment related consulting to ultra-high net worth families. No time is dedicated to this business during normal business hours.

### **Item 5 – Additional Compensation**

Mr. Walters is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



**Allison A. Alexander, CPA, CFP®, CDFA®**

*Allison Alexander (b. 1963) a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. She is a founding member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Allison is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Accounting, Indiana University, Kelley School of Business, Bloomington, IN, 1985
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Certified Divorce Financial Analyst® (CDFA®), 2007
- Certified Public Accountant (CPA), 1986
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2015–07/2020
- Financial Consultant, McMurr I & McMurr II, 11/2014–02/2015
- Financial Consultant, Allison A. Alexander, CPA, 01/2008–12/2010
- Accountant, Todd D. Alexander, MDSC, 07/1996–04/2006
- Accounting Manager, BCS Financial Corporation, 07/1991–02/1995
- Certified Public Accountant, PWC, 01/1989–06/1991
- Internal Auditor, Washington University-St. Louis, 01/1987–12/1988
- Certified Public Accountant, KPMG, 07/1985–01/1987

**Item 3 – Disciplinary Information**

Ms. Alexander does not have any disciplinary action to report. Public information concerning Ms. Alexander's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Alexander does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Alexander is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Martin J. Armbruster, CPA/PFS, CFP®

*Martin J. Armbruster (b. 1953) is a Managing Director – Savant Indiana and Financial Advisor in Savant’s Greenwood, IN office, is a member of the Advisory Team, serves as managing director for the Indiana market, and has a membership interest in Savant. Marty is responsible for managing all aspects of the financial planning and investment process for Savant’s clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.B.A., emphasis Accounting, University of Notre Dame, IN, 1975
- Personal Financial Specialist (PFS), 1995
- CERTIFIED FINANCIAL PLANNER™ professional, 1982
- Certified Public Accountant (CPA), 1978
- Financial Advisor, Savant Wealth Management, 09/2021-Present
- President/Investment Advisor, Treybourne Wealth Planners (fka Sherman & Armbruster), 12/1983–Present
- Partner, Sherman & Armbruster LLP, 12/1983-12/2018

### Item 3 – Disciplinary Information

Mr. Armbruster does not have any disciplinary action to report. Public information concerning Mr. Armbruster 's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of Treybourne Wealth Planners, a Registered Investment Advisor, on 8/31/2021. Mr. Armbruster is the President for Treybourne Wealth Planners during the transition period. At that time, the registration for Treybourne Wealth Planners will be withdrawn. Mr. Armbruster does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Armbruster is an equity owner of the firm and receives a share of the firm’s profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person’s advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Matthew D. Armstrong, CFP®, CRPS®, AIF®**

*Matthew Armstrong (b. 1980) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Matt routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.A., Business Administration, emphasis Marketing, Augsburg College, Minneapolis, MN, 2002
- Accredited Investment Fiduciary® (AIF®), 2018
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Marketing Coordinator, Savant Capital Management, Inc., 05/2005–07/2011
- Consultant, Savant Capital Management, Inc., 02/2005–05/2005
- Marketing Director, Forest Hills Country Club, 08/2002–10/2004

**Item 3 – Disciplinary Information**

Mr. Armstrong does not have any disciplinary action to report. Public information concerning Mr. Armstrong's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Armstrong does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Armstrong is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Sobhi Baghdadi, CFP®

*Sobhi Baghdadi (b. 1986) is a Financial Advisor in Savant's St. Charles, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A., Rockford University, Rockford, IL, 2015
- B.S.B.A., American University of Beirut, Lebanon, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2020-07/2020
- Financial Planner, Savant Capital Management, 03/2017–12/2019
- Financial Advisor Associate, Bates Financial Group, 09/2015–08/2016

### Item 3 – Disciplinary Information

Mr. Baghdadi does not have any disciplinary action to report. Public information concerning Mr. Baghdadi's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Baghdadi conducts pro-bono financial planning to cancer patients as a volunteer for the Family Reach Foundation.

### Item 5 – Additional Compensation

Mr. Baghdadi is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Cory R. Barel

*Cory R. Barel (b. 1998) is an Associate Advisor in Savant's Rockford, IL office. He has been involved in the financial services industry since 2019, when he joined Savant as a wealth strategy intern in the wealth transfer and financial planning departments. Cory earned a bachelor's degree in economics with a double minor in finance and entrepreneurship from Tufts University in Boston. During college he served on the Portfolio Committee for the largest finance club on campus, Tufts Financial Group, which managed over \$200,000 in real assets.*

### Item 2 – Educational Background and Business Experience

- B.S. Economics, emphasis in Finance & Entrepreneurship, Tufts University, Boston, MA, 2020
- Associate Advisor, Savant Wealth Management, 08/2021-Present
- Advisor Training Program Associate, Savant Wealth Management, 08/2020-08/2021
- Intern, Savant Capital Management, 06/2019-08/2019

### Item 3 – Disciplinary Information

Mr. Barel does not have any disciplinary action to report. Public information concerning Mr. Barel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Barel does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Barel is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Tracy S. Beard, CFP®, AIF®**

*Tracy S. Beard (b. 1973) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Tracy routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.S.F.S., Financial Services, American College, Bryn Mawr, PA, 2012
- B.S., summa cum laude, Economics/Finance, Rockford College, Rockford, IL, 1997
- Accredited Investment Fiduciary® (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2002
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2002–01/2012
- Financial Planner, Savant Capital Management, Inc., 07/2001–03/2002
- Account Executive, Spectrum Insurance Agency, Inc., 02/1998–07/2001
- Sales Representative, Benchmark Health Insurance Company, 09/1996–02/1998

**Item 3 – Disciplinary Information**

Mr. Beard does not have any disciplinary action to report. Public information concerning Mr. Beard's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Beard does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Beard is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Richard P. Bender, LUTCF, ChFC®, CLU®, CRPS®, CFP®**

*Richard P. Bender (b. 1968) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Rick regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S. Physical Education Sports Management, Minor in Business
- Administration, University of Wisconsin, La Crosse WI, 1990
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Chartered Financial Consultant® (ChFC®), 2011
- Chartered Life Underwriter (CLU®), 2001
- Life Underwriter Training Council Fellow (LUTCF), 1994
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 11/2012–07/2020
- Consumer Product Specialist, Sentry Insurance, 08/2006–09/2012
- Registered Representative, Mass Mutual/Ferguson Financial, 05/2006–08/2006
- Registered Representative, MML Investors Services, Inc., 05/2006–08/2006
- Business Development Institutional Trust, US Bank, 12/1999–01/2006

**Item 3 – Disciplinary Information**

Mr. Bender does not have any disciplinary action to report. Public information concerning Mr. Bender's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Bender does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Bender is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Richard A. Bennett, AIF®, CFP®**

*Richard A. Bennett (b. 1963) is Executive Vice President of Savant, is a Financial Advisor in Savant's Rockford, IL and Freeport, IL offices, and has a membership interest in Savant. He serves on Savant's Executive Team, Board of Directors, Investment Committee, and Advisory Council, an internal panel that provides input on ways to improve the client experience. In addition, Dick is a mentor and coach to the other members of the Advisory Team.*

### **Item 2 – Educational Background and Business Experience**

- ABA National Graduate Trust School, 1989
- ABA National Trust School, 1987
- McKay Barlow Company Retirement Training Program, 1986
- B.S., Business Administration, Eastern Illinois University, 1985
- Accredited Investment Fiduciary® (AIF®), 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2004
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 06/1998–01/2012
- Senior Vice President and Trust Officer, Mercantile Bank, 03/1991–06/1998
- Assistant Vice President and Trust Officer
- First American Bank, 11/1989–03/1991
- Trust Officer, Palmetto Bank, 09/1988–11/1989
- Premier Trust Services, 05/1985–09/1988

### **Item 3 – Disciplinary Information**

Mr. Bennett does not have any disciplinary action to report. Public information concerning Mr. Bennett's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Bennett does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Bennett is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Wendy M. Blair, CFP®, ChFC®, AIF®

*Wendy M. Blair (b. 1959) is a Financial Advisor in Savant's Freeport, IL office, is a member of the Advisory Team, serves as market manager for the Freeport market, and has a membership interest in Savant. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Wendy routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- ABA National Graduate Trust School, 1988
- ABA National Trust School, 1986
- B.S., Marketing, Northern Illinois University, Dekalb, IL, 1981
- A.S., General Business, Highland Community College, Freeport, IL, 1979
- Accredited Investment Fiduciary® (AIF®), 2012
- Chartered Financial Consultant® (ChFC®), 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2008
- Financial Advisor /Market Manager, Savant Wealth Management, 07/2020-Present
- Financial Advisor /Market Manager, Savant Capital Management, 12/2017–07/2020
- Financial Advisor, Savant Capital Management, 01/2012–12/2017
- Financial Advisor, Savant Capital Management, Inc., 11/2006–01/2012
- Vice President, Relationship Manager, Trust Officer, US Bank NA, 06/1989–11/2006
- Trust Officer, Merchants National Bank, 06/1987–06/1989
- Assistant to President, Trust Officer, Citizens State Bank, 03/1983–06/1987

### Item 3 – Disciplinary Information

Ms. Blair does not have any disciplinary action to report. Public information concerning Ms. Blair's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Blair does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Blair is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Richard H. Brown, Jr., CFP®

*Richard H. Brown, Jr. (b. 1985) is a Financial Advisor in Savant's Downers Grove, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Rick regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Business, Calvin College, Grand Rapids, MI, 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2018–07/2020
- HELM Specialist, Reverse Mortgage Funding, LLC, 01/2017–02/2018
- External Wholesaler, Inland Securities Corporation, 08/2008–10/2016
- Registered Representative, Ausdal Financial Partners, INC, 06/2008–08/2008
- Wealth Management Intern, Merrill Lynch, 02/2008–04/2008

### Item 3 – Disciplinary Information

Mr. Brown does not have any disciplinary action to report. Public information concerning Mr. Brown's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Brown does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Brown is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## U. Calvin Brown, Jr., MST

*U. Calvin Brown, Jr. (b. 1953) is a Financial Advisor in Savant's McLean, VA office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Cal routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.S.T., Taxation, American University, Washington, DC, 2007
- B.S., Business Administration, University of Arkansas, Fayetteville, AR, 1975
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2015–07/2020
- Financial Advisor and Market Manager, Savant Capital Management, 06/2012–10/2015
- Vice President, Planning, The Monitor Group, Inc., 10/2000–06/2012
- Financial Planner, Washington Square Securities, Inc., 04/1993–10/2000
- Financial Planner, Udell Calvin Brown Jr., 05/1998–10/2000

### Item 3 – Disciplinary Information

Mr. Brown does not have any disciplinary action to report. Public information concerning Mr. Brown's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Brown does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Brown is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Cory D. Buehler, CPFA, CFP®, CRPS®**

*Cory D. Buehler (b. 1992) is a Financial Advisor in Savant's Chicago (Wabash), IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Cory routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Personal Financial Planning, Lindenwood University, St. Charles, MO, 2013
- Certified Plan Fiduciary Advisor (CPFA), 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2016
- Financial Advisor, Savant Wealth Management, 05/2021-Present
- Retirement Plan Advisor, Buckingham Strategic Wealth LLC, 01/2019-05/2021
- Retirement Specialist I & II, Buckingham Strategic Wealth LLC, 01/2017-12/2018
- Retirement Plan Associate, Buckingham Strategic Wealth, LLC, 01/2015-12/2016
- Client Relationship Associate, Buckingham Asset Management LLC, 03/2014–12/2014

### **Item 3 – Disciplinary Information**

Mr. Buehler does not have any disciplinary action to report. Public information concerning Mr. Buehler's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Buehler does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Buehler is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Christopher M. Carlson, CFP®, C(k)P®, AIF®

*Christopher M. Carlson (b. 1986) is a Financial Advisor in Savant's Plymouth, MI office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Christopher routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.A. Health and Risk Communication, Michigan State University, East Lansing, MI, 2011
- B.A. Organizational Communication, Michigan State University, East Lansing, MI, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Certified 401(k) Professional® (C(k)P®), 2018
- Accredited Investment Fiduciary® (AIF®), 2016
- Financial Advisor, Savant Wealth Management, 07/2021-Present
- Adviser, AMDG Financial, 10/2016-Present
- Commercial Lines Producer, The White Agency, 03/2013-10/2016
- Member, TechInterpreters, 08/2011-03/2013

### Item 3 – Disciplinary Information

Mr. Carlson does not have any disciplinary action to report. Public information concerning Mr. Carlson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of AMDG Financial, a Registered Investment Advisor, on 6/30/2021. Mr. Carlson is an Adviser for AMDG Financial during the transition period. At that time, the registration for AMDG Financial will be withdrawn. Mr. Carlson does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Carlson is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Casey A. Christianson, CFP®, ChFC®

*Casey A. Christianson (b. 1994) is Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Casey regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A. Business/Economics, Wheaton College, Wheaton, IL, 2016
- Chartered Financial Consultant® (ChFC®), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 06/2020-07/2020
- Accelerated Career Development Associate, Savant Capital Management, 09/2018-06/2020
- Bank Teller, Peoples Exchange Bank, 10/2017-08/2018
- Financial Planning/Tax Services Intern, Savant Capital Management, 05/2015-08/2015

### Item 3 – Disciplinary Information

Mr. Christianson does not have any disciplinary action to report. Public information concerning Mr. Christianson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Christianson does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Christianson is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Robert T. Conrardy, CFA®

*Robert T. Conrardy (b. 1963) is a Financial Advisor in Savant's Chicago (West Loop), IL office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Bob regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A., University of Chicago – Booth Graduate School of Business, Chicago, IL, 1993
- B.S. Finance and Marketing, University of Wisconsin-Madison, WI, 1985
- Chartered Financial Analyst® (CFA®), 1996
- Financial Advisor, Savant Wealth Management, 03/2021-Present
- Partner-General Manager, Betterview Ventures, LLC, 08/2018-Present
- Co-Founder-General Manager-COO, Beltech Inc, 07/2018-05/2020
- Director-VP, MyCFO/BMO Harris Bank, 03/2008-07/2018
- Senior VP-Portfolio Manager, Bank of America/US Trust, 06/1998-03/2008
- Manager-Portfolio/Trading, Cargill Investor Services, Inc., 06/1986-06/1998
- Financial Consultant, Resource Consultants, Inc., 08/1985-06/1986

### Item 3 – Disciplinary Information

Mr. Conrardy does not have any disciplinary action to report. Public information concerning Mr. Conrardy's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Conrardy is a Partner/General Manager of Betterview Ventures, LLC, an investment-related company, for his family's estate planning and investments.

### Item 5 – Additional Compensation

Mr. Conrardy is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Brian P. Conroy, CFP®, AIF®, CRPS®**

*Brian P. Conroy (b. 1970) is a Financial Advisor in the Chicagoland area, is the manager of the Advisory & Business Development Team for our offices located in St. Charles, Naperville, and Wilmette, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Brian regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, DePaul University, Chicago, IL, 2001
- B.A., Marketing, North Central College, Naperville, IL, 1992
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2011
- Accredited Investment Fiduciary® (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Manager of Advisory & Business Development-Greater Chicago, Financial Advisor, Savant Wealth Management, 07/2020-Present
- Manager of Advisory & Business Development- Greater Chicago, Financial Advisor, Savant Capital Management, 01/2016–07/2020
- Financial Advisor, Savant Capital Management, 01/2012–12/2015
- Financial Advisor, Savant Capital Management, Inc., 10/2003–01/2012
- Adjunct Faculty, Northwestern University, 09/2003–2004
- Financial Planner, Reason Financial Planning, 01/2003–09/2003
- Registered Principal, TCF Securities, 03/1999–01/2003
- Manager, TCF Bank, 02/1998–03/1999
- Manager, Bank of America, 12/1995–02/1998
- Assistant Manager, First Chicago Bank, 01/1993–12/1995

**Item 3 – Disciplinary Information**

Mr. Conroy does not have any disciplinary action to report. Public information concerning Mr. Conroy's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Conroy does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Conroy is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Philip E. Corcoran, CFP®

*Philip E. Corcoran (b. 1965) is a Managing Director – Savant East and Financial Advisor in Savant’s McLean, VA office, is a member of the Advisory Team, serves as managing director for the McLean/DC metro market, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Phil is responsible for managing all aspects of the financial planning and investment process for Savant’s clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Political Science, United States Naval Academy, Annapolis, MD, 1987
- CERTIFIED FINANCIAL PLANNER™ professional, 1996
- Managing Director – Savant East and Financial Advisor, Savant Wealth Management, 07/2020-Present
- Managing Director – Savant East and Financial Advisor, Savant Capital Management, 10/2015–07/2020
- Principle and Investment Adviser Representative, The Corcoran Group, Ltd., 09/2001–10/2015
- Registered Representative, Linsco Private Ledger Corp., 10/2001–05/2003
- President- CEO, E-Stockoptions, Inc., 07/2000–07/2001
- Investment Adviser Representative, The Corcoran Group, Ltd., 07/1992–07/2000
- Financial Planner, The Corcoran Group, Ltd., 08/1992–07/2000
- Registered Representative, Linsco Private Ledger Corp., 01/1995–05/2000
- Insurance Agent, Connecticut Mutual Life Insurance Co., 08/1992–01/1995
- Registered Representative, G.R. Phelps & Co., Inc., 03/1993–01/1995

### Item 3 – Disciplinary Information

Mr. Corcoran does not have any disciplinary action to report. Public information concerning Mr. Corcoran's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Corcoran does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Corcoran is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Edward H. Cruickshank, CFP®

*Edward Cruickshank (b. 1966) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Executive Team, and has a membership interest in Savant. He is a member of the Investment Committee and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Ed regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinates effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Political Science/International Relations, University of Kansas, Lawrence, KS, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Life, Health, Variable Contracts Insurance License, IL, 2009
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013–04/2020
- Wealth Manager, Huber Financial Group, Ltd., 08/2004–07/2013

### **Item 3 – Disciplinary Information**

Mr. Cruickshank does not have any disciplinary action to report. Public information concerning Mr. Cruickshank's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cruickshank has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Cruickshank is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Stephen D. Cummings, Jr., ChFC®

*Stephen D. Cummings, Jr. (b. 1967) is a Financial Advisor in Savant's Wilmette, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- University of Arizona, attended from 1985 to 1990
- Chartered Financial Consultant® (ChFC®), 2018
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2015–07/2020
- Investment Adviser Representative, Orion Capital Management, Inc., 03/2007–07/2015
- Commodities Trader, Self Employed, 07/1993–11/2006

### Item 3 – Disciplinary Information

Mr. Cummings does not have any disciplinary action to report. Public information concerning Mr. Cummings' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Cummings does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Cummings is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Joel R. Cundick, CFP®, APMA®, AIF®

*Joel R. Cundick (b. 1976) is a Financial Advisor in Savant's McLean, VA office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Joel is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- Certificate in Financial Planning, Georgetown University, Washington, DC, 2006
- B.S., Business Management, emphasis Finance, minor in Italian, Brigham Young University, Provo, UT, 2000
- Accredited Investment Fiduciary (AIF®), 2017
- Accredited Portfolio Management Advisor® (APMA®), 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2015–07/2020
- Investment Adviser Representative, The Corcoran Group, Ltd., 07/2008–09/2015
- Director of Operations and Financial Planner, Financial Services Advisory, Inc., 10/2004–06/2008
- Director of Finance, The Ritz Carlton Hotel Co., 06/2003–09/2004

### Item 3 – Disciplinary Information

Mr. Cundick does not have any disciplinary action to report. Public information concerning Mr. Cundick's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Cundick is the President of the Washington DC Chapter of the Alumni Society of Marriott Management School at Brigham Young University and is a member of the Executive Committee.

### Item 5 – Additional Compensation

Mr. Cundick is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Daryl R. Dagit, CFP®, CRPS®, CEP®

*Daryl R. Dagit (b. 1963) is a Financial Advisor in Savant's Peoria, IL office, is a member of the Advisory Team, serves as market manager for the Peoria market, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Daryl is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Finance, Illinois State University, Normal, IL, 1986
- Certified Estate Planner™ (CEP®), 2015
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Variable Contract Insurance License, IL, 2007
- Accident/Health, Fire, Casualty, Life Insurance License, IL, 1987
- Financial Advisor /Market Manager, Savant Wealth Management, 07/2020-Present
- Financial Advisor /Market Manager, Savant Capital Management, 01/2012–07/2020
- Financial Advisor /Market Manager, Savant Capital Management, Inc., 06/2010–01/2012
- Mass Transfer, Morgan Stanley Smith Barney, 06/2009–06/2010
- FA Associate, Citigroup Global Markets Inc., 04/2007–06/2009
- Manager, CitiFinancial, 09/1993–04/2007

### Item 3 – Disciplinary Information

Mr. Dagit has not had any disciplinary action. Public information concerning Mr. Dagit's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Dagit does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Dagit is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Danielle M. Dahn, CFP®

*Danielle M. Dahn (b. 1980) is Financial Advisor in Savant's Naperville, IL office. She is a member of the DuPage Estate Planning Council and a CFP® Women in Business Mentor. Danielle is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A., Accounting, Finance & Management, Kellogg School of Management, Northwestern University, Evanston, IL, 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- B.S., Finance, University of Illinois, Champaign Urbana, IL, 2002
- Financial Advisor, Savant Wealth Management, 11/2020–Present
- Lead Wealth Management Advisor, Teachers Insurance and Annuity Association of America, 11/2020–01/2012
- Financial Advisor, Morgan Stanley Smith Barney, 04/2010–09/2011
- Financial Planning Advisor, J.P. Morgan Securities, Inc., 05/2005-04/2010
- Senior Risk Analyst, J.P. Morgan Securities, Inc., 07/2002-04/2010
- Finance Intern, Banc Corp USA, 05/2001-01/2002
- Finance Associate, A.G. Edwards, 05/2000-05/2001

### Item 3 – Disciplinary Information

Ms. Dahn has not had any disciplinary action. Public information concerning Ms. Dahn's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Dahn does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Dahn is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Gregory S. De Jong, CFP®

*Gregory S. De Jong (b. 1959) is a Financial Advisor in Savant's Naperville, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He advises individual clients, small business owners, and retirement plan trustees and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Greg regularly meets with other advisors, portfolio managers and planners to formulate and coordinate a client's planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., English, Calvin College, Grand Rapids, MI, 1981
- CERTIFIED FINANCIAL PLANNER™ professional, 1992
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2014–07/2020
- President, Advisory Rep., Paragon Advisors, LLC, 05/1995–03/2014
- Registered Rep., Securities Service Network, 09/2009–12/2013
- Registered Rep., Transamerica Financial Advisors, Inc., 12/1988–09/2009
- Registered Rep., Transamerica Securities Sales Corp., 12/1988–07/1995

### Item 3 – Disciplinary Information

Mr. De Jong does not have any disciplinary action to report. Public information concerning Mr. De Jong's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. De Jong does not have any other business activities.

### Item 5 – Additional Compensation

Mr. De Jong is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Nicholas J. De Jong, CPA, CFP®

*Nicholas J. De Jong (b. 1989) is a Financial Advisor in Savant's Naperville, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Information Security Committee, an internal panel that provides guidance and leadership in the protection of Savant's information, assets, and technology. Nick is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.A.S., Accounting, Northern Illinois University, DeKalb, IL, 2012
- B.S., Accountancy, Northern Illinois University, DeKalb, IL, 2011
- Business Administration Major, Dordt College, Sioux Center, IA, Attended 2007–2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2016
- Certified Public Accountant (CPA), 2013
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 04/2016–07/2020
- Accelerated Career Development Program Associate, Savant Capital Management, 07/2015–03/2016
- Senior Associate, CPA, DiGiovine, Hnilo, Jordan & Johnson, Ltd, 08/2012-07/2015
- Associate, DiGiovine, Hnilo, Jordan & Johnson, Financial Advisors, Ltd., 08/2012-07/2015

### **Item 3 – Disciplinary Information**

Mr. De Jong does not have any disciplinary action to report. Public information concerning Mr. De Jong's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. De Jong does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. De Jong is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Scott F. Demler, CFP®, CRPS®

*Scott F. Demler (b. 1967) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Scott routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Marketing, Northern Illinois University, DeKalb, IL, 2005
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS<sup>®</sup>), 2015
- CERTIFIED FINANCIAL PLANNER<sup>™</sup> professional, 2013
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2011–01/2012
- Financial Advisor, Edward Jones, 07/2007–03/2011
- Sales, Carpet One, 12/1996–07/2007

### Item 3 – Disciplinary Information

Mr. Demler does not have any disciplinary action to report. Public information concerning Mr. Demler's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Demler does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Demler is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Michael R. Denten

*Michael R. Denten (b. 1955) is a Financial Advisor in Savant's Wilmette, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Business Administration/Economics, University of Tulsa, Tulsa, OK, 1978
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2015–07/2020
- Director of Research and Investment Adviser Representative, Orion Capital Management, Inc., 01/1996–07/2015
- Commodity Broker/Trader, Self Employed, 06/1995–10/1995
- Commodity Broker/Trader, Michael R. Denten, LTD., 01/1980–06/1995

### Item 3 – Disciplinary Information

Mr. Denten does not have any disciplinary action to report. Public information concerning Mr. Denten's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Denten does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Denten is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Joseph Peter Doyle, Jr., CPA/PFS, CFP®

*J. Peter Doyle, Jr. (b. 1960) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Pete regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Accounting, Miami University, Oxford, OH, 1982
- CERTIFIED FINANCIAL PLANNER™ professional, 2003
- Personal Financial Specialist (PFS), 2002
- Certified Public Accountant (CPA), 1983
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013–04/2020
- President, Doyle Financial, Ltd., 01/2007-Present
- Chief Compliance Officer, Huber Financial Advisors, LLC, 07/2013-03/2018
- Chief Compliance Officer, Huber Financial Group, Ltd., 01/2012-07/2013
- Wealth Manager, Huber Financial Group, Ltd., 09/2009-07/2013

### Item 3 – Disciplinary Information

Mr. Doyle does not have any disciplinary action to report. Public information concerning Mr. Doyle's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Doyle is President and Owner of Doyle Financial, Ltd. which is a tax consulting firm.

### Item 5 – Additional Compensation

Mr. Doyle is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Kimberly S. Drake, CFA®

*Kimberly S. Drake (b. 1961) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kim regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies. She specializes in women, specifically their life transitions and how these changes affect their financial lives.*

### Item 2 – Educational Background and Business Experience

- M.B.A., Northwestern University Kellogg Graduate School of Management, Evanston, IL, 1987
- B.A., Psychology, with minor in Economics, Denison University, Granville, OH, 1983
- Chartered Financial Analyst® (CFA®), 1991
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013-04/2020
- Client Service Director, Huber Financial Group, Ltd., 10/2011-07/2013

### Item 3 – Disciplinary Information

Ms. Drake does not have any disciplinary action to report. Public information concerning Ms. Drake's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Drake does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Drake is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Donald D. Duncan, CPA/PFS, CFA®, CSEP, CFP®**

*Donald D. Duncan (b. 1956) is a Managing Director and Financial Advisor in Savant's Santa Fe, Chicago, and Downers Grove offices, is a member of the Executive Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Don formulates and coordinates effective planning, investment and tax strategies to help clients Build their Ideal Future. He focuses on high income and/or \$1 million net worth clients.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., DePaul University, Chicago, IL, 1987
- B.S., Triple major in Finance, Operations Management and Human Resource Management, Illinois State University, Normal, IL, 1980
- Personal Financial Specialist (PFS), 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Certified Specialist In Estate Planning (CSEP), 1997
- Chartered Financial Analyst® (CFA®), 1992
- Certified Public Accountant (CPA), 1985
- Managing Director & Financial Advisor, Savant Wealth Management, 07/2020-Present
- Managing Director & Financial Advisor, Savant Capital Management, 10/2018–07/2020
- Owner and Managing Member, D3 Financial Counselors, LLC, 03/1997–12/2018
- V.P., Assistant Division Manager, Northern Trust Co., 01/1986–03/1997
- Portfolio Management Technology Development and Systems Planning, Northern Trust Co., 01/1986–12/1997
- Director of Credit Research, Northern Trust Co., 01/1989–12/1991
- Trust Operations Supervisor, Planning Officer, Strategic Planning Officer, Financial Analyst, Northern Trust Co., 06/1980–12/1986

### **Item 3 – Disciplinary Information**

Mr. Duncan does not have any disciplinary action to report. Public information concerning Mr. Duncan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Duncan owns real estate investments through Duncan Development LLC. While he receives compensation for this activity, it does not represent a material amount of his time.

### **Item 5 – Additional Compensation**

Mr. Duncan is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Teryn A. Fitzgerald, CFP®, CTFA**

*Teryn A. Fitzgerald (b. 1989) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. She is a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Teryn is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Administration, Indiana University, Richmond, IN, 2014
- Certified Trust & Financial Advisor (CTFA), 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 07/2020-present
- Financial Advisor, Savant Capital Management, 01/2020-Present
- Financial Planner, Savant Capital Management, 09/2015–12/2019
- Administrative Assistant, Rockford Bank & Trust, 08/2014–09/2015

### **Item 3 – Disciplinary Information**

Ms. Fitzgerald does not have any disciplinary action to report. Public information concerning Ms. Fitzgerald's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Fitzgerald does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Fitzgerald is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Adam J. Glassberg, CFP®, CIMA®

*Adam J. Glassberg (b. 1986) is a Financial Advisor in Savant's Downers Grove, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Adam regularly meets with clients, other advisors, portfolio managers and planners to formulate and coordinate effective planning, investment and tax strategies. He specializes in helping young professionals and young doctors who need assistance with tax savings, budgeting, loan repayment, and investment strategies.*

### Item 2 – Educational Background and Business Experience

- Certificate in Financial Planning, DePaul University, Chicago, IL, 2009
- B.S., Finance, Eastern Illinois University, Charleston, IL, 2008
- Certified Investment Management Analyst® (CIMA), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2018–07/2020
- Co-Owner and Financial Counselor, D3 Financial Counselors, LLC, 01/2015–12/2018
- Senior Financial Planner, Co-Portfolio Manager, D3 Financial Counselors, LLC, 12/2013–12/2014
- Financial Planner, D3 Financial Counselors, LLC, 12/2011–12/2013
- Junior Financial Planner, D3 Financial Counselors, LLC, 05/2008–12/2011

### Item 3 – Disciplinary Information

Mr. Glassberg does not have any disciplinary action to report. Public information concerning Mr. Glassberg's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Glassberg conducts pro-bono financial planning to veterans as a volunteer for Building Homes for Heroes.

### Item 5 – Additional Compensation

Mr. Glassberg is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Gabriel Gonzalez, CRPC®, CFP®

*Gabriel Gonzalez (b. 1977) is a Financial Advisor in Savant's St. Charles, Hoffman Estates, and Chicago, IL offices, is a member of the Advisory Team, and has a membership interest in Savant. He has been involved in the financial services industry since 2003 and has helped numerous families over the years turn assorted financial goals and interests into unified, integrated financial plans. He is responsible for managing all aspects of the financial planning and investment process for clients. Gabe routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies. He particularly enjoys discussing multi-generational wealth planning, tax-efficient investing, and wealth accumulation strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Finance, University of Phoenix, Phoenix, AZ, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Chartered Retirement Planning Counselor™ (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Principal, AJ Gabriel Group, LLC, 05/2009–09/2011
- Financial Advisor Training Program, Merrill Lynch & Co, 11/2007–02/2009
- Licensed Sales Associate, State Farm Insurance, Karen Ayers & Ann Nolan Agencies, 05/2003–11/2007
- Marketing Representative, East Coast AD Efx, 09/2001–5/2003

### Item 3 – Disciplinary Information

Mr. Gonzalez does not have any disciplinary action to report. Public information concerning Mr. Gonzalez's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Gonzalez does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Gonzalez is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Drake R. Grindle, MAS, CPA, CFP®, CEPA**

*Drake R. Grindle (b. 1992) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Drake regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Master of Accounting Science, University of Illinois, Urbana-Champaign, Illinois, 2015
- B.S., Accountancy, University of Illinois, Urbana-Champaign, Illinois, 2014
- B.A., Arts, Rock Valley Community College, Rockford, Illinois, 2012
- Certified Exit Planning Advisor (CEPA), 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Certified Public Accountant (CPA), 2016
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2019–07/2020
- Accelerated Career Development Program Associate, Savant Capital Management, 12/2017–12/2018
- Senior Assurance Associate, BDO USA, LLP, 08/2017–11/2017
- Assurance Associate, BDO USA, LLP, 10/2015–08/2017

### **Item 3 – Disciplinary Information**

Mr. Grindle does not have any disciplinary action to report. Public information concerning Mr. Grindle's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Grindle does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Grindle is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## William V. Gunlicks, CFP®

*William V. Gunlicks (b. 1979) is a Financial Advisor in Savant's Lincolnshire and Chicago, IL offices, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Will regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Business Administration, University of Denver, Daniels College of Business, Denver, CO, 2001
- Life, Health, Variable Contracts Insurance License, IL, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 09/2014-04/2020
- Financial Advisor/Wealth Manager, Cantor Fitzgerald, Wealth Partners/Mariner Wealth Advisors, 09/2011-09/2014
- Financial Planner, Clune & Associates, 06/2010-08/2011

### Item 3 – Disciplinary Information

Mr. Gunlicks does not have any disciplinary action to report. Public information concerning Mr. Gunlicks' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Gunlicks has no other business activities.

### Item 5 – Additional Compensation

Mr. Gunlicks is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Theresa A. Harezlak, CFP® CDFA®

*Theresa A. Harezlak (b. 1966) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. She previously co-founded the impetus behind Savant's Women's Wealth Initiative, Savvy Women, a group created to educate and empower women to establish and maintain their financial independence. Theresa is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Business Administration, University of Iowa, Iowa City, IA, 1988
- Certified Divorce Financial Analyst® (CDFA®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 1995
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 09/2007–01/2012
- Financial Advisor, AMCORE Investment Group, 07/1988–06/2007

### Item 3 – Disciplinary Information

Ms. Harezlak does not have any disciplinary action to report. Public information concerning Ms. Harezlak's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Harezlak does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Harezlak is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Daniel E. Holdridge, CFP®

*Daniel E. Holdridge (b. 1994) is a Financial Advisor in Savant's Madison, WI office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Dan regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- B.B.A., Business Administration, University of Wisconsin - Madison, 2016
- Financial Advisor, Savant Capital Wealth Management, 10/2020–Present
- Financial Advisor, Wipfli Financial Advisors, LLC, 04/2019-01/2020
- Associate Advisor, Wipfli Financial Advisors, LLC, 06/2018-04/2019
- Associate Advisor, Wipfli Hewins Investment Advisors, LLC, 05/2016-05/2018

### Item 3 – Disciplinary Information

Mr. Holdridge does not have any disciplinary action to report. Public information concerning Mr. Holdridge's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Holdridge has no other business activities.

### Item 5 – Additional Compensation

Mr. Holdridge is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## David J. Huber, CFP®

*David J. Huber (b. 1956) is a Managing Director and Financial Advisor in Savant's Lincolnshire, IL office, has a membership interest in Savant and has more than 40 years of experience in the financial services industry. He founded Huber Financial Advisors in 1988 and served as its chief executive officer until it joined with Savant in February 2020. Dave earned a bachelor's degree in business administration from St. Norbert College. In 2007 he was named one of Chicago's Notable Financial Advisors by Crain's Chicago Business. Since 2008, Dave has served on the Board of Directors of the Chicago Lighthouse, an organization in Chicago that has served the blind and visually impaired for more than 100 years.*

### Item 2 – Educational Background and Business Experience

- B.A., Business Administration, St Norbert College, 1978
- Life, Health, Variable Contracts Insurance Producer License, IL
- CERTIFIED FINANCIAL PLANNER™ professional, 1988
- Managing Director and Financial Advisor, Savant Wealth Management, 07/2020-Present
- Managing Director and Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Chief Executive Officer, Huber Financial Advisors, LLC, 04/2010-04/2020
- Chief Executive Officer, Huber Financial Group, Ltd., 10/1988-03/2013

### Item 3 – Disciplinary Information

Mr. Huber does not have any disciplinary action to report. Public information concerning Mr. Huber's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Huber has no other business activities.

### Item 5 – Additional Compensation

Mr. Huber is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

*Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.*

## Jeremy S. Joseph, CFP®

*Jeremy S. Joseph (b. 1984) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. Jeremy is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Communication Studies, University of Kansas, Lawrence KS, 2006
- Life, Health, Variable Contracts Insurance License, IL, 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 12/2018-04/2020
- Senior Financial Consultant, TD Ameritrade, Inc., 05/2018-12/2018
- Financial Consultant, Fidelity Brokerage Services LLC, 08/2016-05/2018
- Investment Consultant, TD Ameritrade, Inc., 11/2012-08/2016
- Independent Advisor Representative, Wayne Messmer & Associates; Madison Avenue Advisors, Inc.; Madison Avenue Securities, Inc., 03/2008-11/2012
- Financial Advisor, Ameriprise Financial Services, Inc., 05/2006-03/2008

### Item 3 – Disciplinary Information

Mr. Joseph does not have any disciplinary information to report. Public information concerning Mr. Joseph's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Joseph has no other business activities.

### Item 5 – Additional Compensation

Mr. Joseph is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Scott W. Kaiser, CFP®, AIF®, CRPS®

*Scott W. Kaiser (b. 1963) is a Financial Advisor in Savant's Rockford and Sterling, IL offices, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Scott is responsible for managing all aspects of the financial planning and investment processes for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies. Scott spends a good portion of his time assisting business owners, including farm owners, in addressing their unique needs.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, emphasis Finance, Rockford College, Rockford, IL, 1986
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- Accredited Investment Fiduciary (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2011
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2010–01/2012
- Business Manager, Savant Capital Management, Inc., 10/1999–03/2010

### **Item 3 – Disciplinary Information**

Mr. Kaiser does not have any disciplinary action to report. Public information concerning Mr. Kaiser's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Kaiser does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Kaiser does is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Kevin C. Kingston, CLU®, ChFC®

*Kevin C. Kingston (b. 1954) is a Financial Advisor in Savant's Bloomington, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S. Business Administration, Illinois State University, Normal, IL, 1976
- Chartered Financial Consultant® (ChFC®), 1984
- Chartered Life Underwriter (CLU®), 1982
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2019–07/2020
- Investment Advisor, Valmark Advisers, Inc., 06/2007–12/2019
- Registered Representative, Valmark Securities, Inc., 06/2007–12/2019
- Financial Advisor, Kingston Wealth Management, 06/1976-12/2019
- Professional Life Underwriter, Evlico New York New York, 06/1976-12/2019
- Representative, The Equitable Life Assurance, Society of the United States, 06/1976-12/2019
- Representative, EQ Financial Consultants, Inc., 06/1976-12/2019
- Representative, AXA Advisors, LLC, 06/1976-12/2019

### Item 3 – Disciplinary Information

Mr. Kingston has a disciplinary event that can be found on FINRA's [BrokerCheck website](#). Public information concerning Mr. Kingston's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Kingston does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Kingston is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



**Brian J. Knabe, MD, CMP®, CFP®**

*Brian J. Knabe (b. 1967) is a Financial Advisor in Savant's Rockford, IL and Park Falls, WI offices, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brian routinely meets with clients, advisors, portfolio managers, and planners in order to develop comprehensive planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, Marquette University, Milwaukee, WI, 2007
- Family Practice Residency, University of Illinois College of Medicine, Chicago, IL, 1996
- M.D., University of Illinois College of Medicine, Chicago, IL, 1993
- B.S., Honors, Biomedical Engineering, Marquette University, Milwaukee, WI, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Certified Medical Planner (CMP®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2008–01/2012
- Consultant, Savant Capital Management, Inc., 01/2007–02/2008
- Clinical Assistant Professor of Family Medicine, Residency Faculty, UICOM-R, 02/2008–5/2017
- Medical Director, Swedish American Cardiopulmonary Rehabilitation Program, 2000–2001
- Family Physician, Swedish American Health System, 09/1996–01/2008

**Item 3 – Disciplinary Information**

Dr. Knabe does not have any disciplinary action to report. Public information concerning Dr. Knabe's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Dr. Knabe works as an emergency room physician on a part time basis at Marshfield Clinic, Park Falls, WI.

**Item 5 – Additional Compensation**

Dr. Knabe is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Gerard S. Korabik, AIFA®, CFP®, CRPS®**

*Gerard S. Korabik (b. 1968) is a Financial Advisor in Savant's Rockford, IL and suburban Chicago offices, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. He is also a member of the Fiduciary Committee, an internal panel that reviews our retirement plan service providers to comply with ERISA Section 3(16) fiduciary status. Jerry advises individual clients as well as retirement plans and not-for-profit organizations. He is responsible for managing all aspects of the financial planning and investment processes for Savant's clients. He regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, University of Illinois, Champaign, IL, 1991
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2011
- Accredited Investment Fiduciary Analyst® (AIFA®), 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 1997
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 01/2007–01/2012
- Vice President – Financial Communications, Morningstar/Ibbotson, 01/2000–06/2006
- Senior Manager – Financial Planning Solutions, PriceWaterhouseCoopers, 12/1997–12/1999
- Senior Analyst – Pensions and Investments, Arthur Andersen, 06/1994–12/1997
- Financial Analyst, Arthur Andersen, 05/1991–06/1994

**Item 3 – Disciplinary Information**

Mr. Korabik does not have any disciplinary action to report. Public information concerning Mr. Korabik's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Korabik does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Korabik is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Paulina M. Ksiazek, CFP®**

*Paulina M. Ksiazek (b. 1992) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Paulina routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S. Business, emphasis Finance, DePaul University, Chicago, IL, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 08/2021-Present
- Advisor Training Program Associate, Savant Wealth Management, 07/2020-08/2021
- Advisor Training Program Associate, Savant Capital Management, 06/2020–07/2020
- Financial Planner, Savant Capital Management, 02/2020–06/2020
- Financial Planner, Huber Financial Advisors, 05/2019–02/2020
- Sr. Client Service Associate, Huber Financial Advisors, 10/2017-04/2019
- Client Service Associate, Huber Financial Advisors, 01/2016-09/2017
- Client Service Administrative Assistant, Huber Financial Advisors, 06/2015-12/2015
- Wealth Management Intern, The Mather Group, 05/2014-08/2014

**Item 3 – Disciplinary Information**

Ms. Ksiazek does not have any disciplinary action to report. Public information concerning Ms. Ksiazek's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Ksiazek does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Ksiazek is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott K. Laue, JD, CFP®**

*Scott K. Laue (b. 1960) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients and also advises not-for-profit organizations and consults on the plan design and ongoing administration of retirement plan clients. Scott routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certified Pension Trustee Program – Illinois, Public Pension Fund, 2005
- Certified Retirement Plan Specialist program, Cannon Financial Institute, 1995
- J.D., Valparaiso University School of Law, Valparaiso, IN, 1986
- B.S., Marketing, Bradley University, Peoria, IL, 1983
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2008–01/2012
- Vice President & Trust Officer, AMCORE Investment Group NA, 03/1998–02/2008
- Vice President, Bank One, and its predecessor First National Bank, Rockford IL, 06/1991–03/1998

**Item 3 – Disciplinary Information**

Mr. Laue does not have any disciplinary action to report. Public information concerning Mr. Laue's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Laue has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients however clients have no obligation to use them.

**Item 5 – Additional Compensation**

Mr. Laue is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Jeffrey R. Lewis, CFP®, ChFC®, CRPS®

*Jeffrey R. Lewis (b. 1993) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Jeff regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S, Economics, Illinois State University, Normal, IL, 2015
- A.A.S. General Studies, Rock Valley Community College, Rockford, IL, 2013
- Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®), 2020
- Chartered Financial Consultant® (ChFC®), 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 09/2019-07/2020
- Advisor Development Program Associate, Savant Capital Management, 04/2019–09/2019
- Investment Research Analyst, Savant Capital Management, 03/2017–04/2019
- Portfolio Accountant, Savant Capital Management, 05/2015–03/2017

### Item 3 – Disciplinary Information

Mr. Lewis does not have any disciplinary action to report. Public information concerning Mr. Lewis' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Lewis does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Lewis is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Brent A. Lindell, CTFA, CFP®, AIF®, CRPS®**

*Brent A. Lindell (b. 1967) is a Financial Advisor in Savant's Madison, WI office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brent routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies. He advises clients on numerous financial strategies to help them accumulate, maintain, and preserve their wealth.*

### **Item 2 – Educational Background and Business Experience**

- Cannon Trust School, University of Notre Dame, Notre Dame, IN, 2000
- B.A., Economics, University of Iowa, Iowa City, IA, 1990
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- Accredited Investment Fiduciary (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Certified Trust & Financial Advisor (CTFA), 2000
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2007–01/2012
- Vice President, Wealth Management Consultant, US Bank, 09/1995–03/2007
- Account Executive, Dean Witter Reynolds, Inc., 06/1994–05/1995
- Sales Support Representative, Kemper Financial Services, Inc., 01/1992–06/1993

### **Item 3 – Disciplinary Information**

Mr. Lindell does not have any disciplinary action to report. Public information concerning Mr. Lindell's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Lindell does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Lindell is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jakob C. Loescher, CRPC®, CFP®, ChFC®, AIF®, CRPS®**

*Jakob C. Loescher (b. 1986) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Jake is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, minor History, Northern Illinois University, DeKalb, IL, 2008
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Accredited Investment Fiduciary (AIF®), 2012
- Chartered Financial Consultant® (ChFC®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Chartered Retirement Planning Counselor™ (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Financial Planner, Savant Capital Management, Inc., 06/2010–07/2011
- Paraplanner, Savant Capital Management, Inc., 12/2008–06/2010

**Item 3 – Disciplinary Information**

Mr. Loescher does not have any disciplinary action to report. Public information concerning Mr. Loescher's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Loescher does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Loescher is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Stephen C. Lorence, CFP®

*Stephen C. Lorence (b. 1992) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Steve regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Finance, Kansas State University, Manhattan, KS, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2017–07/2020
- Relationship Manager, United Capital, Northbrook, IL, 08/2015–11/2016
- Guidance Specialist, United Capital, Dallas, TX, 06/2015–08/2015
- Intern, United Capital, Rockford, IL, 05/2013–05/2015

### Item 3 – Disciplinary Information

Mr. Lorence does not have any disciplinary action to report. Public information concerning Mr. Lorence's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Lorence does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Lorence is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Ryan H. Marietta, MBA, CFP®

*Ryan H. Marietta (b. 1983) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Ryan regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A., Finance, Loyola University, Chicago, IL, 2010
- B.S., Political Science, Miami University, Oxford, OH, 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 06/2021-Present
- Vice President, Brownson, Rehms & Foxworth Inc, 03/2015-04/2021
- Investment Consultant, Altair Advisors, 06/2013-01/2015
- Financial Analyst, AMG National Trust Bank, 09/2010-05-2013

### Item 3 – Disciplinary Information

Mr. Marietta does not have any disciplinary action to report. Public information concerning Mr. Marietta's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Marietta does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Marietta is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**David H. McCormick-Goodhart, CPA/PFS, CFP®, CRPS®, AIF®**

*David H. McCormick-Goodhart (b. 1981) is a Financial Advisor in Savant's McLean, VA, office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. David regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., Finance, Mount St. Mary's University, Emmitsburg, MD, 2008
- B.S., Accounting, Mount St. Mary's University, Emmitsburg, MD, 2005
- Accredited Investment Fiduciary (AIF®), 2017
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2016
- Personal Financial Specialist (PFS), 2015
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2012
- Certified Public Accountant (CPA), 2009
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2015–07/2020
- Financial Advisor, Triton Wealth Management, LLC, 10/2013–05/2015
- Senior Tax Accountant, Cardoni Waddell, LLC, 05/2011–10/2013
- Staff-In-Charge Accountant, Dembo, Jones, Healy, Pennington & Marshall PC, 05/2005–05/2011

**Item 3 – Disciplinary Information**

Mr. McCormick-Goodhart does not have any disciplinary action to report. Public information concerning Mr. McCormick-Goodhart's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. McCormick-Goodhart does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. McCormick-Goodhart is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Andrew J. McCorry

*Andrew J. McCorry (b. 1987) is a Portfolio Advisor in Savant's Rockford, IL office and a member of the Advisory Team. Andrew has been involved in the financial services industry since 2013. Prior to joining Savant, he was a financial advisor at a nationwide financial services company, and he also worked for two national banks, all in the Chicagoland area. Andrew earned a bachelor of arts degree in psychology from the University of Notre Dame.*

### Item 2 – Educational Background and Business Experience

- B.A., Psychology, University of Notre Dame, South Bend, IN, 2010
- Portfolio Advisor, Savant Wealth Management, 04/2021-Present
- Wealth Specialist, BMO Harris Financial Advisors Inc., 01/2019-08/2020
- Private Client Banker, JP Morgan Chase Bank, 04/2017-01/2019
- Private Banker, JP Morgan Securities LLC, 04/2017-01/2019
- Financial Advisor, Northwestern Mutual Wealth Management Co., 04/2014-03/2017

### Item 3 – Disciplinary Information

Mr. McCorry does not have any disciplinary action to report. Public information concerning Mr. McCorry's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. McCorry does not have any other business activities.

### Item 5 – Additional Compensation

Mr. McCorry is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Kevin T. McFadden, CFP®, LUTCF

*Kevin T. McFadden (b. 1985) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S.B.A., Accounting with Finance Minor, Columbia College of MO, Elgin, IL, 2012
- A.A.S., Business Management, McHenry County College, McHenry County College, Crystal Lake, IL, 2007
- Life Underwriter Training Council Fellow (LUTCF), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2019–07/2020
- Financial Advisor/Senior Financial Planner, Savant Capital Management, 01/2018–12/2018
- Senior Financial Planner, Savant Capital Management, 09/2015–01/2018
- Licensed Private Client Group Relationship Manager, PNC Investments, 05/2015–09/2015
- Investment Adviser Representative, Raymond James, 05/2014–05/2015
- Investment Associate, Raymond James, 07/2012–05/2014
- Risk and Trust Operations, Castle Bank, 10/2007–07/2012

### Item 3 – Disciplinary Information

Mr. McFadden does not have any disciplinary action to report. Public information concerning Mr. McFadden's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. McFadden does not have any other business activities.

### Item 5 – Additional Compensation

Mr. McFadden is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Sarah E. McGinniss, CFP®, CRPS®**

*Sarah E. McGinniss (b. 1988) is a Financial Advisor in Savant's Madison, WI office, is a member of the Advisory Team, and has a membership interest in Savant. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Sarah is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, University of Illinois, Urbana-Champaign, IL, 2010
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2017
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2017
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 04/2015–07/2020
- Consultant, Slalom Consulting, 04/2013–04/2015
- Consultant, FTI Consulting, 09/2010–04/2013
- Intern, Allstate Financial, 06/2009–08/2009
- Intern, Rockford Local Development Corp., 06/2008–08/2008
- Resident Advisor, University of Illinois, 05/2007–12/2007
- Intern, Savant Capital Management, Inc. (winter breaks and summers), 12/2006–08/2008

**Item 3 – Disciplinary Information**

Ms. McGinniss does not have any disciplinary action to report. Public information concerning Ms. McGinniss' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. McGinniss does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. McGinniss is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Ryan G. Monette, CFP®, ChFC®

*Ryan G. Monette (b. 1984) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Ryan is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and financial planners to determine and coordinate effective planning, investment, and tax strategies. Ryan has expertise in Social Security retirement planning, which includes helping clients evaluate their various Social Security retirement income claiming strategies, and helps clients understand the value of their Social Security benefits in their overall financial plan.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, minor Economics, Northern Illinois University, DeKalb, IL, 2007
- Chartered Financial Consultant® (ChFC®), 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2015–07/2020
- Financial Advisor/Assigned Planner, Savant Capital Management, 07/2014–07/2015
- Assigned Planner, Savant Capital Management, 01/2014–05-2014
- Financial Planner, Savant Capital Management, 01/2012–01/2014
- Financial Planner, Savant Capital Management, Inc., 01/2011–01/2012
- Trader, Savant Capital Management, Inc., 01/2008–01/2011
- Intern, Savant Capital Management, Inc., 05/2006–01/2008

### **Item 3 – Disciplinary Information**

Mr. Monette does not have any disciplinary action to report. Public information concerning Mr. Monette's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Monette does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Monette is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Robert E. Morrison, CFP®

*Robert E. Morrison (b. 1971) is a Chief Strategy and Innovation Officer in Savant's Lincolnshire, IL office, is a member of the Executive Team, and has a membership interest in Savant. He has been involved in the financial services industry since 2000 and served as president of Huber Financial Advisors from 2012 until it joined with Savant in 2020 and has been coaching clients since 2001. Rob earned a bachelor's degree in business with a minor in communications from DePaul University and is a CERTIFIED FINANCIAL PLANNER™ professional. He co-authored the book Victory Lap Retirement, second edition.*

### Item 2 – Educational Background and Business Experience

- B.S., Business, Minor in Communications,
- DePaul University, Chicago, IL, 1993
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Life, Health, Variable Contracts Insurance License, IL, 2003
- Chief Strategy and Innovation Officer, Savant Wealth Management, 07/2020-Present
- Chief Strategy and Innovation Officer, Savant Capital Management, 02/2020–07/2020
- President, Huber Financial Advisors, LLC, 07/2013–04/2020
- President, Huber Financial Group, Ltd., 01/2012-07/2013
- Financial Advisor, Huber Financial Group, Ltd., 01/2001-12/2011

### Item 3 – Disciplinary Information

Mr. Morrison does not have any disciplinary action to report. Public information concerning Mr. Morrison's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Morrison does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Morrison is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Douglas R. Morton, III, CFP®

*Douglas R. Morton, III (b. 1977) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Doug regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Economics and Business Administration, Hope College, Holland, MI, 2000
- Life, Health, Variable Contracts Insurance License, IL, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2004
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 01/2017-04/2020
- Wealth Advisor/Managing Director, United Capital Financial Advisers, LLC, 09/2008-11/2016
- Investment Analyst/Financial Advisor, Integrated Financial Management, Inc., 03/2001-09/2008

### Item 3 – Disciplinary Information

Mr. Morton does not have any disciplinary action to report. Public information concerning Mr. Morton's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Morton has no other business activities.

### Item 5 – Additional Compensation

Mr. Morton is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Elizabeth N. Muldowney, CRPC®, CFP®, BFA™

*Libby N. Muldowney (b. 1979) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. She previously co-founded the impetus behind Savant's Women's Wealth Initiative, Savvy Women, a group created to educate and empower women to establish and maintain their financial independence. Libby is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Economics, emphasis finance, Rockford College, Rockford, IL, 2002
- Behavioral Financial Advisor™ (BFA™), 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Chartered Retirement Planning Counselor (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2014–07/2020
- Client Service Representative, Savant Capital Management, 01/2012–06/2014
- Client Service Representative, Savant Capital Management, Inc., 09/2008–01/2012
- Customer Services, Fifth Third Bank, 12/2002–07/2008
- Platform Rep, Fifth Third Bank, 11/2004–07/2008

### Item 3 – Disciplinary Information

Ms. Muldowney does not have any disciplinary action to report. Public information concerning Ms. Muldowney's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Muldowney does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Muldowney is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Martin F. Perdoux, CFP®

*Martin F. Perdoux (b. 1964) is a Financial Advisor in Savant's Wilmette, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Martin regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- Master of Arts in Art Therapy and Counseling, School of Art Institute, Chicago, IL, 1995
- Bachelor of Arts Sculpture emphasis, San Diego State University, San Diego, CA, 1990
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2019–07/2020
- Financial Advisor, Wells Fargo Clearing Services, LLC, 11/2016–02/2019
- Financial Advisor, Wells Fargo Advisors, LLC, 12/2015–11/2016
- Financial Advisor, Edward Jones, 05/2013–12/2015

### Item 3 – Disciplinary Information

Mr. Perdoux does not have any disciplinary action to report. Public information concerning Mr. Perdoux's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Perdoux does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Perdoux is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Janet E. Petran, CPA, CFP®

*Janet E. Petran (b. 1959) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Janet regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Business Administration, University of Michigan, Steven M. Ross School of Business, Ann Arbor, MI, 1982
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Certified Public Accountant (CPA), 1983
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 01/2014-04/2020
- Client Service Associate, Forum Financial, 01/2012-10/2013
- Financial Planner, Trinity Financial, 10/2011-01/2012
- Financial Planner, Balasa Dinverno Foltz, 01/2010-06/2011

### **Item 3 – Disciplinary Information**

Ms. Petran does not have any disciplinary action to report. Public information concerning Ms. Petran's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Petran does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Petran is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Kevin J. Phillips, CFP®

*Kevin J. Phillips (b. 1982) is a Financial Advisor in Savant's Naperville, IL, office, is a member of the Advisory Team and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin regularly meets with clients, other advisors, portfolio managers, accountants, attorneys, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S. in Public Affairs, emphasis Public Financial Management, minor Public Management, Business, Indiana University, Bloomington, IN, 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 12/2014–07/2020
- Registered Representative and Investment Advisor Representative, Securian Financial Services, Inc., 08/2011–12/2014
- Agent, Minnesota Life 08/2011–12/2014
- Agent, GCG Financial, 08/2011–12/2014
- Proprietary Equity Trader, Great Point Capital, LLC, 06/2005–08/2011
- Sales, Christiana Capital, 10/2010–03/2011
- Clerk, International Futures & Options Independent Traders, 06/1999–01/2005
- Analyst, CME Group/Kevin K. Phillips, 12/2004–01/2005

### Item 3 – Disciplinary Information

Mr. Phillips does not have any disciplinary action to report. Public information concerning Mr. Phillip's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Phillips is the Chairperson to the Investment Committee of DuPage Foundation. Investment decisions that are made by majority vote. He does not meet with foundation account holders to discuss their accounts.

### Item 5 – Additional Compensation

Mr. Phillips is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Andrew M. Pisel

*Andrew M. Pisel (b. 1992) is a Financial Advisor in Savant's Peoria, IL, office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Andrew regularly meets with clients, other advisors, portfolio managers, accountants, attorneys, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S. Criminal Justice, minor Political Science and Business Administration, Southern IL University, Edwardsville, IL, 2014
- Financial Advisor, Savant Wealth Management, 03/2021-Present
- Investment Consultant, TD Ameritrade Inc, 11/2017–01/2021
- Investment Consultant, Scottrade Inc, 11/2016-11/2017
- Internal Sales Director, Victory Capital Advisers, 03/2015-04/2016

### Item 3 – Disciplinary Information

Mr. Pisel has a disciplinary event that can be found on FINRA's [BrokerCheck website](#). Public information concerning Mr. Pisel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Pisel does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Pisel is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Christopher R. Plagge, CPA, EA

*Christopher R. Plagge (b. 1961) is a Principal and the Managing Director of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC, and has a membership interest in Savant. He has been involved in the tax and financial services industry since 1983. Prior to joining Savant, Chris was the managing partner of Green, Plagge & Shaw, where he specialized in income tax planning and preparation, financial reporting and analysis, financial modeling, and general business management consulting. Chris is a Certified Public Accountant and an Enrolled Agent, licensed by the Department of Treasury to practice before the Internal Revenue Service.*

### Item 2 – Educational Background and Business Experience

- B.S. in accounting, Elmhurst College, Elmhurst, IL, 1983
- Certified Public Accountant (CPA), 1997
- Enrolled Agent (EA), 1989
- Principal, Managing Director, Savant Tax & Consulting, 01/2015–Present
- Principal, Green, Plagge & Shaw, 05/1983–12/2014

### Item 3 – Disciplinary Information

Mr. Plagge does not have any disciplinary action to report. Public information concerning Mr. Plagge’s registration as an investment adviser representative may be found by accessing the SEC’s public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Plagge does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Plagge is an equity owner of the firm and receives a share of the firm’s profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person’s advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Ambari Prakash Pinto, JD, CFP®**

*Ambari Prakash Pinto (b. 1970) is a Financial Advisor in Savant's McLean, VA, office and a member of the Advisory Team. She is a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Ambari is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Certificate of Financial Planning, Georgetown School of Professional and Continuing Studies, Washington, D.C., 2011
- J.D., Georgetown University Law Center, Washington D.C., 1997
- B.A., Political Science/Spanish, University of Vermont, Burlington, VT., 1992
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2017–07/2020
- Financial Planner, Growing Tree Financial Consultants, LLC, 08/2011–12/2016
- Investment Adviser Representative, MetLife Premier Client Group, 08/2014–05/2015
- Financial Advisor, Focus Wealth Management, 04/2015–07/2015
- Legal Counsel, Real Networks, 04/2004–12/2010
- Senior Associate, Thelen Reid & Priest, LLP, 04/1998–03/2004

### **Item 3 – Disciplinary Information**

Ms. Prakash Pinto does not have any disciplinary action to report. Public information concerning Ms. Prakash Pinto's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Prakash Pinto does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Prakash Pinto is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Thomas J. Ptacin, CFP®, AIF®, CRPS®

*Thomas J. Ptacin (b. 1975) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Tom routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A., University of Montana, Missoula, MT, 2003
- B.S., Business, Finance and Economics, University of Wisconsin, Eau Claire, WI, 1998
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- Accredited Investment Fiduciary (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2006
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 08/2006–01/2012
- Trader, Savant Capital Management, Inc., 05/2004–07/2006
- Director of Supervision – Investment Operations, Northwestern Mutual, 09/1998–12/2003

### Item 3 – Disciplinary Information

Mr. Ptacin does not have any disciplinary action to report. Public information concerning Mr. Ptacin's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Ptacin does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Ptacin is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Brian T. Rykovich, CFP®

*Brian T. Rykovich (b. 1985) is a Financial Advisor in Savant's Greenwood, IN office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brian routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A. Finance, Butler University, Indianapolis, IN, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 09/2021-Present
- Financial Advisor, Treybourne Wealth Planners, 10/2019–Present
- Business Development/Financial Analyst, C.H. Douglas & Gray, LLC, 03/2017–3/2019
- Financial Analyst, Bedel Financial, 12/2015-2/2017
- Financial Analyst, Hendriskson, CH Douglas & Gray, LLC (dba C.H. Douglas & Gray, LLC), 05/2007—11/2015

### Item 3 – Disciplinary Information

Mr. Rykovich does not have any disciplinary action to report. Public information concerning Mr. Rykovich 's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of Treybourne Wealth Planners, a Registered Investment Advisor, on 8/31/2021. Mr. Rykovich is a Financial Advisor for Treybourne Wealth Planners during the transition period. At that time, the registration for Treybourne Wealth Planners will be withdrawn. Mr. Rykovich does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Rykovich is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Christopher N. Ruedi, RICP®, CFP®

*Christopher N. Ruedi (b. 1986) is a Financial Advisor in Savant's Bloomington, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Chris routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A. Economics, St. Louis University, John Cook School of Business, St. Louis, MO, 2011
- B.S. Finance, University of Illinois, Urbana-Champaign, IL, 2008
- Retirement Income Certified Professional, 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2019–07/2020
- Investment Advisor, Valmark Advisers, Inc., 03/2014–12/2019
- Registered Representative, Valmark Securities, Inc., 10/2012–12/2019
- Financial Advisor, Kingston Wealth Management, 07/2012-12/2019

### **Item 3 – Disciplinary Information**

Mr. Ruedi does not have any disciplinary action to report. Public information concerning Mr. Ruedi's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Ruedi does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Ruedi is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Lorene K. Shaw, CPA, CFP®, CDFA®

*Lorene (Rene) K. Shaw (b. 1960) is a Principal of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC. She has a membership interest in Savant. She is responsible for overseeing Tax & Accounting Services at our St. Charles, IL location.*

### Item 2 – Educational Background and Business Experience

- B.S., Accounting, Northern Illinois University, DeKalb, IL, 1981
- Certified Divorce Financial Analyst® (CDFA®), 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2002
- Certified Public Accountant (CPA), 1982
- Tax & Business Services Senior Manager, Tax & Consulting, 07/2020-Present
- Tax & Business Services Senior Manager, Savant Tax & Consulting, 03/2020-07/2020
- Principal, Savant Tax & Consulting, 01/2015–03/2020
- Partner, Green, Plagge & Shaw, Ltd., 05/2008–12/2014
- Accountant, Green, Plagge & Shaw, Ltd., 09/1999–04/2008

### Item 3 – Disciplinary Information

Ms. Shaw does not have any disciplinary action to report. Public information concerning Ms. Shaw's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Shaw does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Shaw is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Justin D. Smith, CFP®, CFA®, CAP®

*Justin D. Smith (b. 1982) is a Financial Advisor in Savant's Phoenix, AZ office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Justin routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Finance, University of Michigan, Ann Arbor, MI, 2005
- Chartered Advisor in Philanthropy® (CAP®), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Chartered Financial Analyst® (CFA®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2019–07/2020
- Portfolio Manager/Partner, Slayton Lewis, 04/2010–05/2019
- Business Development, Logan Stone Capital, 06/2009–03/2010
- Financial Advisor Associate, JP Morgan Chase, 04/2009–06/2009

### **Item 3 – Disciplinary Information**

Mr. Smith does not have any disciplinary action to report. Public information concerning Mr. Smith's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Smith does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Smith is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Bradley R. Stewart, CFP®**

*Bradley R. Stewart (b. 1991) is a Financial Advisor in Savant's Freeport, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brad routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Northern Illinois University, DeKalb, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2017–07/2020
- Investment Research Analyst, Savant Capital Management, 05/2015–06/2017
- Portfolio Accountant, Savant Capital Management, 05/2013–12/2013
- Intern, Savant Capital Management, 05/2013–12/2013

### **Item 3 – Disciplinary Information**

Mr. Stewart does not have any disciplinary action to report. Public information concerning Mr. Stewart's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Stewart does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Stewart is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Rebecca J. Stroud, CFA®, AIF®

*Rebecca J. Stroud (b. 1977) is the Director of Client Experience in Savant's Plymouth, MI office. Becky has been involved in the financial services industry since 2002. She worked for AMDG Financial as a partner and director of operations and client service from 2003 until it joined with Savant in 2021. Prior to joining AMDG Financial, Becky worked in a mid-sized tax and accounting firm as a staff accountant and later joined their newly formed investment consulting group as a consulting associate.*

### Item 2 – Educational Background and Business Experience

- M.B.A., University of Missouri, Columbia, MO, 2000
- B.S., Business Administration, University of Tennessee at Martin, Martin, TN, 1999
- Accredited Investment Fiduciary (AIF®), 2017
- Certified Financial Analyst®(CFA®), 2015
- Director of Client Experience, Savant Wealth Management, 07/2021-Present
- Partner/Director of Operations and Client Service, AMDG Financial, 09/2015–Present
- Investment Advisor Associate, AMDG Financial, 12/2003–12/2012
- Investment Management Consultant, Rubin Brown Advisors, 07/2002–10/2003

### Item 3 – Disciplinary Information

Mrs. Stroud does not have any disciplinary action to report. Public information concerning Mrs. Stroud's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of AMDG Financial, a Registered Investment Advisor, on 6/30/2021. Mrs. Stroud is a Financial Advisor for AMDG Financial during the transition period. At that time, the registration for AMDG Financial will be withdrawn. Mrs. Stroud does not have any other business activities.

### Item 5 – Additional Compensation

Mrs. Stroud is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Wayne B. Titus III, CPA/PFS, AIFA®

Wayne B. Titus III (b. 1965) is a Managing Director - Detroit region and Financial Advisor in Savant's Plymouth, MI office, serves as managing director for the Detroit metro market, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Wayne regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.

### Item 2 – Educational Background and Business Experience

- M.S. Employee Benefits, John Marshall Law School, Chicago, IL, 2015 with honors
- B.S. Accounting, University of South Florida, Tampa, FL 1991
- B.A. Business Administration, Grove City College, Grove City, PA, 1987
- Accredited Investment Fiduciary Analyst® (AIFA®), 2006
- Personal Financial Specialist (PFS), 2002
- Certified Public Accountant (CPA), 1995
- Managing Director and Financial Advisor, Savant Wealth Management, 07/2021-Present
- Chief Compliance Officer/Advisor Representative, AMDG Financial, 05/2002-Present
- Member, AMDG Business Advisory Service PLC, 01/2002-Present
- Senior Manager, PricewaterhouseCoopers, 01/1996-04/2002
- Manager, Ernst & Young, 1991-06/1996

### Item 3 – Disciplinary Information

Mr. Titus does not have any disciplinary action to report. Public information concerning Mr. Titus's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of AMDG Financial, a Registered Investment Advisor, on 6/30/2021. Mr. Titus the Chief Compliance Officer/Advisor Representative for AMDG Financial during the transition period. At that time, the registration for AMDG Financial will be withdrawn.

Mr. Titus is the sole member of AMDG Business Advisory Services PLC, a CPA practice that provides tax and accounting services to families and small businesses. Clients of Savant are not required to use the services of AMDG Business Advisory Services PLC, and vice versa. Mr. Titus devotes approximately 10% of time to this outside business activity. Mr. Titus also owns 100% of Titus Holdings and 50% of Kaliti holdings. Both are self-rental real estate holding companies.

### Item 5 – Additional Compensation

Mr. Titus is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients. Mr. Titus does not receive any economic benefit from non-advisory clients for the provision of advisory services.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Joseph Trimble, CFP®

*Joseph (“JT”) Trimble (b. 1988) is a Financial Advisor in Savant's McLean, VA office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. JT routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Master of Science in Education, Baylor University, Waco, TX, 2011
- B.S. Business Administration, Finance emphasis, Colorado Christian University, Lakewood, CO, 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 02/2021–Present
- Client Account Manager, YHB Wealth Advisors, LLC, 08/2018-01/2021
- Sr. Specialist – HNW Rep, Charles Schwab & Co., 08/2016-06/2018

### **Item 3 – Disciplinary Information**

Mr. Trimble does not have any disciplinary action to report. Public information concerning Mr. Trimble's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Trimble does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Trimble is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Stephanie L. Willison, CFP®, AIF®, CPA/PFS

*Stephanie L. Willison (b. 1978) is a Financial Advisor in Savant's Greenwood, IN office, is a member of the Advisory Team, and has a membership interest in Savant. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Stephanie regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S. Accounting, University of Indianapolis, IN, 1999
- Accredited Investment Fiduciary (AIF®), 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2005
- Personal Financial Specialist (PFS), 2004
- Certified Public Accountant (CPA), 2004
- Financial Advisor, Savant Wealth Management, 09/2021–Present
- Owner-Financial Advisor, Treybourne Wealth Planners, 12/2010–Present
- Owner-Vice President, WealthPoint Advisors, LLC, 10/2009–11/2010
- Associate Vice President, RJP Investment Advisors, LLP, 10/1999–10/2009

### Item 3 – Disciplinary Information

Ms. Willison does not have any disciplinary action to report. Public information concerning Ms. Willison's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Savant Wealth Management acquired the assets of Treybourne Wealth Planners, a Registered Investment Advisor, on 8/31/2021. Ms. Willison is a Financial Advisor for Treybourne Wealth Planners during the transition period. At that time, the registration for Treybourne Wealth Planners will be withdrawn. Ms. Willison does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Willison is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Robert J. Witt, CFP®, RICP®

*Robert J. Witt (b. 1971) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Bob regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- Bachelor of Science, University of WI, 1995
- Retirement Income Certified Professional®, (RICP®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Life, Health, Variable Contracts Insurance License, WI, 1997
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013-04/2020
- Wealth Manager, Huber Financial Group, Ltd., 08/2007-07/2013

### Item 3 – Disciplinary Information

Mr. Witt does not have any disciplinary action to report. Public information concerning Mr. Witt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Witt has no other business activities.

### Item 5 – Additional Compensation

Mr. Witt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Scott M. Wintersteen, CPA, CFA®

*Scott M. Wintersteen (b. 1983) is a Financial Advisor in Savant's Lincolnshire, IL office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Scott regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Accounting, Purdue University, Krannert School of Management, West Lafayette, IN, 2006
- Chartered Financial Analyst® (CFA®), 2014
- Certified Public Accountant, 2008
- Financial Advisor, Savant Wealth Management, 10/2020–Present

### Item 3 – Disciplinary Information

Mr. Wintersteen does not have any disciplinary action to report. Public information concerning Mr. Wintersteen's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Wintersteen has no other business activities.

### Item 5 – Additional Compensation

Mr. Wintersteen is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Maureen D. Wright, CFP®

*Maureen D. Wright (b. 1991) is a Financial Advisor in Savant's Chicago, IL office and a member of the Advisory Team. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Maureen regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.S., Finance, Illinois State University, Normal, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2016
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Financial Advisor, Huber Financial Advisors, LLC, 06/2019-04/2020
- Financial Planner, Huber Financial Advisors, LLC, 09-2017-06/2019
- Client Service Director, Huber Financial Advisors, LLC, 11/2015-09/2017
- Client Service Associate, Huber Financial Advisors, LLC, 05/2013-11/2015

### Item 3 – Disciplinary Information

Ms. Wright does not have any disciplinary action to report. Public information concerning Mr. Wright's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Wright does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Wright is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Derek A. Carter, CFP®

*Derek A. Carter (b. 1973) is a Due Diligence, Onboarding and Integration Manager in Savant's Rockford, IL office, is a member of the Merger & Acquisitions Team, and has a membership interest in Savant. He is responsible for performing, overseeing and coordinating most aspects of M&A related due diligence, client and employee onboarding and integration.*

### Item 2 – Educational Background and Business Experience

- M.B.A., Edgewood College, Madison, WI, 2002
- B.S., Political Science, University of Wisconsin, Madison, WI, 1995
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Due Diligence, Onboarding and Integration Manager, Savant Wealth Management, 07/2020-Present
- Due Diligence, Onboarding and Integration Manager, Savant Capital Management, 03/2020-07/2020
- Investment Research Analyst/Portfolio Integration Manager, Savant Capital Management, 03/2015–03/2020
- Investment Research Analyst, Savant Capital Management, 03/2014–02/2015
- Trader/Portfolio Coordinator, Savant Capital Management, 01/2012–03/2014
- Trader/Portfolio Coordinator, Savant Capital Management, Inc., 03/2008–01/2012
- Trader, Savant Capital Management, Inc., 06/2003–03/2008

### Item 3 – Disciplinary Information

Mr. Carter does not have any disciplinary action to report. Public information concerning Mr. Carter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Carter does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Carter is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Office. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Daniel B. Crowley, CFP®, CFA®**

*Daniel B. Crowley (b. 1995) is an Investment Research Analyst in Savant's Chicago, IL office and a member of the Investment Team. He is responsible for creating investment analysis reports and conducting portfolio reviews to assist the financial advisors in developing optimal planning and investment strategies for clients.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, Illinois State University, Normal, IL, 2016
- Chartered Financial Analyst (CFA®), 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Investment Research Analyst, Savant Wealth Management, 07/2020-Present
- Investment Research Analyst, Savant Capital Management, 10/2019-07/2020
- Financial Planner, Savant Capital Management, 10/2018–10/2019
- Junior Financial Planner, D3 Financial Counselors, 06/2017–09/2018
- Wealth Management Intern, Country Financial, 05/2016-04/2017

**Item 3 – Disciplinary Information**

Mr. Crowley does not have any disciplinary action to report. Public information concerning Mr. Crowley's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Crowley does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Crowley is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Michael T. Cyrs, JD, CFP®

*Michael T. Cyrs (b. 1969) is the Senior Director of Wealth Transfer in Savant's Rockford, IL office, is a member of the Executive Team, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Mike oversees the planning and wealth transfer teams and assists our financial advisors with developing complex wealth transfer and asset protection strategies. In addition, he is responsible for guiding the work of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC. Mike brings to Savant over 20 years' experience as a private attorney concentrating on complex estate and business succession planning, charitable planning, and advising clients regarding all types of trusts and estate administration.*

### **Item 2 – Educational Background and Business Experience**

- J.D., Marquette Law School, Milwaukee, WI, 1994
- M.B.A., Marquette Graduate School of Business, Milwaukee, WI, 1994
- B.A.A., Business Administration, Notre Dame, Notre Dame, IN, 1991
- CERTIFIED FINANCIAL PLANNER™ professional, 2000
- Senior Director of Wealth Transfer, Savant Wealth Management, 11/2020-Present
- Director of Wealth Advisory, Savant Capital Management, 03/2017–11/2020
- Estate and Wealth Transfer Advisor, Savant Capital Management, 11/2014–02/2017
- Attorney, Williams, McCarthy, LLP, 09/1997–10/2014
- Attorney, Hinshaw and Culbertson Law Firm, 06/1994–08/1997

### **Item 3 – Disciplinary Information**

Mr. Cyrs does not have any disciplinary action to report. Public information concerning Mr. Cyrs' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cyrs has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients however clients have no obligation to use them.

### **Item 5 – Additional Compensation**

Mr. Cyrs is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Jonathan J. Fuller

*Jonathan J. Fuller (b. 1993) is an Investment Research Analyst in Savant's Rockford, IL office and a member of the Investment Team. He is responsible for creating investment analysis reports and conducting portfolio reviews to assist the financial advisors in developing optimal planning and investment strategies. Jon contributes to Savant newsletters, market commentary, and fund due diligence for potential inclusion in Savant investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Economics, Beloit College, College, WI, 2016
- Investment Research Analyst, Savant Wealth Management, 07/2020-Present
- Investment Research Analyst, Savant Capital Management, 07/2017–07/2020

### **Item 3 – Disciplinary Information**

Mr. Fuller does not have any disciplinary action to report. Public information concerning Mr. Fuller's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Fuller does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Fuller is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Tawn M. Jacobs, CPA/PFS, CFP®

*Tawn M. Jacobs (b. 1962) is the Director of Financial Planning and Tax Services with Savant and has a membership interest in Savant. She serves as secretary for the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Tawn has direct responsibility for the framing, development, and execution of all of Savant's financial planning opportunities in a cross-functional manner.*

### Item 2 – Educational Background and Business Experience

- M.S.T., DePaul University, Chicago, IL, 1992
- B.S. Accounting, Northern Illinois University, Dekalb, IL, 1989
- Personal Financial Specialist (PFS), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2000
- Certified Public Accountant (CPA), 1989
- Director of Financial Planning and Tax Services, Savant Wealth Management, 07/2020-Present
- Director of Financial Planning and Tax Services, Savant Capital Management, 03/2019–07/2020
- Manager of Financial Planning, Savant Capital Management, 01/2013–02/2019
- Director of Financial Planning, Savant Capital Management, 01/2012–01/2013
- Senior Financial Planner, Savant Capital Management, Inc., 05/2004–01/2012
- Tax Preparation/Review, Siepert & Company, 02/2004–04/2004
- Tax Review, Loescher & Associates, 02/2004–04/2004
- Tax Preparation/Review, Diversified Financial Management Corp., 08/2002–08/2003
- Tax Preparation, RSM McGladrey, Inc., 01/2002–04/2002
- Registered Rep, Birchtree Financial Services, Inc., 03/2001–05/2001
- Tax Manager, RSM McGladrey, Inc., 06/1997–05/2001
- CPA, Owner, Jacobs & Jacobs, CPA's, 01/1994–05/1997
- Tax Accounting Officer, AMCORE Financial, Inc., 05/1992–01/1994
- Senior Tax Specialist, KPMG Peat Marwick, 07/1989–05/1992

### Item 3 – Disciplinary Information

Ms. Jacobs does not have any disciplinary action to report. Public information concerning Ms. Jacobs' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Jacobs does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Jacobs is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Jessica L. Knudsen, CFP®, CSR®P®, MPAS®

*Jessica L. Knudsen (b. 1979) is a Financial Planning Supervisor in Savant's Rockford, IL office within the Financial Planning and Tax Team and has a membership interest in Savant. She works closely with Savant's financial advisors to prepare comprehensive client financial plans and coordinate plan implementation. She also specializes in creating analyses to determine Roth conversion opportunities and optimal cash flow distributions in retirement. Jessica is a firm expert in developing complex Excel spreadsheets and proprietary analytical tools.*

### Item 2 – Educational Background and Business Experience

- M.S., Personal Financial Planning, College for Financial Planning, 2016
- B.S., Economics, emphasis in Finance, Rockford College, Rockford, IL, 2001
- Master Planner Advanced Studies<sup>SM</sup>, 2016
- Certified Specialist in Retirement Planning<sup>SM</sup> (CSR®P®), 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2006
- Financial Planning Supervisor, Savant Wealth Management, 07/2020-Present
- Financial Planning Supervisor, Savant Capital Management, 03/2018–07/2020
- Senior Financial Planner, Savant Capital Management, 01/2012–02/2018
- Financial Planner, Savant Capital Management, Inc., 08/2004–12/2011
- Cashier/Supervisor, Sam's Club, 03/2002–12/2004
- Paraplanner, American Express Financial Advisor, 06/2001–04/2003

### Item 3 – Disciplinary Information

Ms. Knudsen does not have any disciplinary action to report. Public information concerning Ms. Knudsen's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Knudsen does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Knudsen is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Mary T. Lynch, CFP®

*Mary T. Lynch (b. 1966) is a Wealth Transfer Specialist in Savant's Madison, WI office and a member of the Planning Team. She works closely with the Advisory Team to prepare client financial plans and develop tax strategies for clients. Mary is also responsible for gathering, organizing, and synthesizing client data to support financial advisors in developing planning and investment strategies.*

### Item 2 – Educational Background and Business Experience

- M.B.A. General Management, University of Chicago, Booth School of Business, Chicago, IL, 2005
- B.A., Art History, Northwestern University, Evanston, IL, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Wealth Transfer Specialist, Savant Wealth Management, 07/2020-Present
- Wealth Transfer Specialist, Savant Capital Management, 03/2020-07/2020
- Financial Planner, Savant Capital Management, 05/2016–03/2020
- Financial Planning Associate, Boyden Financial, Mt. Horeb, WI, 09/2011–02/2016

### Item 3 – Disciplinary Information

Ms. Lynch does not have any disciplinary action to report. Public information concerning Ms. Lynch's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Ms. Lynch does not have any other business activities.

### Item 5 – Additional Compensation

Ms. Lynch is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Dominick J. Parillo, JD, CFP®

*Dominick J. Parillo (b. 1989) is the Director of Wealth Transfer in Savant's McLean, VA office within the Planning Team and has a membership interest in Savant. He works closely with the Advisory Team to analyze client estate plans, develop wealth transfer and asset protection strategies, and assist with trust and estate administration. Dom is also knowledgeable in many other financial planning areas including retirement planning, income tax, estate and gift tax, education planning, risk management, and investment planning.*

### Item 2 – Educational Background and Business Experience

- JD, George Mason University – Antonin Scalia Law School, Arlington, VA, 2020
- B.S. in Finance, Northern Illinois University, Dekalb, IL, 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Director of Wealth Transfer, Savant Wealth Management, 07/2020-Present
- Director of Wealth Transfer, Savant Capital Management, 03/2020-07/2020
- Wealth Transfer Advisor, Savant Capital Management, 05/2017–03/2020
- Financial Planner, Savant Capital Management, 03/2013–05/2017
- Financial Paraplanner, Savant Capital Management, 01/2012-02/2013
- Financial Paraplanner, Savant Capital Management, Inc., 07/2011–12/2011

### Item 3 – Disciplinary Information

Mr. Parillo does not have any disciplinary action to report. Public information concerning Mr. Parillo's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Parillo has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients; however, clients have no obligation to use them.

### Item 5 – Additional Compensation

Mr. Parillo is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kelli M. Peterson, CPA, CFP®, ATA**

*Kelli M. Peterson (b. 1988) is Tax and Business Services Supervisor in Savant's Rockford, IL office and a member of the Wealth Strategy Team. She works closely with the Advisory Team to prepare client financial plans, develop tax strategies for clients, and assist with the implementation of tax planning and consulting opportunities. Kelli also works closely with Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC, during tax season, preparing and reviewing personal and business income tax returns.*

### **Item 2 – Educational Background and Business Experience**

- M.P.A., U.W. Whitewater, Whitewater, WI, 2011
- B.S. in Business Administration, U.W Whitewater, Whitewater, WI, 2010
- Accredited Tax Advisor (ATA), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Certified Public Accountant (CPA), 2013
- Tax and Business Services Supervisor, Savant Wealth Management, 07/2020-Present
- Tax and Business Services Supervisor, Savant Capital Management, 03/2020-07/2020
- Financial Planning & Tax Specialist, Savant Capital Management, 11/2017–03/2020
- Financial Planner, Savant Capital Management, 09/2015–11/2017
- Tax Accountant, Kerry Group, 01/2011–09/2015

### **Item 3 – Disciplinary Information**

Mrs. Peterson does not have any disciplinary action to report. Public information concerning Mrs. Peterson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mrs. Peterson does not have any other business activities.

### **Item 5 – Additional Compensation**

Mrs. Peterson is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Eamon M. Verdone, CFP®, CFA®, CAIA®**

*Eamon M. Verdone (b. 1991) is a Senior Investment Research Analyst in Savant's Rockford, IL office, is a member of the Investment Research Team and has a membership interest in Savant. He focuses on quantitative and qualitative due diligence of asset classes, fund managers, and individual funds. Eamon leads development of risk premia models and contributes to the evolution of Savant's forward-looking return methodologies. He analyzes prospect and client portfolios, writes market commentaries, supports the Investment Committee, and serves as a resource for the Advisory Team in providing high quality support for complex individual client needs. In addition to his role on the Investment Research Team, Eamon performs financial modeling and due diligence of M&A candidate firms for Savant's Corporate Development Team and assists the Executive Team with analysis and implementation of strategic initiatives.*

### **Item 2 – Educational Background and Business Experience**

- B.S. in Finance, Northern Illinois University, Dekalb, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Chartered Alternative Investment Analyst®(CAIA®), 2018
- Chartered Financial Analyst® (CFA®), 2017
- Senior Investment Research Analyst, Savant Wealth Management, 07/2020-Present
- Senior Investment Research Analyst, Savant Capital Management, 11/2018–07/2020
- Investment Research Analyst, Savant Capital Management, 01/2014–11/2018

### **Item 3 – Disciplinary Information**

Mr. Verdone does not have any disciplinary action to report. Public information concerning Mr. Verdone's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Verdone does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Verdone is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Growth and Practice Officer; Rob Morrison, Chief Strategy and Innovation Officer; Katie Calagui, Chief People Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## APPENDIX A

### Professional Designations and Certifications

#### **Accredited Investment Fiduciary® (AIF®)**

Administered by fi360, the Accredited Investment Fiduciary® (AIF®) professional designation demonstrates knowledge and competency in the area of fiduciary responsibility and communicates a commitment to standards of investment fiduciary excellence. Holders of the AIF® mark have successfully completed a specialized program on investment fiduciary standards and subsequently passed a comprehensive examination.

#### **Accredited Investment Fiduciary Analyst™ (AIFA®)**

Administered by fi360, the Accredited Investment Fiduciary Analyst™ (AIFA®) professional designation focuses on the process of conducting fiduciary assessments. The AIFA designation not only signifies the same thorough knowledge of the prudent process for investment fiduciaries that the AIF designation represents, but also the ability to perform assessments of that process. AIFA designees' primary function is to perform, or assist in, assessments of an investment steward's, advisor's, or manager's conformance to a Global Fiduciary Standard of Excellence using fi360's ISO-like procedure of assessment. AIFA designees possess the ability and knowledge to advise clients of deficiencies in investment processes. It is also the required mark to perform a CEFEX Fiduciary Certification, the independent recognition of a fiduciary's conformity to all fiduciary practices and criteria. To become an AIFA, one must have graduated from fi360's AIF training. In addition, there are specific prerequisite work experiences.

#### **Accredited Portfolio Management Advisor<sup>SM</sup> (APMA®)**

Issued by the College for Financial Planning, the Accredited Portfolio Management Advisor<sup>SM</sup> program is a graduate-level introduction to portfolio construction and management. Individuals who hold the APMA® designation have gained hands-on experience involving investment policy statement analysis, portfolio building, and critical asset allocation decision making.

#### **Accredited Tax Advisor (ATA)**

The Accredited Tax Advisor (ATA) is a leading national credential for practitioners who handle sophisticated tax planning issues, including planning for owners of closely held businesses, planning for the highly compensated, choosing qualified retirement plans and performing estate tax planning. In order to become an ATA, candidates must pass the ATA examination and meet a three-year experience requirement. The Accreditation Council for Accountancy and Taxation® (ACAT) seeks to ensure that all accredited individuals possess the theoretical and practical knowledge necessary to be successful practitioners

#### **Accredited Wealth Management Advisor<sup>SM</sup> (AWMA®)**

Individuals who hold the AWMA® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

#### **Behavioral Financial Advisor™ (BFA™)**

The Behavioral Financial Advisor™ (BFA™) is a professional certification developed by Kaplan to provide training to deepen client relationships through professional and personal financial planning consultation. The program is designed to help advisors' mentor and coach individuals regarding their financial decisions. To attain the right to use the BFA™, an individual must complete two comprehensive courses and pass a certifying examination.

#### **Chartered Alternative Investment Analyst® (CAIA®)**

The Chartered Alternative Investment Analyst® (CAIA®) designation is conferred by the CAIA Association. The CAIA® is the globally recognized credential for professionals managing, analyzing, distributing, or regulating alternative investments. The CAIA® designation is awarded to candidates who have successfully passed the Level I and Level II examinations and met the other requirements specified by the CAIA Association.

#### **Certified Divorce Financial Analyst® (CDFA®)**

The Certified Divorce Financial Analyst® (CDFA®) is a professional certification granted in the by the Institute for Divorce Financial Analysts™ (IDFA™). To attain the right to use the CDFA®, an individual must satisfactorily complete a comprehensive course of study approved by the IDFA and pass a four-part Certification Examination that tests their understanding and knowledge of the financial aspects of divorce. In addition, candidates must demonstrate the practical application of this knowledge in the divorce process.

#### **Certified Estate Planner™ (CEP®)**

The CEP® (CERTIFIED ESTATE PLANNER™) is a trademark registered with the United States Patent and Trademark Office, and solely owned by the National Institute of Certified Estate Planners, Inc. This certification mark is awarded to individuals who successfully complete the NICEP certification requirements and who also comply with annual maintenance requirements.

**Certified Exit Planning Advisor (CEPA)**

The CEPA program is for advisors who effectively engage more business owners. CEPA integrates exit strategy into business, personal, and financial goals of the business owner. The process shows that exit strategy is business strategy. It builds, harvests, and preserves family wealth for generations to come and integrates best in class business practices into daily operations. Advisors help to develop the exit planning conversation.

**Certified Financial Planner™ (CFP®)**

The CFP® program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience and agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two years.

**Certified Investment Management Analyst (CIMA)**

The Certified Investment Management Analyst (CIMA) certification program is the only credential designed specifically for financial professionals who want to attain a level of competency as an advanced investment consultant. The CIMA professional integrates a complex body of investment knowledge to provide objective investment advice and guidance to individuals and institutions. That knowledge is applied systematically and ethically to assist clients in making prudent investment decisions. This CIMA designation focuses on asset allocation, ethics, due diligence, risk measurement, investment policy and performance measurement. The CIMA certification program requires that candidates meet all eligibility requirements, including experience, education, examination and ethics.

**Certified 401(k) Professional® (C(k)P®)**

A Certified 401(k) Professional designation represents the pinnacle of achievement for the professional Retirement Plan advisor, recognizing a combination of substantial practical retirement plan management experience, and the completion of a comprehensive and highly specialized educational program. To earn the right to use the C(k)P® designation requires more than just academic aptitude. A financial professional must have demonstrated real world application of the core competencies taught in the classroom. The C(k)P® designation identifies financial professionals who have the knowledge and experience to favorably affect the outcome of corporate retirement plans.

**Certified Medical Planner (CMP®)**

A Certified Medical Planner® undertakes a year-long study of topics specifically related to financial planning for medical professionals. A CMP® has an expertise in catering to the needs of doctors in order to meet their specific financial goals.

**Certified Plan Fiduciary Advisor (CPFA)**

The Certified Plan Fiduciary Advisor (CPFA) designation, offered through The National Association of Plan Advisors, helps clients objectively review their financial status, identify potential concerns, and recommend the appropriate solutions for addressing retirement matters. Advisors who carry this designation have extensive knowledge on retirement plans. Annual continuing education is required.

**Certified Retirement Counselor (CRC®)**

The Certified Retirement Counselor® (CRC®) designation, offered through The International Foundation for Retirement Education (InfRE), recognizes retirement planning professionals who demonstrate a mastery of subject matter, a commitment to the retirement planning profession, and adherence to a code of ethics and continuing education requirements.

**Certified Specialist in Retirement Planning® (CSRP)**

The Certified Specialist in Retirement Planning® (CSRP) designation is conferred by the National Institute for Excellence in Professional Education, LLC. Candidates must complete a curriculum of five core and two elective courses and pass a related exam for each.

**Certified Specialist in Estate Planning® (CSEP)**

The Certified Specialist in Estate Planning® (CSEP) designation is conferred by the National Institute for Excellence in Professional Education, LLC. Candidates must complete a curriculum of six core and two elective courses and pass a related exam for each.

**Certified Trust and Financial Advisor (CTFA)**

The Certified Trust and Financial Advisor (CTFA) designation signifies that an individual working in this field has attained comprehensive training in the following professional knowledge areas: Fiduciary & Trust Activities, Financial Planning, Tax Law & Planning, Investment Management, and Ethics. Candidates must meet the experience, education, ethics and examination requirements determined to be competency measures for wealth management professionals.

**Certified Public Accountant (CPA)**

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a



baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA,) and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

#### **Chartered Advisor in Philanthropy® (CAP®)**

The Chartered Advisor in Philanthropy® (CFAP) designation is conferred by The American College. To obtain the CAP charter, candidates must successfully complete three exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in tax strategies for charitable giving, business exit planning, estate planning, legacy planning, and gift-planning for nonprofits. Philanthropic planning offers a positive difference in communities and the world.

#### **Chartered Financial Analyst® (CFA®)**

The Chartered Financial Analyst® (CFA®) designation is conferred by the CFA Institute. To obtain the CFA charter, candidates must successfully complete three exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in accounting, ethical and professional standards, economics, portfolio management and security analysis.

#### **Chartered Financial Consultant® (ChFC®)**

The Chartered Financial Consultant® (ChFC®) designation program focuses on the comprehensive financial planning process as an organized way to collect and analyze information on a client's total financial situation; to identify and establish specific financial goals; and to formulate, implement, and monitor a comprehensive plan to achieve those goals. The ChFC® program provides financial planners and others in the financial services industry with in-depth

knowledge of the skills needed to perform comprehensive financial planning for their clients. Candidates must pass an examination for six required courses and two elective courses to earn the ChFC designation.

#### **Chartered Life Underwriter® (CLU®)**

The Chartered Life Underwriter® (CLU®) credential is specifically designed to enhance the knowledge of people employed in the life insurance industry. Most, but not all, individuals who seek or have earned this designation are also licensed insurance agents or brokers. The CLU is conferred only upon successful completion of a ten-part course of study that covers fundamentals of economics, finance, taxation, investments, and other areas of risk management as they apply to life insurance. The course of study can be completed through home study or by attendance of courses offered by either a branch of the American Society of Chartered Life Underwriters or an affiliated college or university.

#### **Chartered Retirement Planning Counselor<sup>SM</sup> (CRPC®)**

The Chartered Retirement Planning Counselor<sup>SM</sup> (CRPC®) program is offered through the College for Financial Planning® and focuses on the pre- and post-retirement needs of individuals, asset management, estate planning, and the entire retirement planning process using models and techniques from real client situations. Candidates must pass an end-of-course, multiple-choice examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

#### **Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®)**

The Chartered Retirement Planning Specialist<sup>SM</sup> (CRPS®) program is offered through the College for Financial Planning® and focuses on the design, installation, maintenance, and administration of retirement plans. The curriculum for the CRPS designation encompasses specific content covering both theory and practical application. Candidates must pass an end-of-course, multiple-choice examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

#### **Enrolled Agent (EA)**

An Enrolled Agent has earned the right to represent taxpayers before the Internal Revenue Service worldwide. Enrolled Agents, like attorneys and certified public accountants (CPAs), may represent all taxpayers, from individuals with simple tax returns to Fortune 100 tax returns. Enrolled Agents face no restrictions on the types of tax matters they can handle and the IRS offices before which they can practice. Enrolled Agents are licensed by the Department of Treasury and can represent taxpayers throughout the United States and overseas.

**Life Underwriter Training Council Fellow (LUTCF)**

The LUTCF designation combines essential product knowledge with the skills financial professionals must have to advise individuals and businesses effectively on their insurance and planning needs. A key to the program is ethical selling practices, and Ethics for the Financial Services Professional is required for every designee. LUTCF candidates must complete six courses, one required and five electives and pass an exam for each course. Candidates must be a member of NAIFA to be awarded the designation following completion of all of the educational requirements.

**Master of Accounting Science (MAS)**

The MAS designation is offered to individuals who already hold a Bachelor of Science in accountancy. The curriculum for the MAS designation has emphasis on the emerging trend of data analytics, problem identification and information analysis, including financial accounting, audit and tax, advisory and managerial, and forensics. The program received a STEM-designation of data analytics and an understanding of how emerging technology will impact the future.

**Master Planner Advanced Studies<sup>SM</sup> (MPAS<sup>®</sup>)**

The MPAS<sup>®</sup> designation is offered to individuals who have completed a Master of Science degree with a major in personal financial planning or financial analysis. The individual must demonstrate critical thinking skills and complex problem-solving techniques while completing assignments, projects, research and various papers to meet graduation requirements. The issuing organization is the College for Financial Planning<sup>®</sup>. To be eligible to pursue the MPAS<sup>®</sup> designation, a candidate must have earned a bachelor's or Graduate degree from a regionally-accredited college or university. The curriculum for the MPAS<sup>®</sup> designation encompasses specific content covering both theory and practical application. Candidates are required to complete their combination of assignments, projects, research and papers with a minimum cumulative 3.0 GPA.

**Master of Science in Personal Financial Planning (MS)**

The MS credential is designed for individuals interested in pursuing the CFP<sup>®</sup> Certification while also earning a graduate degree. The MS curriculum focuses on practical application learning through group discussions, writing assignments, and case study projects. This hands-on approach provides a deeper understanding of the subject matter and unique real-world insights. The MS credential is the highest level of financial education possible and is accredited by the Higher Learning Commission (HLC).

**Personal Financial Specialist (PFS)**

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal

financial planning. The PFS credential is administered through the AICPA.

**Professional Plan Consultant (PPC<sup>™</sup>)**

The PPC designation signifies an ability to employ best practices that help plan sponsors run successful and compliant practices and is awarded to those that complete a curriculum based on ERISA regulations and industry best practices as it pertains to the management of a qualified plan. The issuing organization is Financial Service Standards, LLC ("FSS").

**Qualified 401(k) Administrator (QKA)**

The Qualified 401(k) Administrator (QKA) credential is offered for retirement plan professionals who work primarily with 401(k) plans. Applicants for the QKA credentials are from various professional disciplines. They typically assist employers and consultants with the recordkeeping, non-discrimination testing and the administrative aspects of 401(k) and related defined contribution plans. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QKA examination series to be a candidate for this credential.

**Qualified 401(k) Consultant (QKC)**

The Qualified 401(k) Consultant (QKC) credential was created by ASPPA to recognize professionals who has extensive defined contributions (DC) knowledge and demonstrates comprehensive understanding of 401(k) plan designs. QKCs assist with common complex topics among client-facing and technical personnel, thus increasing client satisfaction between plan sponsors and financial advisors. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's DC Advanced Compliance and Administration Topics (DC-3) examination series to be a candidate for this credential.

**Qualified Pension Administrator (QPA)**

The Qualified Pension Administrator (QPA) credential was created by ASPPA to recognize professionals who are qualified to perform the technical and administrative functions of qualified plan administration. QPAs assist employers, actuaries, and consultants in performing functions such as determination of eligibility benefits, computation of benefits, plan recordkeeping, trust accounting and disclosure, and compliance requirements. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QPA examination series to be a candidate for this credential.

**Qualified Plan Financial Consultant (QPFC)**

QPFC is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The QPFC program provides an understanding of general retirement planning concepts, terminology,

distinctive features of qualified plans and the role of retirement plan professionals. QPFC is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QPA examination series to be a candidate for this credential.

**Retirement Plans Associate (RPA®)**

The Retirement Plans Associate (RPA) designation is earned by individuals in the group benefits arena who complete the extensive four-course curriculum and successfully pass the examination.

**Retirement Income Certified Professional (RICP®)**

The Retirement Income Certified Professional (RICP) designation is offered and recognized by the American College. Candidates must have three (3) years of industry experience and pass three self-paced courses covering the full retirement planning process.