

**Item 1 – Cover Page****Form ADV Part 2B Brochure Supplement****March 1, 2024**

All supervised persons can be reached at the address and telephone listed above. Please refer to the descriptions of professional designations listed at the end of this document in Appendix A. This brochure supplement provides information about our supervised persons that supplements the Savant Wealth Management brochure. You should have received a copy of that brochure. Please contact us at [info@savantwealth.com](mailto:info@savantwealth.com) if you did not receive Savant Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about our supervised persons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Allison A. Alexander, CPA, CFP®, CDFA®**

*Allison Alexander (b. 1963) a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. She is a founding member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Allison is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Accounting, Indiana University, Kelley School of Business, Bloomington, IN, 1985
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Certified Divorce Financial Analyst® (CDFA®), 2007
- Certified Public Accountant (CPA), 1986
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2015–07/2020
- Financial Consultant, McMurr I & McMurr II, 11/2014–02/2015
- Financial Consultant, Allison A. Alexander, CPA, 01/2008–12/2010
- Accountant, Todd D. Alexander, MDSC, 07/1996–04/2006
- Accounting Manager, BCS Financial Corporation, 07/1991–02/1995
- Certified Public Accountant, PWC, 01/1989–06/1991
- Internal Auditor, Washington University-St. Louis, 01/1987–12/1988
- Certified Public Accountant, KPMG, 07/1985–01/1987

**Item 3 – Disciplinary Information**

Ms. Alexander does not have any disciplinary action to report. Public information concerning Ms. Alexander's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Alexander does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Alexander is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Richard H. Allison, CFP®**

*Richard Allison (b. 1976) is a Financial Advisor in Savant's Manassas, VA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Civil Engineering, University of Utah, Salt Lake City , UT, 2000
- MBA, West Texas A&M University, Canyon, TX, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Officer, United States Air Force, 06/2000-12/2014
- Officer, United States Air Force Reserves, 03/2016-Present
- Associate Advisor, Alliant Wealth Advisors, Manassas, VA 01/2021-06/2022

**Item 3 – Disciplinary Information**

Mr. Allison does not have any disciplinary action to report. Public information concerning Mr. Allison's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Allison does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Allison is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jonathan P. Alton, CFP®**

*Jonathan P. Alton (b. 1993) is a Financial Advisor in Savant's Wilmette, IL office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Jon routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Agriculture/Consumer Economics, emphasis Personal Finance, Minor in Communication, a James Scholar graduate with High Honors, University of IL Urbana, Champaign, IL, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 11/2021-Present
- Analyst, Sebold Capital Management, 01/2020–08/2021
- Jr Planning Analyst, Vantage Financial Partners, 01/2016–01/2020
- Financial Planning Associate, Vantage Financial Partners, 08/2015–01/2016

### **Item 3 – Disciplinary Information**

Mr. Alton does not have any disciplinary action to report. Public information concerning Mr. Alton's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Alton does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Alton is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Stephen H. Ambrose, CFP®**

*Stephen ("Trey") H. Ambrose III (b. 1998) is an Financial Advisor in Savant's Rockford, IL office. He has been involved in the financial services industry since 2017, when he joined Savant as an intern. Trey earned a bachelor's degree in business administration from University of Wisconsin in Whitewater, and then started a locally grown produce company that sold to high-end restaurants. He also was a crew manager for Ambrose Greenhouse & Landscaping.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, University of Wisconsin, Whitewater, WI, 2019
- Associate of Arts, Rock Valley College, Rockford, IL, 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 01/2024 – Present
- Associate Advisor, Savant Wealth Management, 02/2021-12/2023
- Owner, Ambrose Greenhouse, 08/2019-02/2021
- Intern, Savant Wealth Management, 09/2017-05/2018

### **Item 3 – Disciplinary Information**

Mr. Ambrose does not have any disciplinary action to report. Public information concerning Mr. Ambrose's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Ambrose does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Ambrose is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Dominic J. Anzevino**

*Dominic J. Anzevino (b. 1970) is a Regional Director of Advisory in Savant's Rockford, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Muskingum University, New Concord, OH, 1994
- Regional Director of Advisory, Savant Wealth Management, 11/2022-Present
- Investment Advisor Representative, The Retirement Planning Group, 08/2021-11/2022
- Investment Advisor, Golden Reserve, 08/2020-06/2021
- Investment Advisor, Financial Engines Advisors, LLC, 03/2016-08/2020
- Investment Advisor, Financial Engines Advisor Center, LLC, 07/2015-12/2016
- Senior Vice President-Investments, TMFS Advisors, LLC, 07/2015-11/2016
- Investment Advisor, The Mutual Fund Store-Ohio, LLC, 11/2012-09/2015

### **Item 3 – Disciplinary Information**

Mr. Anzevino does not have any disciplinary action to report. Public information concerning Mr. Anzevino's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Anzevino does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Anzevino is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Martin J. Armbruster, CPA/PFS, CFP®**

*Martin J. Armbruster (b. 1953) is a Managing Director – Savant Indiana and Financial Advisor in Savant's Greenwood, IN office, is a member of the Advisory Team, serves as managing director for the Indiana market, and has a membership interest in Savant. Marty is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.B.A., emphasis Accounting, University of Notre Dame, IN, 1975
- Personal Financial Specialist (PFS), 1995
- CERTIFIED FINANCIAL PLANNER™ professional, 1982
- Certified Public Accountant (CPA), 1978
- Financial Advisor, Savant Wealth Management, 09/2021-Present
- President/Investment Advisor, Treybourne Wealth Planners (fka Sherman & Armbruster), 12/1983–12/2021
- Partner, Sherman & Armbruster LLP, 12/1983-12/2018

### **Item 3 – Disciplinary Information**

Mr. Armbruster does not have any disciplinary action to report. Public information concerning Mr. Armbruster's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Armbruster does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Armbruster is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Matthew D. Armstrong, CFP®, CRPS®, AIF®**

*Matthew Armstrong (b. 1980) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Matt routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.A., Business Administration, emphasis Marketing, Augsburg College, Minneapolis, MN, 2002
- Accredited Investment Fiduciary® (AIF®), 2018
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2012
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Marketing Coordinator, Savant Capital Management, Inc., 05/2005–07/2011
- Consultant, Savant Capital Management, Inc., 02/2005–05/2005
- Marketing Director, Forest Hills Country Club, 08/2002–10/2004

**Item 3 – Disciplinary Information**

Mr. Armstrong does not have any disciplinary action to report. Public information concerning Mr. Armstrong's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Armstrong does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Armstrong is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Sobhi Baghdadi, CFP®**

*Sobhi Baghdadi (b. 1986) is a Financial Advisor in Savant's St. Charles, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., Rockford University, Rockford, IL, 2015
- B.S.B.A., American University of Beirut, Lebanon, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2020-07/2020
- Financial Planner, Savant Capital Management, 03/2017–12/2019
- Financial Advisor Associate, Bates Financial Group, 09/2015–08/2016

### **Item 3 – Disciplinary Information**

Mr. Baghdadi does not have any disciplinary action to report. Public information concerning Mr. Baghdadi's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Baghdadi conducts pro-bono financial planning to cancer patients as a volunteer for the Family Reach Foundation.

### **Item 5 – Additional Compensation**

Mr. Baghdadi is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Cory R. Barel**

*Cory R. Barel (b. 1998) is a Financial Advisor in Savant's Wellesley, MA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Economics, emphasis in Finance & Entrepreneurship, Tufts University, Boston, MA, 2020
- Financial Advisor, Savant Wealth Management, 10/2022-Present
- Associate Advisor, Savant Wealth Management, 08/2021-09/2022
- Advisor Training Program Associate, Savant Wealth Management, 08/2020-08/2021
- Intern, Savant Capital Management, 06/2019-08/2019

### **Item 3 – Disciplinary Information**

Mr. Barel does not have any disciplinary action to report. Public information concerning Mr. Barel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Barel does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Barel is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott P. Baril, CFP®, CPA/PFS, MBA**

*Scott Baril (b. 1960) is a Financial Advisor in Savant's Lincolnshire, IL office.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., Concentration in Finance, University of Minnesota, Minneapolis, MN, 1983
- B.S.B.A., Double Major in Accounting and Corporate Finance, Drake University, Des Moines, IA, 1982
- Personal Financial Specialist (PFS), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Certified Public Accountant (CPA), 1982
- Financial Advisor, Savant Wealth Management, 12/2022-Present
- Financial Planner, Baril Advisors, LLC, 08/2006 - 11/2022

**Item 3 – Disciplinary Information**

Mr. Baril does not have any disciplinary action to report. Public information concerning Mr. Baril's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Baril does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Baril is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Lois E. Basil, CFP®**

*Lois E. Basil (b. 1961) is a Financial Advisor in Savant's Chicago, IL office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Accounting and Business, with a concentration in Human Values and Technology, Augustana College, Rock Island, IL, 1983
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Founder and Senior Advisor, Basil Financial Group, 12/2011-05/2023
- Owner, Basil Group, 05/1999-12/2011

**Item 3 – Disciplinary Information**

Ms. Basil does not have any disciplinary action to report. Public information concerning Ms. Basil's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Basil does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Basil is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Gina M. Beall, CIMA®**

*Gina M. Beall (b. 1969) is Director of Investment Research, chairs the Investment Committee, and has a membership interest in Savant. She supervises the investment research analysts and is responsible for contributing to the management of Savant's investment strategy, conducting portfolio analysis, and managing investment processes for Savant's clients. Gina has authored various articles, papers, and commentary that appear in Savant's newsletters and website.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., DePaul University, Chicago, IL, 1998
- B.B.A. Finance, University of San Diego, San Diego, CA, 1991
- Certified Investment Management Analyst<sup>SM</sup> (CIMA®), 2011
- Director of Investment Research, Savant Wealth Management, 07/2020-Present
- Director of Investment Research, Savant Capital Management, 03/2020-07/2020
- Manager of Investment Research, Savant Capital Management, 03/2017-03/2020
- Investment Research Analyst, Savant Capital Management, 01/2012-02/2017
- Investment Research Analyst, Savant Capital Management, Inc., 04/2010-01/2012
- Investment Strategist, AMCORE, 01/2006-04/2010
- Consultant, Ennis Knupp & Associates, 06/2005-01/2006
- Consultant, Ennis Knupp & Associates, 08/1998-02/2004
- Private Client Services Associate, Morgan Stanley & Co., Inc., 10/1993-08/1998
- Account Executive, San Diego Trust Securities, 02/1992-08/1993
- Client Sales Assistant, Shearson Lehman Brothers, 07/1991-02/1992
- Customer Service, Bank of America, 07/1989-07/1991

### **Item 3 – Disciplinary Information**

Ms. Beall does not have any disciplinary action to report. Public information concerning Ms. Beall's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Beall does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Beall is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Tracy S. Beard, MSFS, CFP®, AIF®**

*Tracy S. Beard (b. 1973) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Tracy routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.S.F.S., Financial Services, American College, Bryn Mawr, PA, 2012
- B.S., Economics/Finance, Rockford College, Rockford, IL, 1997, summa cum laude
- Accredited Investment Fiduciary® (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2002
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2002–01/2012
- Financial Planner, Savant Capital Management, Inc., 07/2001–03/2002
- Account Executive, Spectrum Insurance Agency, Inc., 02/1998–07/2001
- Sales Representative, Benchmark Health Insurance Company, 09/1996–02/1998

### **Item 3 – Disciplinary Information**

Mr. Beard does not have any disciplinary action to report. Public information concerning Mr. Beard's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Beard does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Beard is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kyle B. Beissel, CFP®**

*Kyle B. Beissel (b. 1995) is a Financial Advisor in Savant's York, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting and Business Administration, Lebanon Valley College, Annville, PA 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Senior Associate Wealth Advisor, Domani Wealth, LLC, 01/2021–05/2023
- Associate Wealth Advisor, Domani Wealth, LLC., 04/2018-01/2021
- Emerging Financial Advisor, 1847Financial, 06/2017-04/2018

### **Item 3 – Disciplinary Information**

Mr. Beissel does not have any disciplinary action to report. Public information concerning Mr. Beissel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Beissel does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Beissel is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Richard P. Bender, LUTCF, ChFC®, CLU®, CRPS®, CFP®**

*Richard P. Bender (b. 1968) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Rick regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S. Physical Education Sports Management, Minor in Business
- Administration, University of Wisconsin, La Crosse WI, 1990
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Chartered Financial Consultant® (ChFC®), 2011
- Chartered Life Underwriter (CLU®), 2001
- Life Underwriter Training Council Fellow (LUTCF), 1994
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 11/2012–07/2020
- Consumer Product Specialist, Sentry Insurance, 08/2006–09/2012
- Registered Representative, Mass Mutual/Ferguson Financial, 05/2006–08/2006
- Registered Representative, MML Investors Services, Inc., 05/2006–08/2006
- Business Development Institutional Trust, US Bank, 12/1999–01/2006

**Item 3 – Disciplinary Information**

Mr. Bender does not have any disciplinary action to report. Public information concerning Mr. Bender's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Bender does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Bender is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Timothy J. Bender, JD, CFP®**

*Timothy J. Bender (b. 1959) is a Wealth Transfer Advisor in Savant's Rockford, IL office.*

### **Item 2 – Educational Background and Business Experience**

- JD, Indiana University School of Law – Indianapolis, Indianapolis, IN, 1984, *magna cum laude*
- B.S. General Management/Accounting Option, Purdue University, West Lafayette, IN, 1981, with distinction
- CERTIFIED FINANCIAL PLANNER™ professional, 2005
- Wealth Transfer Advisor, Savant Wealth Management, 02/2023-Present
- Retired, 01/2022-02/2023
- Vice President, Advanced Planning (Estate Planning Specialist), Fidelity Personal and Workplace Advisors, 07/2018-01/2022
- Vice President, Advanced Planning (Estate Planning Specialist), Fidelity Brokerage Services, LLC, 12/2015-01/2022

### **Item 3 – Disciplinary Information**

Mr. Bender does not have any disciplinary action to report. Public information concerning Mr. Bender's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Bender does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Bender is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Angela R. Berkosky, CFA®**

*Angela R. Berkosky (b. 1974) is a Director of Investment Strategy in Savant's Lancaster, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance and Management Science, Shippensburg University, Shippensburg, PA 1996
- M.B.A., Lebanon Valley College, Annville, PA 2002
- Chartered Financial Analyst® (CFA®) 2015
- Director of Investment Strategy, Savant Wealth Management, 05/2023-Present
- Chief Investment Officer, Domani Wealth, LLC, 09/2017–05/2023
- Partner and Senior Portfolio Manager, Domani Wealth, LLC., 01/2015-09/2017
- Family Wealth Guardian, ParnteBeard Wealth Management, LLC, 10/2010-01/2015

### **Item 3 – Disciplinary Information**

Ms. Berkosky does not have any disciplinary action to report. Public information concerning Ms. Berkosky's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Berkosky does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Berkosky is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Patti B. Black, CFP®, CSA®**

*Patti B. Black (b. 1970) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Human Environmental Science, University of Alabama, Tuscaloosa , AL, 1992
- CERTIFIED FINANCIAL PLANNER™ professional, 1996
- Certified Senior Advisor®, 2023
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2017-11/2023
- Partner, Financial Planner, Kinsight, LLC, 12/1992-01/2017

**Item 3 – Disciplinary Information**

Ms. Black does not have any disciplinary action to report. Public information concerning Ms. Black's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Black does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Black is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Wendy M. Blair, CFP®, ChFC®, AIF®**

*Wendy M. Blair (b. 1959) is a Financial Advisor in Savant's Freeport, IL office, is a member of the Advisory Team, and serves as market manager for the Freeport market. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Wendy routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- ABA National Graduate Trust School, 1988
- ABA National Trust School, 1986
- B.S., Marketing, Northern Illinois University, Dekalb, IL, 1981
- A.S., General Business, Highland Community College, Freeport, IL, 1979
- Accredited Investment Fiduciary® (AIF®), 2012
- Chartered Financial Consultant® (ChFC®), 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2008
- Financial Advisor /Market Manager, Savant Wealth Management, 07/2020-Present
- Financial Advisor /Market Manager, Savant Capital Management, 12/2017–07/2020
- Financial Advisor, Savant Capital Management, 01/2012–12/2017
- Financial Advisor, Savant Capital Management, Inc., 11/2006–01/2012
- Vice President, Relationship Manager, Trust Officer,  
US Bank NA, 06/1989–11/2006
- Trust Officer, Merchants National Bank, 06/1987–06/1989
- Assistant to President, Trust Officer, Citizens State Bank, 03/1983–06/1987

**Item 3 – Disciplinary Information**

Ms. Blair does not have any disciplinary action to report. Public information concerning Ms. Blair's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Blair does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Blair is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Brennan D. Blecker, CFP®, ChFC®**

*Brennan D. Blecker (b. 1997) is a Financial Advisor in Savant's Rockford, IL office. He has been involved in the financial services industry since 2019. Brennan earned a bachelor's degree in finance from Illinois State University.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Illinois State University, Normal, IL 2019
- Financial Advisor, Savant Wealth Management, 09/2022-Present
- Investment Operations Analyst, Savant Wealth Management, 01/2020-09/2022
- Insurance Agent, Country Financial, 07/2019-01/2020

### **Item 3 – Disciplinary Information**

Mr. Blecker does not have any disciplinary action to report. Public information concerning Mr. Blecker's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Blecker does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Blecker is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Paul M. Bode CFP®**

*Paul M. Bode (b. 1982) is a Financial Advisor in Savant's St. Charles, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business, Liberty University, Lynchburg, VA, 2004
- MBA, Finance, DePaul University, Chicago, IL 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 03/2023-Present
- Financial Advisor, Plante Moran Financial Advisors, 12/2016-03/2023
- Financial Planner, Linenger Wealth Management, 09/2015-12/2016
- Financial Advisor, Raymond James & Associates, 01/2013-09/2015

### **Item 3 – Disciplinary Information**

Mr. Bode does not have any disciplinary action to report. Public information concerning Mr. Bode's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Bode does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Bode is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Raymond F. Book, III, CPA, PFS, MS**

*Raymond F. Book (b. 1955) is a Tax & Consulting Leader in Savant's Lewes, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, University of Delaware, Newark, DE 1977
- M.S. Taxation, Widener University, Wilmington, DE 1990
- Certified Public Accountant (CPA), 1979
- Personal Financial Specialist (PFS), 2001
- Tax & Consulting Leader, Savant Wealth Management, 07/2023-Present
- Member & Investment Advisor Representative, Wealth Management Group, LLC, 02/2001–07/2023
- Partner and Accountant, Raymond F. Book & Associates, CPAs, P.A., 01/1983-07/2023

### **Item 3 – Disciplinary Information**

Mr. Book does not have any disciplinary action to report. Public information concerning Mr. Book's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Book does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Book is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Michael W. Bork, CFP®**

*Michael W. Bork (b. 1993) is a Director of Advisor Services in Savant's Atlanta, GA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration and Management, Olivet Nazarene University, Bourbonnais, IL 2015
- B.S. Finance, Olivet Nazarene University, Bourbonnais, IL 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Director of Advisor Services, Savant Wealth Management, 02/2023-Present
- Wealth Management Analyst, Capital Directions, 06/2017-02/2023
- Wealth Management Advisor, Plante Moran Financial Advisors, 08/2015-05/2017
- Convention Assistant, Velocity Dance Convention, 01/2015-07/2015

### **Item 3 – Disciplinary Information**

Mr. Bork does not have any disciplinary action to report. Public information concerning Mr. Bork's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Bork does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Bork is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Brent R. Brodeski, CPA, CFP®, CFA®**

*Brent R. Brodeski (b. 1967) is a founder and the Chief Executive Officer and Chief Advisory Officer, a Financial Advisor and has a membership interest in Savant. He is the Chairman of Savant's Board of Managers, a member of the Executive Team and Investment Committee. Brent has been involved in the financial services industry since 1988 and taught investment and finance courses at Rock Valley College, Rockford University, and Northern Illinois University.*

### **Item 2 – Educational Background and Business Experience**

- Northern Illinois University, DeKalb, IL, M.B.A., Finance & Accounting emphasis, 1991
- Northern Illinois University, DeKalb, IL, B.S., Finance; minor in Economics, 1988
- Leading Professional Services Firm's Seminar, 2011
- Harvard Business School/YPO President's Seminars, Harvard Business School, Boston, MA, 2012, 2013, 2015, 2017
- Chartered Financial Analyst® (CFA®) 1993
- Certified Public Accountant (CPA) 1992
- CERTIFIED FINANCIAL PLANNER™ professional 1992
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 12/1992–01/2012
- Registered Representative/Financial Principal, Bates Securities, Inc., 05/1989–09/1992
- Financial Advisor, George E. Bates & Associates, Inc., 05/1989–09/1992
- Agent, Franklin Life Insurance Company, 05/1988–04/1989

### **Item 3 – Disciplinary Information**

Mr. Brodeski does not have any disciplinary action to report. Public information concerning Mr. Brodeski's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Brodeski is the owner of SCMI, Inc., which is an owner of Savant Capital, LLC.

### **Item 5 – Additional Compensation**

Mr. Brodeski is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Richard H. Brown, Jr., CFP®**

*Richard H. Brown, Jr. (b. 1985) is a Financial Advisor in Savant's Downers Grove, IL office, is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Rick regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Business, Calvin College, Grand Rapids, MI, 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2018–07/2020
- HELM Specialist, Reverse Mortgage Funding, LLC, 01/2017–02/2018
- External Wholesaler, Inland Securities Corporation, 08/2008–10/2016
- Registered Representative, Ausdal Financial Partners, INC, 06/2008–08/2008
- Wealth Management Intern, Merrill Lynch, 02/2008–04/2008

### **Item 3 – Disciplinary Information**

Mr. Brown does not have any disciplinary action to report. Public information concerning Mr. Brown's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Brown does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Brown is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Scott R. Brown, CPA, PFS**

*Scott R. Brown (b. 1962) is a Managing Director & Financial Advisor in Savant's Lewes, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Wilmington College, Wilmington, DE 1990
- Certified Public Accountant (CPA), 1991
- Personal Financial Specialist (PFS), 2002
- Managing Director & Financial Advisor, Savant Wealth Management, 07/2023-Present
- Managing Member, Wealth Management Group, LLC, 02/2001–07/2023
- Managing Member & Chief Compliance Officer, Wealth Management Group, LLC, 02/2001–10/2011
- Principal and Accountant, Raymond F. Book & Associates, CPAs, P.A., 01/1993-07/2023

### **Item 3 – Disciplinary Information**

Mr. Brown does not have any disciplinary action to report. Public information concerning Mr. Brown's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Brown does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Brown is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Taylor H. Brown, CFP®**

*Taylor H. Brown (b. 1991) is a Financial Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Biomedical Sciences with a minor in Business, Auburn University, Auburn, AL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 12/2023-Present
- Financial Advisor/Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2022-11/2023
- Associate Advisor/Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 09/2019-01/2022
- Paraplanner/Planning Coordinator, Bridgeworth, LLC, 06/2017-09/2019
- Administrative Associate/Registered Representative, LPL Financial, LLC, 06/2017-09/2018
- Registered Representative/Investment Adviser, Securian Financial Services Inc, 05/2014-05/2017
- Agent, Minnesota Life Insurance Co., 05/2014-05/2017
- Agent, Shoemaker Financial, 05/2014-05/2017

### **Item 3 – Disciplinary Information**

Mr. Brown does not have any disciplinary action to report. Public information concerning Mr. Brown's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Brown does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Brown is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Hannah B. Bryant, CFP®**

*Hannah B. Bryant (b. 1990) is a Financial Advisor in Savant's Chicago, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Economics with a minor in Business and Management, concentration in Marketing, Knox College, Galesburg, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner and Senior Advisor, Basil Financial Group, 08/2015-04/2023

### **Item 3 – Disciplinary Information**

Ms. Bryant does not have any disciplinary action to report. Public information concerning Ms. Bryant's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Bryant does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Bryant is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Cory D. Buehler, CPFA, CFP®, CRPS®**

*Cory D. Buehler (b. 1992) is a Financial Advisor in Savant's Chicago, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Cory routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Personal Financial Planning, Lindenwood University, St. Charles, MO, 2013
- Certified Plan Fiduciary Advisor (CPFA), 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2016
- Financial Advisor, Savant Wealth Management, 05/2021-Present
- Retirement Plan Advisor, Buckingham Strategic Wealth LLC, 01/2019-05/2021
- Retirement Specialist I & II, Buckingham Strategic Wealth LLC, 01/2017-12/2018
- Retirement Plan Associate, Buckingham Strategic Wealth, LLC, 01/2015-12/2016
- Client Relationship Associate, Buckingham Asset Management LLC, 03/2014–12/2014

### **Item 3 – Disciplinary Information**

Mr. Buehler does not have any disciplinary action to report. Public information concerning Mr. Buehler's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Buehler does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Buehler is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Christopher M. Carlson, CFP®, C(k)P®, AIF®**

*Christopher M. Carlson (b. 1986) is a Financial Advisor in Savant's Plymouth, MI office and a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Christopher routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.A. Health and Risk Communication, Michigan State University, East Lansing, MI, 2011
- B.A. Organizational Communication, Michigan State University, East Lansing, MI, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Certified 401(k) Professional® (C(k)P®), 2018
- Accredited Investment Fiduciary® (AIF®), 2016
- Financial Advisor, Savant Wealth Management, 07/2021-Present
- Adviser, AMDG Financial, 10/2016-10/2021
- Commercial Lines Producer, The White Agency, 03/2013-10/2016
- Member, TechInterpreters, 08/2011-03/2013

### **Item 3 – Disciplinary Information**

Mr. Carlson does not have any disciplinary action to report. Public information concerning Mr. Carlson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Carlson does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Carlson is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Timothy A. Carpenter, CFP®**

*Timothy A. Carpenter (b. 1992) is a Financial Advisor in Savant's Naperville, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Finance, Illinois State University, Normal, IL, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Investment Adviser Representative, Foothills Financial Planning, 01/2020-04/2023
- Wealth Management Analyst, Foothills Financial Planning, 01/2020-04/2023
- Sales Team Lead, Bridge Financial Technology, 04/2017-12/2019
- Financial Professional Associate, Prudential Financial, 11/2015-04/2017
- Registered Representative, Pruco Securities LLC, 10/2015-04/2017
- Financial Advisor, Mutual of Omaha, 04/2015-09/2015

### **Item 3 – Disciplinary Information**

Mr. Carpenter does not have any disciplinary action to report. Public information concerning Mr. Carpenter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Carpenter does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Carpenter is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Derek A. Carter, MBA, CFP®**

*Derek A. Carter (b. 1973) is a Due Diligence, Onboarding and Integration Manager in Savant's Rockford, IL office, is a member of the Merger & Acquisitions Team, and has a membership interest in Savant. Derek has been involved in the financial services industry since 2001. He is responsible for performing, overseeing and coordinating most aspects of M&A related due diligence, client and employee onboarding and integration.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., Edgewood College, Madison, WI, 2002
- B.S., Political Science, University of Wisconsin, Madison, WI, 1995
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Due Diligence, Onboarding and Integration Manager, Savant Wealth Management, 07/2020-Present
- Due Diligence, Onboarding and Integration Manager, Savant Capital Management, 03/2020-07/2020
- Investment Research Analyst/Portfolio Integration Manager, Savant Capital Management, 03/2015–03/2020
- Investment Research Analyst, Savant Capital Management, 03/2014–02/2015
- Trader/Portfolio Coordinator, Savant Capital Management, 01/2012–03/2014
- Trader/Portfolio Coordinator, Savant Capital Management, Inc., 03/2008–01/2012
- Trader, Savant Capital Management, Inc., 06/2003–03/2008

### **Item 3 – Disciplinary Information**

Mr. Carter does not have any disciplinary action to report. Public information concerning Mr. Carter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Carter does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Carter is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Office. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Laura K. Chiesman, CFP®, CDFA**

*Laura K. Chiesman (b. 1959) is a Financial Advisor and Business Development in Savant's Satellite Beach, FL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, University of Central Florida, FL, 1985
- CERTIFIED FINANCIAL PLANNER™ professional, 1990
- Certified Divorce Financial Analyst, 2018
- Florida Insurance License 2-15
- Financial Advisor/Business Development, Savant Wealth Management, 01/2024 – Present
- President, Vice President, Secretary, Treasurer, Chief Compliance Officer, FirstWave Financial, Inc., 01/2016 – 12/2023
- Investment Adviser Representative, FirstWave Financial, Inc., 10/2001 – 12/2023
- President of Operations and Chief Compliance Officer, CPA Wealth Management Services, P.A., 08/2015 – 12/2015

### **Item 3 – Disciplinary Information**

Ms. Chiesman does not have any disciplinary action to report. Public information concerning Ms. Chiesman's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Chiesman is the sole shareholder of Chiesman Holdings, LLC which she is an indirect owner of FirstWave Financial, Inc.

### **Item 5 – Additional Compensation**

Ms. Chiesman is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Casey A. Christianson, CFP®, ChFC®, CRPC®**

*Casey A. Christianson (b. 1994) is Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Casey regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Business/Economics, Wheaton College, Wheaton, IL, 2016
- Chartered Retirement Planning Counselor<sup>SM</sup> (CRPC®), 2021
- Chartered Financial Consultant® (ChFC®), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 06/2020-07/2020
- Accelerated Career Development Associate, Savant Capital Management, 09/2018-06/2020
- Bank Teller, Peoples Exchange Bank, 10/2017-08/2018
- Financial Planning/Tax Services Intern, Savant Capital Management, 05/2015-08/2015

### **Item 3 – Disciplinary Information**

Mr. Christianson does not have any disciplinary action to report. Public information concerning Mr. Christianson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Christianson does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Christianson is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Jon L. Ciecka

*Jon L. Ciecka (b. 1972) is a Client Development Manager in Savant's Rockford, IL office and a member of the Retirement Plan Services (RPS) Team. Jon has been involved in the retirement plan industry since 1994. Prior to joining Savant, he worked as both a credentialed plan administrator and as a sales consultant. Jon uses a collaborative design process together with the financial advisor and the plan sponsor to create a plan that is efficient and effective.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Xavier University, Cincinnati, OH, 1994 *cum laude*
- Client Development Manager, Savant Wealth Management, 09/2021-Present
- Retirement Plan Consultant, Savant Wealth Management, 07/2020-09/2021
- Sales & Marketing Consultant, Pension Planning Consultants, 03/2017-07/2019
- Pension Plan Administrator, Fringe Benefits Design, 03/2008-04/2016

### **Item 3 – Disciplinary Information**

Mr. Ciecka does not have any disciplinary action to report. Public information concerning Mr. Ciecka's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Ciecka does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Ciecka is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**John C. Clarke, CFP®**

*John C. Clarke (b. 1987) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management.*

**Item 2 – Educational Background and Business Experience**

- B.A. Business, University of Wisconsin – Milwaukee, WI, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Client Development Executive, Filbrandt Wealth Management, LLC, 10/2018-12/2021
- Investment Specialist, MFD Distributors, 08/2015-10/2018
- Regional Investment Director, Madison Investment Advisors, 09/2015-10/2018
- Associate, Heartland Advisors, Inc., 08/2012-08/2015
- Wealth Strategist Associate, Northern Trust Bank, 02/2011-08/2012

**Item 3 – Disciplinary Information**

Mr. Clarke does not have any disciplinary action to report. Public information concerning Mr. Clarke's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Clarke does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Clarke is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Travis R. Cliff, CFP®**

*Travis R. Cliff (b. 1978) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management, and has a membership interest in Savant.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Economics, University of Wisconsin – Madison, WI, 2000
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Financial Advisor, Filbrandt Wealth Management, LLC, 01/2018-12/2021
- Financial Adviser, Filbrandt Investment Advisers, 03/2013-01/2018
- Trust Relationship Manager, US Bank, 06-2010-03/2013

### **Item 3 – Disciplinary Information**

Mr. Cliff does not have any disciplinary action to report. Public information concerning Mr. Cliff's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cliff does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Cliff is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Brian P. Conroy, CFP®, AIF®, CRPS®**

*Brian P. Conroy (b. 1970) is a Financial Advisor in the Chicagoland area and is the regional director of Advisory for our offices located in St. Charles, Naperville, and Wilmette, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Brian regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, DePaul University, Chicago, IL, 2001
- B.A., Marketing, North Central College, Naperville, IL, 1992
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2011
- Accredited Investment Fiduciary® (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Manager of Advisory & Business Development-Greater Chicago, Financial Advisor, Savant Wealth Management, 07/2020-Present
- Manager of Advisory & Business Development- Greater Chicago, Financial Advisor, Savant Capital Management, 01/2016–07/2020
- Financial Advisor, Savant Capital Management, 01/2012–12/2015
- Financial Advisor, Savant Capital Management, Inc., 10/2003–01/2012
- Adjunct Faculty, Northwestern University, 09/2003–2004
- Financial Planner, Reason Financial Planning, 01/2003–09/2003
- Registered Principal, TCF Securities, 03/1999–01/2003
- Manager, TCF Bank, 02/1998–03/1999
- Manager, Bank of America, 12/1995–02/1998
- Assistant Manager, First Chicago Bank, 01/1993–12/1995

**Item 3 – Disciplinary Information**

Mr. Conroy does not have any disciplinary action to report. Public information concerning Mr. Conroy's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Conroy does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Conroy is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Philip E. Corcoran, CFP®**

*Philip E. Corcoran (b. 1965) is a Financial Advisor in Savant's Vienna, VA office, is a member of the Advisory Team, serves as managing director for the Vienna/DC metro market, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Phil is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Political Science, United States Naval Academy, Annapolis, MD, 1987
- CERTIFIED FINANCIAL PLANNER™ professional, 1996
- Financial Advisor, Savant Wealth Management, 01/2024-Present
- Managing Director – Savant East and Financial Advisor, Savant Wealth Management, 07/2020-12/2023
- Managing Director – Savant East and Financial Advisor, Savant Capital Management, 10/2015–07/2020
- Principle and Investment Adviser Representative, The Corcoran Group, Ltd., 09/2001–10/2015
- Registered Representative, Linsco Private Ledger Corp., 10/2001–05/2003
- President- CEO, E-Stockoptions, Inc., 07/2000–07/2001
- Investment Adviser Representative, The Corcoran Group, Ltd., 07/1992–07/2000
- Financial Planner, The Corcoran Group, Ltd., 08/1992–07/2000
- Registered Representative, Linsco Private Ledger Corp., 01/1995–05/2000
- Insurance Agent, Connecticut Mutual Life Insurance Co., 08/1992–01/1995
- Registered Representative, G.R. Phelps & Co., Inc., 03/1993–01/1995

### **Item 3 – Disciplinary Information**

Mr. Corcoran does not have any disciplinary action to report. Public information concerning Mr. Corcoran's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Corcoran does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Corcoran is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Dennis W. Covington, CFP®**

*Dennis W. Covington (b. 1970) is a Managing Director, SE/TAMP/RPS in Savant's Atlanta, GA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Appalachian State University, Boone, NC 1992
- CERTIFIED FINANCIAL PLANNER™ professional, 2003
- Managing Director, SE/TAMP/RPS, Savant Wealth Management, 02/2023-Present
- CEO and Chief Compliance Officer, Capital Directions, 04/2000-02/2023

**Item 3 – Disciplinary Information**

Mr. Covington does not have any disciplinary action to report. Public information concerning Mr. Covington's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Covington does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Covington is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Daniel B. Crowley, CFP®, CFA®**

*Daniel B. Crowley (b. 1995) is an Investment Research Analyst in Savant's Chicago, IL office and a member of the Investment Team. He is responsible for creating investment analysis reports and conducting portfolio reviews to assist the financial advisors in developing optimal planning and investment strategies for clients. He contributes to Savant newsletters, market commentary, and fund due diligence for potential inclusion in Savant investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Illinois State University, Normal, IL, 2017
- Chartered Financial Analyst (CFA®), 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Investment Research Analyst, Savant Wealth Management, 07/2020-Present
- Investment Research Analyst, Savant Capital Management, 10/2019-07/2020
- Financial Planner, Savant Capital Management, 10/2018–10/2019
- Junior Financial Planner, D3 Financial Counselors, 06/2017–09/2018
- Wealth Management Intern, Country Financial, 05/2016-04/2017

### **Item 3 – Disciplinary Information**

Mr. Crowley does not have any disciplinary action to report. Public information concerning Mr. Crowley's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Crowley does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Crowley is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Edward H. Cruickshank, CFP®**

*Edward Cruickshank (b. 1966) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Executive Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Ed regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinates effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Political Science/International Relations, University of Kansas, Lawrence, KS, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Life, Health, Variable Contracts Insurance License, IL, 2009
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013–04/2020
- Wealth Manager, Huber Financial Group, Ltd., 08/2004–07/2013

### **Item 3 – Disciplinary Information**

Mr. Cruickshank does not have any disciplinary action to report. Public information concerning Mr. Cruickshank's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cruickshank has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Cruickshank is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Stephen D. Cummings, Jr., ChFC®**

*Stephen D. Cummings, Jr. (b. 1967) is a Financial Advisor in Savant's Wilmette, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- University of Arizona, attended from 1985 to 1990
- Chartered Financial Consultant® (ChFC®), 2018
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2015–07/2020
- Investment Adviser Representative, Orion Capital Management, Inc., 03/2007–07/2015
- Commodities Trader, Self Employed, 07/1993–11/2006

### **Item 3 – Disciplinary Information**

Mr. Cummings does not have any disciplinary action to report. Public information concerning Mr. Cummings' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cummings does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Cummings is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Joel R. Cundick, CFP®, APMA®, AIF®**

*Joel R. Cundick (b. 1976) is a Financial Advisor in Savant's Vienna, VA office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Joel is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, Georgetown University, Washington, DC, 2006
- B.S., Business Management, emphasis Finance, minor in Italian, Brigham Young University, Provo, UT, 2000
- Accredited Investment Fiduciary (AIF®), 2017
- Accredited Portfolio Management Advisor® (APMA®), 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2015–07/2020
- Investment Adviser Representative, The Corcoran Group, Ltd., 07/2008–09/2015
- Director of Operations and Financial Planner, Financial Services Advisory, Inc., 10/2004–06/2008
- Director of Finance, The Ritz Carlton Hotel Co., 06/2003–09/2004

**Item 3 – Disciplinary Information**

Mr. Cundick does not have any disciplinary action to report. Public information concerning Mr. Cundick's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Cundick is the President of the Washington DC Chapter of the Alumni Society of Marriott Management School at Brigham Young University and is a member of the Executive Committee.

**Item 5 – Additional Compensation**

Mr. Cundick is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## David M. Curles, CFP®, CRPC

*David M. Curles (b. 1968) is a Financial Advisor in Savant's Birmingham, AL office.*

### Item 2 – Educational Background and Business Experience

- Bachelor of Mechanical Engineering, Georgia Institute of Technology, Atlanta, GA, 1990
- Associate of Science, Middle Georgia College, 1988
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Chartered Retirement Planning Counselor<sup>SM</sup>, 2008
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018
- Registered Representative, Lincoln Financial Advisors, 02/2007-08/2012

### Item 3 – Disciplinary Information

Mr. Curles does not have any disciplinary action to report. Public information concerning Mr. Curles' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Curles is also a football official at College and Professional Level. Mr. Curles' duties as a football official do not create a conflict of interest to his provision of advisory services through Savant Wealth Management. Mr. Curles is also involved in Curles Properties, LLC which rents agricultural land for farming and occasional timber sales.

### Item 5 – Additional Compensation

Mr. Curles is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Patrick J. Curran, AIF®, CBC®, CRPS®**

*Patrick J. Curran (b. 1987) is a Retirement Plan Business Development Manager in Savant's Atlanta, GA office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Business Finance and Economics, St. John's University, 2010
- Accredited Investment Fiduciary® (AIF®), 2017
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2015
- Cash Balance Consultant (CBC®), 2022
- Retirement Plan Business Development Manager, Savant Wealth Management, 02/2023-Present
- Retirement Plan Specialist, Capital Directions, 07/2022-02/2023
- Senior Client Consultant, Unified Trust/American Trust, 04/2017-07/2022

**Item 3 – Disciplinary Information**

Mr. Curran does not have any disciplinary action to report. Public information concerning Mr. Curran's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Curran owns rental properties in an LLC 100% owned by himself that are managed by a property management company.

**Item 5 – Additional Compensation**

Mr. Curran is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Michael T. Cyrs, JD, MBA, CFP®**

*Michael T. Cyrs (b. 1969) is the Senior Director of Wealth Transfer in Savant's Rockford, IL office, is a member of the Executive Team, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Mike oversees the planning and wealth transfer teams and assists our financial advisors with developing complex wealth transfer and asset protection strategies. In addition, he is responsible for guiding the work of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC. Mike brings to Savant over 20 years' experience as a private attorney concentrating on complex estate and business succession planning, charitable planning, and advising clients regarding all types of trusts and estate administration.*

### **Item 2 – Educational Background and Business Experience**

- J.D., Marquette Law School, Milwaukee, WI, 1994
- M.B.A., Marquette Graduate School of Business, Milwaukee, WI, 1994
- B.B.A., Business Administration, Notre Dame, Notre Dame, IN, 1991
- CERTIFIED FINANCIAL PLANNER™ professional, 2000
- Senior Director of Wealth Transfer, Savant Wealth Management, 11/2020-Present
- Director of Wealth Advisory, Savant Capital Management, 03/2017–11/2020
- Estate and Wealth Transfer Advisor, Savant Capital Management, 11/2014–02/2017
- Attorney, Williams, McCarthy, LLP, 09/1997–10/2014
- Attorney, Hinshaw and Culbertson Law Firm, 06/1994–08/1997

### **Item 3 – Disciplinary Information**

Mr. Cyrs does not have any disciplinary action to report. Public information concerning Mr. Cyrs' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Cyrs has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients however clients have no obligation to use them.

### **Item 5 – Additional Compensation**

Mr. Cyrs is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Daryl R. Dagit, CFP®, CRPS®, CEP®**

*Daryl R. Dagit (b. 1963) is a Financial Advisor in Savant's Peoria, IL office, is a member of the Advisory Team, serves as market manager for the Peoria market, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Daryl is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Illinois State University, Normal, IL, 1986
- Certified Estate Planner™ (CEP®), 2015
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Variable Contract Insurance License, IL, 2007
- Accident/Health, Fire, Casualty, Life Insurance License, IL, 1987
- Financial Advisor /Market Manager, Savant Wealth Management, 07/2020-Present
- Financial Advisor /Market Manager, Savant Capital Management, 01/2012–07/2020
- Financial Advisor /Market Manager, Savant Capital Management, Inc., 06/2010–01/2012
- Mass Transfer, Morgan Stanley Smith Barney, 06/2009–06/2010
- FA Associate, Citigroup Global Markets Inc., 04/2007–06/2009
- Manager, CitiFinancial, 09/1993–04/2007

### **Item 3 – Disciplinary Information**

Mr. Dagit has not had any disciplinary action. Public information concerning Mr. Dagit's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Dagit does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Dagit is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Danielle M. Dahn, CFP®**

*Danielle M. Dahn (b. 1980) is Financial Advisor in Savant's Naperville, IL office and has a membership interest in Savant. She is a member of the DuPage Estate Planning Council and a CFP® Women in Business Mentor. Danielle is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., Accounting, Finance & Management, Kellogg School of Management, Northwestern University, Evanston, IL, 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- B.S., Finance, University of Illinois, Champaign Urbana, IL, 2002
- Financial Advisor, Savant Wealth Management, 11/2020–Present
- Lead Wealth Management Advisor, Teachers Insurance and Annuity Association of America, 11/2020–01/2012
- Financial Advisor, Morgan Stanley Smith Barney, 04/2010–09/2011
- Financial Planning Advisor, J.P. Morgan Securities, Inc., 05/2005-04/2010
- Senior Risk Analyst, J.P. Morgan Securities, Inc., 07/2002-04/2010
- Finance Intern, Banc Corp USA, 05/2001-01/2002
- Finance Associate, A.G. Edwards, 05/2000-05/2001

### **Item 3 – Disciplinary Information**

Ms. Dahn has not had any disciplinary action. Public information concerning Ms. Dahn's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Dahn does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Dahn is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Andrew D'Amico, MPA, CFP®**

*Andrew D'Amico (b. 1978) is a Financial Advisor in Savant's Huntsville, AL office.*

**Item 2 – Educational Background and Business Experience**

- MPA Master of Public Administration, Harvard University, Cambridge, MA, 2011
- B.A. Criminology, Auburn University, Auburn, AL, 2000
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Associate Adviser, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2023-11/2023
- Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2022-11/2023
- Managing Director, Bridgeworth, LLC dba Bridgeworth Wealth Management, 06/2021-11/2023
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2020-01/2023
- Army Officer, United States Army, 05/2000-10/2020

**Item 3 – Disciplinary Information**

Mr. D'Amico does not have any disciplinary action to report. Public information concerning Mr. D'Amico's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. D'Amico does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. D'Amico is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Nicholas J. De Jong, CPA, CFP®

*Nicholas J. De Jong (b. 1989) is a Financial Advisor in Savant's Naperville, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Information Security Committee, an internal panel that provides guidance and leadership in the protection of Savant's information, assets, and technology. Nick is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- M.A.S., Accounting, Northern Illinois University, DeKalb, IL, 2012
- B.S., Accountancy, Northern Illinois University, DeKalb, IL, 2011
- Business Administration Major, Dordt College, Sioux Center, IA, Attended 2007–2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2016
- Certified Public Accountant (CPA), 2013
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 04/2016–07/2020
- Accelerated Career Development Program Associate, Savant Capital Management, 07/2015–03/2016
- Senior Associate, CPA, DiGiovine, Hnilo, Jordan & Johnson, Ltd, 08/2012-07/2015
- Associate, DiGiovine, Hnilo, Jordan & Johnson, Financial Advisors, Ltd., 08/2012-07/2015

### Item 3 – Disciplinary Information

Mr. De Jong does not have any disciplinary action to report. Public information concerning Mr. De Jong's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. De Jong does not have any other business activities.

### Item 5 – Additional Compensation

Mr. De Jong is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott F. Demler, CFP®, CRPS®**

*Scott F. Demler (b. 1967) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Scott routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Marketing, Northern Illinois University, DeKalb, IL, 2005
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS<sup>®</sup>), 2015
- CERTIFIED FINANCIAL PLANNER<sup>™</sup> professional, 2013
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2011–01/2012
- Financial Advisor, Edward Jones, 07/2007–03/2011
- Sales, Carpet One, 12/1996–07/2007

**Item 3 – Disciplinary Information**

Mr. Demler does not have any disciplinary action to report. Public information concerning Mr. Demler's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Demler does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Demler is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Robert M. DeVries, MBA, AWMA, CRPS**

*Robert M. DeVries (b. 1966) is a Financial Advisor in Savant's Satellite Beach, FL office.*

### **Item 2 – Educational Background and Business Experience**

- MBA, New York University, NY, 1996
- B.A. History, University of Michigan, MI, 1988
- Chartered Retirement Plan Specialist, 2016
- Accredited Wealth Management Advisor, 2014
- Financial Advisor, Savant Wealth Management, 01/2024 – Present
- Wealth Coach, FirstWave Financial, Inc., 09/2012 – 12/2023
- Marketing Manager, Crump Insurance Services, 12/2002 – 09/2012
- Portfolio Manager, CPA Wealth Management Services, P.A., 05/1999 – 12/2002

### **Item 3 – Disciplinary Information**

Mr. DeVries does not have any disciplinary action to report. Public information concerning Mr. DeVries' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. DeVries does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. DeVries is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Michael J. Dinan, CFP®**

*Michael J. Dinan (b. 1983) is a Financial Advisor in Savant's Wyomissing, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance and Accounting, DeSales University, Center Valley, PA 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner and Senior Wealth Advisor, Domani Wealth, LLC, 01/2021–05/2023
- Senior Wealth Advisor, Domani Wealth, LLC., 01/2020-01/2021
- Wealth Advisor, Domani Wealth, LLC, 01/2015-01/2020

**Item 3 – Disciplinary Information**

Mr. Dinan does not have any disciplinary action to report. Public information concerning Mr. Dinan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Dinan does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Dinan is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Donald D. Duncan, MBA, CPA/PFS, CFA®, CSEP, CFP®**

*Donald D. Duncan (b. 1956) is a Managing Director and Financial Advisor in Savant's Santa Fe, Chicago, and Downers Grove offices, is a member of the Executive Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Don formulates and coordinates effective planning, investment and tax strategies to help clients Build their Ideal Future. He focuses on high income and/or \$1 million net worth clients.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., DePaul University, Chicago, IL, 1987
- B.S., Triple major in Finance, Operations Management and Human Resource Management, Illinois State University, Normal, IL, 1980
- Personal Financial Specialist (PFS), 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Certified Specialist In Estate Planning (CSEP), 1997
- Chartered Financial Analyst® (CFA®), 1992
- Certified Public Accountant (CPA), 1985
- Managing Director & Financial Advisor, Savant Wealth Management, 07/2020-Present
- Managing Director & Financial Advisor, Savant Capital Management, 10/2018–07/2020
- Owner and Managing Member, D3 Financial Counselors, LLC, 03/1997–12/2018
- V.P., Assistant Division Manager, Northern Trust Co., 01/1986–03/1997
- Portfolio Management Technology Development and Systems Planning, Northern Trust Co., 01/1986–12/1997
- Director of Credit Research, Northern Trust Co., 01/1989–12/1991
- Trust Operations Supervisor, Planning Officer, Strategic Planning Officer, Financial Analyst, Northern Trust Co., 06/1980–12/1986

**Item 3 – Disciplinary Information**

Mr. Duncan does not have any disciplinary action to report. Public information concerning Mr. Duncan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Duncan owns real estate investments through Duncan Development LLC. While he receives compensation for this activity, it does not represent a material amount of his time.

**Item 5 – Additional Compensation**

Mr. Duncan is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Paul B. Elfner, CFP®, MSFS**

*Paul B. Elfner (b. 1991) is a Financial Advisor in Savant's Lancaster, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance, Pennsylvania State University, University Park, PA 2013
- M.S. Financial Services, Saint Joseph's University, Philadelphia, PA 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2016
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Wealth Advisor, Domani Wealth, LLC, 01/2021–05/2023
- Senior Associate Wealth Advisor, Domani Wealth, LLC., 01/2018-01/2021
- Associate Wealth Advisor, Domani Wealth, LLC, 01/2015-01/2018
- Associate Wealth Guardian, Parentebeard Wealth Management LLC, 02/2014-01/2015
- Client Relationship Specialist, The Vanguard Group Inc., 08/2013-02/2014

**Item 3 – Disciplinary Information**

Mr. Elfner does not have any disciplinary action to report. Public information concerning Mr. Elfner's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Elfner does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Elfner is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Richard P. Ellenberger, CFP®, AIF®**

*Richard P. Ellenberger (b. 1987) is a Financial Advisor in Savant's Hanover, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Pennsylvania State University, University Park, PA 2014
- Accredited Investment Fiduciary® (AIF®), 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Wealth Advisor, Domani Wealth, LLC, 08/2022–05/2023
- Senior Associate Wealth Advisor, Domani Wealth, LLC., 01/2018-08/2022
- Associate Wealth Advisor, Domani Wealth, LLC, 06/2014-01/2018

**Item 3 – Disciplinary Information**

Mr. Ellenberger does not have any disciplinary action to report. Public information concerning Mr. Ellenberger's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Ellenberger does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Ellenberger is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Jason R. English

*Jason R. English (b. 1981) is the Director of Growth in Savant's Rockford, IL office, is a member of the Executive Team, and has a membership interest in Savant. He will be responsible for helping to shape and drive Savant's organic growth strategies, collaborating with our Advisory Team Leaders, Market Managers and Marketing Teammates, as well as researching and developing new channel opportunities.*

### Item 2 – Educational Background and Business Experience

- B.S., Red McCombs School of Business, University of Texas at Austin, Austin, TX, 2003
- Director of Growth, Savant Wealth Management, 04/2022-Present
- Senior Director - Live Events and Education, Financial Engines Advisors LLC, 11/2016–12/2021
- Divisional Vice President-Sales, TMFS Advisors LLC, 10/2012–11/2016
- Divisional Vice President-Sales, The Mutual Fund Research Center LLC, 10/2012-08/2016
- Mass Transfer, TD Waterhouse Investor Services Inc, 04/2006-10/2012
- Broker, TD Ameritrade Inc, 12/2004-10/2012
- Broker, Scottrade, 2001-12/2004

### Item 3 – Disciplinary Information

Mr. English does not have any disciplinary action to report. Public information concerning Mr. English's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. English owns real estate investments through Duncan Development LLC. While he receives compensation for this activity, it does not represent a material amount of his time.

### Item 5 – Additional Compensation

Mr. English is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Amanda L. Faith, FPQP, CES**

*Amanda L. Faith (b. 1988) is an Associate Advisor in Savant's Wyomissing, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration with an emphasis in financial services, Penn State University, PA, 2010
- Associate Advisor, Savant Wealth Management, 05/2023-Present
- Senior Client Service Associate, Domani Wealth, LLC, 09/2017-05/2023
- Associate Advisor, PBWM Holdings, 01/2015-09/2017
- Associate Advisor, Parentebeard Wealth Management, 10/2013-01/2015
- Administrative Assistant, National Penn Investors Trust Company, 09/2010-10/2013

### **Item 3 – Disciplinary Information**

Ms. Faith does not have any disciplinary action to report. Public information concerning Ms. Faith's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Faith does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Faith is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Andrew D. Falduto, CFP®**

*Andrew D. Falduto (b. 2000) is a Financial Advisor in Savant's Lincolnshire, IL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance, Indiana University, Bloomington, IN, 2022
- CERTIFIED FINANCIAL PLANNER™ professional, 2024
- Financial Advisor, Savant Wealth Management, 01/2024 – Present
- Associate Advisor, Savant Wealth Management, 05/2022 – 12/2023
- Client Services Intern, Savant Wealth Management, 05/2021 – 08/2021
- Investment Analyst Intern, Sawyer Falduto Asset Management, 05/2019-08/2019

**Item 3 – Disciplinary Information**

Mr. Falduto does not have any disciplinary action to report. Public information concerning Mr. Falduto's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Falduto does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Falduto is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Mary G. Fedorak, MBA**

*Mary G. Fedorak (b. 1960) is a Retirement Plan Advisor in Savant's Chicago, IL office and a member of the Retirement Plan Services Team. Mary has over 30 years' experience working with plan sponsors in the pension and retirement industry.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., University of Chicago – Booth Graduate School of Business, Chicago, IL, 1995
- B.S. Business, Virginia Tech, Blacksburg, VA, 1982
- Retirement Plan Advisor, Savant Wealth Management, 04/2022-Present
- Registered Representative, Pentegra Distributors, 12/2016-06/2019
- Managing Director Institutional Investment Solutions, Pentegra Services, Inc., 12/2014-06/2019
- Senior Managing Consultant, PFM Asset Management, 01/2012-12/2014
- Director, Guggenheim Partners, 04/2008-05/2011
- Principal, 1<sup>st</sup> Choice Neighborhood Homes, 06/2006-04/2008
- Director, Bank of Ireland Asset Management, 11/2000-06/2006
- Chief Operating Officer, University of Chicago, 11/1997-12/2000
- Vice President, Northern Trust Bank, 07/1986-01/1997

### **Item 3 – Disciplinary Information**

Mrs. Fedorak does not have any disciplinary action to report. Public information concerning Mrs. Fedorak's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mrs. Fedorak does not have any other business activities.

### **Item 5 – Additional Compensation**

Mrs. Fedorak is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Miriam Falaki, CFP®,**

*Miriam Falaki (b. 1987) is a Financial Advisor in Savant's Atlanta, GA office.*

**Item 2 – Educational Background and Business Experience**

- B.A. French with a minor in International Business, Georgia State University, Atlanta , GA 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 02/2023-Present
- Investment Advisor Representative, Capital Directions, LLC, 10/2019-02/2023
- Financial Advisor, Bank of America, 05/2013-09/2019
- Financial Advisor, Merrill Lynch, Pierce, Fenner and Smith Inc., 07/2012-09/2019

**Item 3 – Disciplinary Information**

Ms. Falaki does not have any disciplinary action to report. Public information concerning Ms. Falaki's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Falaki does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Falaki is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Michael J. Filbrandt, CLU®, ChFC®**

*Michael J. Filbrandt (b. 1947) is a Managing Director for Filbrandt, the University Division of Savant Wealth Management and a Financial Advisor in Savant's Middleton, WI office, and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- B.A., Finance/Real Estate, University of Wisconsin – Madison, WI, 1970
- Chartered Financial Consultant® (ChFC®), 1976
- Chartered Life Underwriter® (CLU®), 1974
- Managing Director – Filbrandt, the University Division of Savant and Financial Advisor, Savant Wealth Management, 12/2021-Present
- Chairman of the Board, Filbrandt Wealth Management LLC, 01/2018–Present
- Chairman of the Board, Filbrandt Investment Advisers Inc, 04/1994-Present
- President, Filbrandt & Company, 04/1981-Present

**Item 3 – Disciplinary Information**

Mr. Filbrandt does not have any disciplinary action to report. Public information concerning Mr. Filbrandt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Filbrandt is the owner of Filbrandt Investment Advisors and Filbrandt and Company, Inc. These entities are no longer registered to provide financial services but continue to remain open for investment and tax purposes.

**Item 5 – Additional Compensation**

Mr. Filbrandt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Patricia J. Filbrandt, CFP®, CLU®, ChFC®**

*Patricia J. Filbrandt (b. 1952) is a Managing Director for Filbrandt, the University Division of Savant Wealth Management and a Financial Advisor in Savant's Middleton, WI office, and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- Attended University of Wisconsin – Madison, WI, 1970-1973
- Chartered Life Underwriter® (CLU®), 2007
- Chartered Financial Consultant® (ChFC®), 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2003
- Managing Director – Filbrandt, the University Division of Savant and Financial Advisor, Savant Wealth Management, 12/2021-Present
- President, Filbrandt Wealth Management LLC, 01/2018–12/2021
- President, Filbrandt Investment Advisers Inc, 04/1994-Present
- Vice President, Filbrandt & Company, 02/1981-Present

**Item 3 – Disciplinary Information**

Mrs. Filbrandt does not have any disciplinary action to report. Public information concerning Mrs. Filbrandt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mrs. Filbrandt is the owner of Filbrandt Investment Advisors and Filbrandt and Company, Inc. These entities are no longer registered to provide financial services but continue to remain open for investment and tax purposes.

**Item 5 – Additional Compensation**

Mrs. Filbrandt is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## James S. Fitch, CFP®

*James S. Fitch (b. 1968) is a Financial Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Samford University, Homewood , AL, 1990
- CERTIFIED FINANCIAL PLANNER™ professional, 1993
- Financial Advisor, Savant Wealth Management, 12/2023-Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 02/2015-11/2023
- Partner, Investment Adviser Representative, Warren Averett Asset Management, 06/2000-02/2015
- Reg Rep, Purshe Kaplan Sterling, 11/2003-04/2010

### **Item 3 – Disciplinary Information**

Mr. Fitch does not have any disciplinary action to report. Public information concerning Mr. Fitch's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Fitch does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Fitch is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Teryn A. Fitzgerald, CFP®, CTFA**

*Teryn A. Fitzgerald (b. 1989) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. She is a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Teryn is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Administration, Indiana University, Richmond, IN, 2014
- Certified Trust & Financial Advisor (CTFA), 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2020-07/2020
- Financial Planner, Savant Capital Management, 09/2015–12/2019
- Administrative Assistant, Rockford Bank & Trust, 08/2014–09/2015

### **Item 3 – Disciplinary Information**

Ms. Fitzgerald does not have any disciplinary action to report. Public information concerning Ms. Fitzgerald's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Fitzgerald does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Fitzgerald is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Barry J. Frantz**

*Barry J. Frantz (b. 1971) is a Tax & Consulting Leader in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Finance & Management, Wartburg College, Waverly, IA, 1993
- Tax & Consulting Leader, Savant Wealth Management, 10/2022-Present
- Partner/Managing Director, Terry Lockridge & Dunn Inc., 11/2001-09/2022
- Accountant/Financial Advisor, Frontier Financial Consultants, 11/2000-11/2001

### **Item 3 – Disciplinary Information**

Mr. Frantz does not have any disciplinary action to report. Public information concerning Mr. Frantz' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Frantz does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Frantz is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**John A. Frisch, CPA/PFS, CFP®, AIF®, PPC™**

*John A. Frisch (b. 1962) is a Managing Director and Financial Advisor in our Manassas, VA office and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- Geneva College, Beaver Falls, PA, BS/BA Accounting/Info Systems, 1984
- Managing Director and Financial Advisor, Savant Wealth Management, 07/2022-Present
- Partner and Financial Advisor, Alliant Wealth Advisors, 08/2016-06/2022
- Partner, Alexandria Capital, LLC, 01/2015-03/2017
- President and Owner, Millennium Capital Management Corp. d/b/a Alliant Wealth Advisors, 02/2000-12/2014
- President and Owner, Frisch, Ambrosiano, & Kontos, P.C., 12/1995-12/2004
- President and Owner, John A. Frisch, CPA, PC, 12/1995-02/2000
- Certified Public Accountant (CPA), 1986
- CERTIFIED FINANCIAL PLANNER™ professional, 1996
- Personal Financial Specialist (PFS), 2004
- Professional Plan Consultant (PPC™), 2012
- Accredited Investment Fiduciary (AIF®), 2013

**Item 3 – Disciplinary Information**

Mr. Frisch does not have any disciplinary action to report. Public information concerning Mr. Frisch's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Frisch has no other business activities.

**Item 5 – Additional Compensation**

Mr. Frisch is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Jonathan J. Fuller

*Jonathan J. Fuller (b. 1993) is an Investment Research Analyst in Savant's Rockford, IL office and a member of the Investment Team. He is responsible for creating investment analysis reports and conducting portfolio reviews to assist the financial advisors in developing optimal planning and investment strategies. Jon contributes to Savant newsletters, market commentary, and fund due diligence for potential inclusion in Savant investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Economics, Beloit College, College, WI, 2016
- Investment Research Analyst, Savant Wealth Management, 07/2020-Present
- Investment Research Analyst, Savant Capital Management, 07/2017–07/2020

### **Item 3 – Disciplinary Information**

Mr. Fuller does not have any disciplinary action to report. Public information concerning Mr. Fuller's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Fuller does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Fuller is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jeris B. Gaston, CFP®, CRPC**

*Jeris B. Gaston (b. 1982) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- M. Phil in Women's Studies, University of Dublin, Dublin, 2007
- B.A. History, Birmingham-Southern College, Birmingham, AL, 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Chartered Retirement Planning Counselor<sup>SM</sup>, 2012
- Alabama State Life, Health Disability Insurance Exam and License, 2006
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018

**Item 3 – Disciplinary Information**

Ms. Gaston does not have any disciplinary action to report. Public information concerning Ms. Gaston's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Gaston does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Gaston is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Matthew M. Gavel, CFA®**

*Matthew M. Gavel (b. 1984) is a Senior Investment Research Analyst in Savant's York, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Eastern Nazarene College, Quincy, MA 2006
- Chartered Financial Analyst® (CFA®) 2019
- Senior Investment Research Analyst, Savant Wealth Management, 05/2023-Present
- Senior Portfolio Manager, Domani Wealth, LLC, 01/2021–05/2023
- Portfolio Manager, Domani Wealth, LLC., 01/2015-01/2021

### **Item 3 – Disciplinary Information**

Mr. Gavel does not have any disciplinary action to report. Public information concerning Ms. Gavel's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Gavel does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Gavel is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Adam J. Glassberg, CFP®, CIMA®**

*Adam J. Glassberg (b. 1986) is a Financial Advisor in Savant's Downers Grove, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Adam regularly meets with clients, other advisors, portfolio managers and planners to formulate and coordinate effective planning, investment and tax strategies. He specializes in helping young professionals and young doctors who need assistance with tax savings, budgeting, loan repayment, and investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, DePaul University, Chicago, IL, 2009
- B.S., Finance, Eastern Illinois University, Charleston, IL, 2008
- Certified Investment Management Analyst® (CIMA), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2018–07/2020
- Co-Owner and Financial Counselor, D3 Financial Counselors, LLC, 01/2015–12/2018
- Senior Financial Planner, Co-Portfolio Manager, D3 Financial Counselors, LLC, 12/2013–12/2014
- Financial Planner, D3 Financial Counselors, LLC, 12/2011–12/2013
- Junior Financial Planner, D3 Financial Counselors, LLC, 05/2008–12/2011

### **Item 3 – Disciplinary Information**

Mr. Glassberg does not have any disciplinary action to report. Public information concerning Mr. Glassberg's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Glassberg does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Glassberg is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Evan S. Goldfuss, CFP®**

*Evan Goldfuss (b. 1996) is a Financial Advisor in Savant's Atlanta, GA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Commerce and Business Administration, University of Alabama, Tuscaloosa, AL 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 02/2023-Present
- Wealth Management Analyst, Capital Directions, LLC, 02/2018-02/2023

**Item 3 – Disciplinary Information**

Mr. Goldfuss does not have any disciplinary action to report. Public information concerning Mr. Goldfuss' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Goldfuss does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Goldfuss is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Michael J. Goldstein, AAMS™**

*Michael J. Goldstein (b. 1978) is a Financial Advisor in Savant's Lincolnshire, IL offices, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for clients. Michael routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Social Science, Florida Atlantic University, Boca Raton, FL 2001
- Accredited Asset Management Specialist (AAMS™), 2019
- Financial Advisor, Savant Wealth Management, 10/2022-Present
- Managing Member, Addison Clark Wealth Management 03/2011–10/2022

### **Item 3 – Disciplinary Information**

Mr. Goldstein does not have any disciplinary action to report. Public information concerning Mr. Goldstein's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Goldstein does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Goldstein is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Gabriel Gonzalez, CRPC®, CFP®**

*Gabriel Gonzalez (b. 1977) is a Financial Advisor in Savant's St. Charles, Hoffman Estates, and Chicago, IL offices, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for clients. Gabe routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies. He particularly enjoys discussing multi-generational wealth planning, tax-efficient investing, and wealth accumulation strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Finance, University of Phoenix, Phoenix, AZ, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Chartered Retirement Planning Counselor™ (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Principal, AJ Gabriel Group, LLC, 05/2009–09/2011
- Financial Advisor Training Program, Merrill Lynch & Co, 11/2007–02/2009
- Licensed Sales Associate, State Farm Insurance, Karen Ayers & Ann Nolan Agencies, 05/2003–11/2007
- Marketing Representative, East Coast AD Efx, 09/2001–5/2003

### **Item 3 – Disciplinary Information**

Mr. Gonzalez does not have any disciplinary action to report. Public information concerning Mr. Gonzalez's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Gonzalez does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Gonzalez is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Ashley R. Gorman**

*Ashley R. Gorman (b. 1996) is a Financial Advisor in Savant's Santa Fe, NM office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Colorado State University, Fort Collins, CO 2019
- MAcc Taxation, Colorado State University, Fort Collins, CO 2020
- Financial Advisor, Savant Wealth Management, 12/2021-Present
- Air National Guardsman, New Mexico Air National Guard, 08/2020-Present
- Associate Advisor, Savant Wealth Management, 08/2021-12/2021

### **Item 3 – Disciplinary Information**

Ms. Gorman does not have any disciplinary action to report. Public information concerning Ms. Gorman's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Gorman does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Gorman is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Steven N. Graziano

*Steven N. Graziano (b. 1989) is a Business Development Officer in Savant's Wellesley, MA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration with a concentration in Marketing, University of Richmond, Richmond, VA, 2012
- Business Development Officer, Savant Wealth Management, 09/2023-Present
- Regional Planning Director, Edelman Financial Engines, 01/2020-02/2023
- Registered Representative, MFS Fund Distributors, Inc., 09/2012-12/2019

### **Item 3 – Disciplinary Information**

Mr. Graziano does not have any disciplinary action to report. Public information concerning Mr. Graziano's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Graziano does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Graziano is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Marly V. Green, CFP®**

*Marly V. Green (b. 1997) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance and Marketing, University of Alabama, Tuscaloosa , AL, 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Associate Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 04/2023-11/2023
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2020-04/2023
- International Intern, Campus Crusade for Christ International, 08/2019-06/2020
- Intern, Retail Strategies, 05/2019-07/2019
- Intern, Intermark Group, 05/2018-08/2018

**Item 3 – Disciplinary Information**

Ms. Green does not have any disciplinary action to report. Public information concerning Ms. Green's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Green does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Green is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Drake R. Grindle, MAS, CPA, CFP®, CEPA**

*Drake R. Grindle (b. 1992) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Drake regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Master of Accounting Science, University of Illinois, Urbana-Champaign, Illinois, 2015
- B.S., Accountancy, University of Illinois, Urbana-Champaign, Illinois, 2014
- B.A., Arts, Rock Valley Community College, Rockford, Illinois, 2012
- Certified Exit Planning Advisor (CEPA), 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Certified Public Accountant (CPA), 2016
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2019–07/2020
- Accelerated Career Development Program Associate, Savant Capital Management, 12/2017–12/2018
- Senior Assurance Associate, BDO USA, LLP, 08/2017–11/2017
- Assurance Associate, BDO USA, LLP, 10/2015–08/2017

### **Item 3 – Disciplinary Information**

Mr. Grindle does not have any disciplinary action to report. Public information concerning Mr. Grindle's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Grindle does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Grindle is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## David C. Gruber

*David C. Gruber (b. 1981) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### Item 2 – Educational Background and Business Experience

- M.S. Sports Management, American Public University – Charles Town, WV, 2013
- B.A. General Studies, University of Northern Iowa – Cedar Falls, IA, 2004
- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Investment Advisor Representative, World Trend Financial, 06/2015 – 09/2022

### Item 3 – Disciplinary Information

Mr. Gruber does not have any disciplinary action to report. Public information concerning Mr. Gruber's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Gruber does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Gruber is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Rosemary C. Guilliams, CFP®**

*Rosemary C. Guilliams (b. 1987) is a Financial Advisor in Savant's Manassas, VA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Film Studies, minor in German Studies, Smith College, Northampton, MA, 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 07/2022-Present
- Associate Advisor, Alliant Wealth Advisors, 06/2018-06/2022
- Client Service Associate, Beacon Pointe Wealth Advisors, 10/2015-06/2018
- Administrative Assistant, TPW Financial, 07/2013-09/2015

### **Item 3 – Disciplinary Information**

Ms. Guilliams does not have any disciplinary action to report. Public information concerning Ms. Guilliams' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Guilliams does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Guilliams is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **William V. Gunlicks, CFP®**

*William V. Gunlicks (b. 1979) is a Financial Advisor in Savant's Lincolnshire and Chicago, IL offices, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Will regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Administration, University of Denver, Daniels College of Business, Denver, CO, 2001
- Life, Health, Variable Contracts Insurance License, IL, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2007
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 09/2014-04/2020
- Financial Advisor/Wealth Manager, Cantor Fitzgerald, Wealth Partners/Mariner Wealth Advisors, 09/2011-09/2014
- Financial Planner, Clune & Associates, 06/2010-08/2011

### **Item 3 – Disciplinary Information**

Mr. Gunlicks does not have any disciplinary action to report. Public information concerning Mr. Gunlicks' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Gunlicks has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Gunlicks is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**James S. Gunter, II, MS, CFP®**

*James S. Gunter (b. 1986) is a Financial Advisor in Savant's Huntsville, AL office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Financial Planning, University of Georgia, Athens, GA, 2011
- B.S. Economics, Samford University, Homewood, AL, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Financial Advisor, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 12/2016-11/2023
- Registered Representative, LPL Financial, LLC, 12/2016-09/2018
- Investment Adviser Representative, Fleishel Financial Associates, Inc., 01/2012-12/2016
- Investment Adviser Representative, Raymond James Financial Services Advisors Inc., 07/2013-12/2016
- Registered associate/Registered Representative, Raymond James Financial Services Inc., 09/2012-12/2016
- Assistant Planner/Associate, Fleishel Financial Associates, Inc., 01/2012-12/2016

**Item 3 – Disciplinary Information**

Mr. Gunter does not have any disciplinary action to report. Public information concerning Mr. Gunter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Gunter does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Gunter is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Theresa A. Harezlak, CFP® CDFA®**

*Theresa A. Harezlak (b. 1966) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. She previously co-founded the impetus behind Savant's Women's Wealth Initiative, Savvy Women, a group created to educate and empower women to establish and maintain their financial independence. Theresa is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Business Administration, University of Iowa, Iowa City, IA, 1988
- Certified Divorce Financial Analyst® (CDFA®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 1995
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 09/2007–01/2012
- Financial Advisor, AMCORE Investment Group, 07/1988–06/2007

### **Item 3 – Disciplinary Information**

Ms. Harezlak does not have any disciplinary action to report. Public information concerning Ms. Harezlak's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Harezlak does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Harezlak is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## David W. Harris, CFP®

*David W. Harris (b. 1965) is a Managing Director and Financial Advisor in Savant's Birmingham, AL office.*

### Item 2 – Educational Background and Business Experience

- B.S. Business, Auburn University, Auburn, AL, 1987
- CERTIFIED FINANCIAL PLANNER™ professional, 1993
- AL Insurance Producer License, 1995
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-11/2023

### Item 3 – Disciplinary Information

Mr. Harris does not have any disciplinary action to report. Public information concerning Mr. Harris' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Harris does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Harris is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Terrence P. Hartigan, CFP®**

*Terrence P. Hartigan (b. 1971) is a Financial Advisor in Savant's Atlanta, GA office.*

### **Item 2 – Educational Background and Business Experience**

- B.B.A. Finance, University of Georgia, Athens, GA 1993
- CERTIFIED FINANCIAL PLANNER™ professional, 1997
- Financial Advisor, Savant Wealth Management, 02/2023-Present
- Principal, Capital Directions, 01/2013-02/2023
- Contractor, Warren Averett CPA's and Advisors, 01/2013-01/2017

### **Item 3 – Disciplinary Information**

Mr. Hartigan does not have any disciplinary action to report. Public information concerning Mr. Hartigan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Hartigan does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Hartigan is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Bradley O. Haverback, CFP®**

*Bradley O. Haverback (b. 1980) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Business Administration, Augustana College – Rock Island, IL, 2002
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Vice President and Investment Advisor Representative, World Trend Financial, 03/2011 – 09/2022

### **Item 3 – Disciplinary Information**

Mr. Haverback does not have any disciplinary action to report. Public information concerning Mr. Haverback's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Haverback does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Haverback is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**James M. Haygood, CFP®, AWMA®**

*James M. Haygood (b. 1982) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management, and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- B.A. Journalism, University of Wisconsin – Whitewater, WI, 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Financial Advisor, Filbrandt Wealth Management, LLC, 01/2018-12/2021
- Financial Advisor, Filbrandt Investment Advisors Inc., 01/2015-01/2018
- Director of Sales and Marketing, Filbrandt Investment Advisors Inc., 08/2010-12/2014

**Item 3 – Disciplinary Information**

Mr. Haygood does not have any disciplinary action to report. Public information concerning Mr. Haygood's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Haygood does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Haygood is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **John C. Hendricks, CFP®**

*John C. Hendricks (b. 1989) is a Financial Advisor in Savant's Doylestown, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Finance and Management Information Systems, Temple University – Philadelphia, PA, 2014
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Financial Advisor, Savant Wealth Management, 11/2022 – Present
- Junior Associate Planner, SFG Investment Advisors, Inc., 09/2018 – 10/2022

### **Item 3 – Disciplinary Information**

Mr. Hendricks does not have any disciplinary action to report. Public information concerning Mr. Hendricks' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Hendricks does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Hendricks is eligible to receive a bonus for bringing in additional assets from both new and existing clients

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jonathan J. Henkels CFP®**

*Jonathan J. Henkels (b. 1984) is a Financial Advisor in Savant's Lincolnshire, IL office.*

### **Item 2 – Educational Background and Business Experience**

- Social Sciences Secondary Education, Illinois State University, Normal, IL, 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 03/2023-Present
- Account Manager, The Northern Trust Company, 09/2021-03/2023
- Investment Advisor Representative, Phase III Advisory Services, LTD, 06/2020-09/2021
- Financial Planning Analyst, Phase III Advisory Services, LTD, 07/2019-09/2021
- Registered Representative, Horace Mann Investors, Inc, 05/2018-05/2019
- Account Executive, Horace Mann Companies, 04/2018-05/2019

### **Item 3 – Disciplinary Information**

Mr. Henkels does not have any disciplinary action to report. Public information concerning Mr. Henkels' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Henkels does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Henkels is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Arthur P. Henley**

*Arthur P. Henley (b. 1996) is a Associate Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Auburn University, Auburn, AL, 2019
- Associate Advisor, Savant Wealth Management, 12/2023- Present
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2020-11/2023

### **Item 3 – Disciplinary Information**

Mr. Henley does not have any disciplinary action to report. Public information concerning Mr. Henley's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Henley does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Henley is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jennifer L. Hill, CFP®, CPA/PFS**

*Jennifer L. Hill (b. 1987) is a Financial Advisor in Savant's Lancaster, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration for Finance and Accounting, Millersville University, Millersville, PA 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Personal Financial Specialist (PFS), 2016
- Certified Public Accountant (CPA), 2013
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Senior Wealth Advisor and Director of Practice Management, Domani Wealth, LLC, 01/2020–05/2023
- Wealth Advisor, Domani Wealth, LLC., 01/2015-01/2020

### **Item 3 – Disciplinary Information**

Ms. Hill does not have any disciplinary action to report. Public information concerning Ms. Hill's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Hill does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Hill is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



**Brian T. Hinson, CFP®, CPA, CHFC, PFS**

*Brian T. Hinson (b. 1970) is a Managing Director and Financial Advisor in Savant's Huntsville, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance and Accounting, The University of North Alabama, Florence, AL, 1993
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Certified Public Accountant, 1994
- Personal Financial Specialist, 2006
- Chartered Financial Consultant, 2006
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2017-11/2023
- Registered Representative, LPL Financial, 04/2015-11/2023
- Registered Representative, Lincoln Financial Advisors Corporation, 07/1996-04/2015
- Associate, First Financial Group, 07/1996-04/2015

**Item 3 – Disciplinary Information**

Mr. Hinson does not have any disciplinary action to report. Public information concerning Mr. Hinson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Hinson does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Hinson is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **William O. Hocutt, CFP®, CPA**

*William O. Hocutt (b. 1975) is a Financial Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- M.A. Accountancy, University of Alabama, Tuscaloosa, AL, 1999
- B.S. Accounting, University of Alabama, Tuscaloosa, AL, 1998
- CERTIFIED FINANCIAL PLANNER™ professional, 2006
- Certified Public Accountant, 2001
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- William O. Hocutt, CPA, 02/2010-Present
- Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2013-11/2023
- Registered Representative, LPL Financial LLC, 08/2013-09/2018

### **Item 3 – Disciplinary Information**

Mr. Hocutt does not have any disciplinary action to report. Public information concerning Mr. Hocutt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Hocutt is a CPA and prepares individual tax returns.

### **Item 5 – Additional Compensation**

Mr. Hocutt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Daniel E. Holdridge, CFP®**

*Daniel E. Holdridge (b. 1994) is a Financial Advisor in Savant's Madison, WI office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Dan regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- B.B.A., Business Administration, University of Wisconsin - Madison, 2016
- Financial Advisor, Savant Capital Wealth Management, 10/2020–Present
- Financial Advisor, Wipfli Financial Advisors, LLC, 04/2019-01/2020
- Associate Advisor, Wipfli Financial Advisors, LLC, 06/2018-04/2019
- Associate Advisor, Wipfli Hewins Investment Advisors, LLC, 05/2016-05/2018

### **Item 3 – Disciplinary Information**

Mr. Holdridge does not have any disciplinary action to report. Public information concerning Mr. Holdridge' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Holdridge has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Holdridge is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Michael R. Homan**

*Michael R. Homan (b. 1982) is a Financial Advisor in Savant's Rockford, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Rasmussen University, Rockford, IL, 2017
- B.S. Sports Management, North Central College, Naperville, IL, 2005
- Financial Planning Associate, Savant Wealth Management, 01/2023-Present
- Trader, Savant Wealth Management, 09/2017-12/2022

### **Item 3 – Disciplinary Information**

Mr. Homan does not have any disciplinary action to report. Public information concerning Mr. Homan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Homan does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Homan is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kevin M. Hrdlicka, CFP®, CFA®**

*Kevin M. Hrdlicka (b. 1986) is the Chief Operating Officer, is a member of the Executive Team, and has a membership interest in Savant. He is also secretary of the Investment Committee. Kevin oversees the investment research, investment operations, trading, and compliance departments. He also oversees the due diligence, integration, and onboarding for firms that join Savant, and manages the relationships for all of Savant's custodian partners.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business Administration, emphasis in Finance, minor in Economics, Truman State University, Kirksville, MO, 2008
- Chartered Financial Analyst® (CFA®), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Chief of Staff & Chief Operating Officer, Savant Wealth Management, 10/2023 - Present
- Chief Operating Officer, Savant Wealth Management, 07/2020-09/2023
- Chief Operating Officer, Savant Capital Management, 05/2020–07/2020
- Chief Operating Officer & Chief Compliance Officer, Savant Capital Management, 03/2019–05/2020
- Chief Operating Officer, Savant Capital Management, 01/2018–02/2019
- Director of Investments, Savant Capital Management, 03/2017–12/2017
- Manager of Investment Research and Trading, Savant Capital Management, 05/2016–02/2017
- Manager of Investment Services, Savant Capital Management, 03/2015–05/2016
- Manager of Trading Services, Savant Capital Management, 07/2013–02/2015
- Investment Research Analyst, Savant Capital Management, 01/2012–07/2013
- Investment Research Analyst, Savant Capital Management, Inc., 02/2011–12/2011
- Portfolio Management Systems Administrator, Savant Capital Management, Inc., 05/2008–02/2011
- Intern, Savant Capital Management, Inc., 05/2007–08/2007

### **Item 3 – Disciplinary Information**

Mr. Hrdlicka does not have any disciplinary action to report. Public information concerning Mr. Hrdlicka's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Hrdlicka does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Hrdlicka is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## David J. Huber, CFP®

*David J. Huber (b. 1956) is Managing Director and Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. Dave is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Business Administration, St Norbert College, 1978
- Life, Health, Variable Contracts Insurance Producer License, IL
- CERTIFIED FINANCIAL PLANNER™ professional, 1988
- Managing Director and Financial Advisor, Savant Wealth Management, 07/2020-Present
- Managing Director and Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Chief Executive Officer, Huber Financial Advisors, LLC, 04/2010-04/2020
- Chief Executive Officer, Huber Financial Group, Ltd., 10/1988-03/2013

### Item 3 – Disciplinary Information

Mr. Huber does not have any disciplinary action to report. Public information concerning Mr. Huber's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Huber has no other business activities.

### Item 5 – Additional Compensation

Mr. Huber is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Chase A. Hutter**

*Chase A. Hutter (b. 1994) is a Financial Advisor in Savant's Peoria, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Southern Illinois University-Edwardsville, Edwardsville, IL, 2017
- Financial Advisor, Savant Wealth Management, 07/2023-Present
- Investment Counselor, Fisher Investments, 01/2021-05/2023
- Consulting, Oceanside Financial, 04/2018-01/2021
- Senior-Associate, Whitecap Sports Group, 03/2020-07/2020
- Branch Associate/Intern, Edward Jones, 06/2017-01/2018
- Finance Specialist, Cope Plastics, 08/2016-06/2017

### **Item 3 – Disciplinary Information**

Mr. Hutter does not have any disciplinary action to report. Public information concerning Mr. Hutter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Hutter does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Hutter is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Tara H. Ingram, MS,CFP®**

*Tara H. Ingram (b. 1997) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Financial Planning, University of Alabama, Tuscaloosa, AL, 2020
- B.S. Consumer Affairs, University of Alabama, Tuscaloosa, AL, 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Associate Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 04/2023-11/2023
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 07/2020-04/2023
- Intern, Rooted Ministry, 07/2019-05/2020
- Summer Intern, Bridgeworth, LLC dba Bridgeworth Wealth Management, 06/2019-08/2019
- Summer Youth Intern, Cathedral Church of the Advent, 05/2018-07/2018

**Item 3 – Disciplinary Information**

Ms. Ingram does not have any disciplinary action to report. Public information concerning Ms. Ingram's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Ingram does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Ingram is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



**Charles Z. Ivey, MBA, CFP®, CFA, CLU, ChFC**

*Charles Z. Ivey (b. 1977) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- MBA, University of Alabama at Birmingham, Birmingham, AL, 2001
- B.S. Business Administration, Mississippi State University, Starkville, MS, 1999
- Chartered Financial Analyst, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2004
- Chartered Life Underwriter, 2003
- Chartered Financial Consultant, 2003
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018

**Item 3 – Disciplinary Information**

Mr. Ivey does not have any disciplinary action to report. Public information concerning Mr. Ivey's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Ivey does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Ivey is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Robin E. Jackson Miller**

*Robin E. Jackson Miller (b. 1978) is a Tax & Consulting Leader in Savant's Iowa City, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Simpson College, Indianola, IA 2000
- Tax & Consulting Leader, Savant Wealth Management, 10/2022-Present
- Tax Accountant, Terry Lockridge & Dunn Inc., 07/2000-09/2022

### **Item 3 – Disciplinary Information**

Ms. Jackson Miller does not have any disciplinary action to report. Public information concerning Ms. Jackson Miller's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Jackson Miller does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Jackson Miller is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Tawn M. Jacobs, MST, CPA/PFS, CFP®**

*Tawn M. Jacobs (b. 1962) is the Private Client Group Leader with Savant and has a membership interest in Savant. She provides high-touch tax and financial planning services to high-net-worth individuals through our Private Client group. She also drives the strategy for the Savant's Private Client group, ensuring that the team and platform are optimized to provide the most value for clients.*

### **Item 2 – Educational Background and Business Experience**

- M.S.T., DePaul University, Chicago, IL, 1992
- B.S. Accounting, Northern Illinois University, Dekalb, IL, 1989
- Personal Financial Specialist (PFS), 2011
- CERTIFIED FINANCIAL PLANNER™ professional, 2000
- Certified Public Accountant (CPA), 1989
- Private Client Group Leader, Savant Wealth Management, 11/2021-Present
- Director of Financial Planning and Tax Services, Savant Wealth Management, 07/2020-11/2021
- Director of Financial Planning and Tax Services, Savant Capital Management, 03/2019–07/2020
- Manager of Financial Planning, Savant Capital Management, 01/2013–02/2019
- Director of Financial Planning, Savant Capital Management, 01/2012–01/2013
- Senior Financial Planner, Savant Capital Management, Inc., 05/2004–01/2012
- Tax Preparation/Review, Siepert & Company, 02/2004–04/2004
- Tax Review, Loescher & Associates, 02/2004–04/2004
- Tax Preparation/Review, Diversified Financial Management Corp., 08/2002–08/2003
- Tax Preparation, RSM McGladrey, Inc., 01/2002–04/2002
- Registered Rep, Birchtree Financial Services, Inc., 03/2001–05/2001
- Tax Manager, RSM McGladrey, Inc., 06/1997–05/2001
- CPA, Owner, Jacobs & Jacobs, CPA's, 01/1994–05/1997
- Tax Accounting Officer, AMCORE Financial, Inc., 05/1992–01/1994
- Senior Tax Specialist, KPMG Peat Marwick, 07/1989–05/1992

### **Item 3 – Disciplinary Information**

Ms. Jacobs does not have any disciplinary action to report. Public information concerning Ms. Jacobs' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Jacobs does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Jacobs is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Shawn C. Jennings

*Shawn C. Jennings (b. 1967) is a Financial Advisor in Savant's Wellesley, MA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Psychology, University of Rhode Island, RI, 1990
- Financial Advisor, Savant Wealth Management, 10/2023 – Present
- Wealth Management Advisor, TIAA, 11/2018 – 09/2023
- VP – Financial Consultant, Charles Schwab & CO., Inc., 01/2008-11/2018

### **Item 3 – Disciplinary Information**

Mr. Jennings does not have any disciplinary action to report. Public information concerning Mr. Jennings' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Jennings does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Jennings is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jennifer E. Jesup, MS, CFP®**

*Jennifer E. Jesup (b. 1996) is a Financial Advisor in Savant's Huntsville, AL office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Family Financial Planning, University of Alabama, Tuscaloosa, AL, 2018
- B.S. Consumer Affairs, University of Alabama, Tuscaloosa, AL, 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 02/2024 – Present
- Associate Advisor, Bridgeworth Wealth Management, 01/2022 – 07/2023
- Planning Coordinator, Bridgeworth Wealth Management, 05/2019 – 01/2022
- Intern, Lance Hocutt Financial Group, 03/2017 – 05/2017

**Item 3 – Disciplinary Information**

Ms. Jesup does not have any disciplinary action to report. Public information concerning Ms. Jesup's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Jesup does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Jesup is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jeremy S. Joseph, CFP®, ChSNC®**

*Jeremy S. Joseph (b. 1984) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. Jeremy is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Communication Studies, University of Kansas, Lawrence KS, 2006
- Life, Health, Variable Contracts Insurance License, IL, 2017
- Chartered Special Needs Consultant®, 2022
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 12/2018-04/2020
- Senior Financial Consultant, TD Ameritrade, Inc., 05/2018-12/2018
- Financial Consultant, Fidelity Brokerage Services LLC, 08/2016-05/2018
- Investment Consultant, TD Ameritrade, Inc., 11/2012-08/2016
- Independent Advisor Representative, Wayne Messmer & Associates; Madison Avenue Advisors, Inc.; Madison Avenue Securities, Inc., 03/2008-11/2012
- Financial Advisor, Ameriprise Financial Services, Inc., 05/2006-03/2008

### **Item 3 – Disciplinary Information**

Mr. Joseph does not have any disciplinary information to report. Public information concerning Mr. Joseph's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Joseph has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Joseph is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **John L. Kalousek, Jr., CFA**

*John L. Kalousek, Jr. (b. 1990) is a Senior Investment Research Analyst in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, University of Alabama at Birmingham, Birmingham, AL, 2014
- Chartered Financial Analyst, 2023
- Senior Investment Research Analyst, Savant Wealth Management, 12/2023- Present
- Director of Portfolio Management, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 02/2022-11/2023
- Senior Portfolio Strategist/Registered Representative/Investment Advisor Representative, First Horizon Advisors, Inc., 07/2020-02/2022
- Portfolio Manager, Iberia Wealth Advisors, 01/2015-07/2020

### **Item 3 – Disciplinary Information**

Mr. Kalousek does not have any disciplinary action to report. Public information concerning Mr. Kalousek's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Kalousek does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Kalousek is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kevin C. Kingston, CLU®, ChFC®**

*Kevin C. Kingston (b. 1954) is a Financial Advisor in Savant's Bloomington, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Illinois State University, Normal, IL, 1976
- Chartered Financial Consultant® (ChFC®), 1984
- Chartered Life Underwriter (CLU®), 1982
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2019–07/2020
- Investment Advisor, Valmark Advisers, Inc., 06/2007–12/2019
- Registered Representative, Valmark Securities, Inc., 06/2007–12/2019
- Financial Advisor, Kingston Wealth Management, 06/1976-12/2019
- Professional Life Underwriter, Evlico New York New York, 06/1976-12/2019
- Representative, The Equitable Life Assurance, Society of the United States, 06/1976-12/2019
- Representative, EQ Financial Consultants, Inc., 06/1976-12/2019
- Representative, AXA Advisors, LLC, 06/1976-12/2019

### **Item 3 – Disciplinary Information**

Mr. Kingston has a disciplinary event that can be found on FINRA's [BrokerCheck website](#). Public information concerning Mr. Kingston's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Kingston does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Kingston is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Thomas L. Kirk

*Thomas L. Kirk (b. 1956) is a Business Development Officer in Savant's Satellite Beach, FL office.*

### Item 2 – Educational Background and Business Experience

- B.S. Accounting, University of Florida, FL, 1978
- Certified Public Accountant, 1982-2023
- Business Development Officer, Savant Wealth Management, 01/2024- Present
- Chairman of Board of Directors, FirstWave Financial, Inc., 01/2016-12/2023
- Wealth Coach and Founder, FirstWave Financial, Inc., 11/1995 – 12/2023
- President, Vice President, Secretary, Treasurer, Wealth Coach, CPA Wealth Management Services, P.A., 06/2012 – 12/2015
- President, Founder and Wealth Coach, CPA Wealth Management Services, P.A., 11/1995 – 05/2012
- Partner, Hoyman, Dobson & Company, P.A., 01/1991-06/2012
- Partner and Founder, Hoyman, Oswald & Kirk, P.A., 02/1984 – 12/1990

### Item 3 – Disciplinary Information

Mr. Kirk does not have any disciplinary action to report. Public information concerning Mr. Kirk's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Kirk is the sole owner of Nummi LLC, which provides marketing consulting services to Savant. Mr. Kirk is a Board Member for Future Plan Financial, a non-profit 501c3 RIA Firm.

### Item 5 – Additional Compensation

Mr. Kirk is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Brian J. Knabe, MD, CMP®, CFP®**

*Brian J. Knabe (b. 1967) is a Financial Advisor in Savant's Rockford, IL and Park Falls, WI offices, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brian routinely meets with clients, advisors, portfolio managers, and planners in order to develop comprehensive planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certificate in Financial Planning, Marquette University, Milwaukee, WI, 2007
- Family Practice Residency, University of Illinois College of Medicine, Chicago, IL, 1996
- M.D., University of Illinois College of Medicine, Chicago, IL, 1993
- B.S., Honors, Biomedical Engineering, Marquette University, Milwaukee, WI, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Certified Medical Planner (CMP®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2008–01/2012
- Consultant, Savant Capital Management, Inc., 01/2007–02/2008
- Clinical Assistant Professor of Family Medicine, Residency Faculty, UICOM-R, 02/2008–5/2017
- Medical Director, Swedish American Cardiopulmonary Rehabilitation Program, 2000–2001
- Family Physician, Swedish American Health System, 09/1996–01/2008

**Item 3 – Disciplinary Information**

Dr. Knabe does not have any disciplinary action to report. Public information concerning Dr. Knabe's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Dr. Knabe works as an emergency room physician on a part time basis at Marshfield Clinic, Park Falls, WI.

**Item 5 – Additional Compensation**

Dr. Knabe is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jessica L. Knudsen, CFP®, CSR®P, MPAS®**

*Jessica L. Knudsen (b. 1979) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team and has a membership interest in Savant. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies*

**Item 2 – Educational Background and Business Experience**

- M.S., Personal Financial Planning, College for Financial Planning, 2016
- B.S., Economics, emphasis in Finance, Rockford College, Rockford, IL, 2001
- Master Planner Advanced Studies<sup>SM</sup>, 2016
- Certified Specialist in Retirement Planning<sup>SM</sup> (CSR®P), 2009
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2006
- Financial Advisor, Savant Wealth Management, 06/2022-Present
- Financial Planning Supervisor, Savant Wealth Management, 07/2020-06/2022
- Financial Planning Supervisor, Savant Capital Management, 03/2018–07/2020
- Senior Financial Planner, Savant Capital Management, 01/2012–02/2018
- Financial Planner, Savant Capital Management, Inc., 08/2004–12/2011
- Cashier/Supervisor, Sam's Club, 03/2002–12/2004
- Paraplanner, American Express Financial Advisor, 06/2001–04/2003

**Item 3 – Disciplinary Information**

Ms. Knudsen does not have any disciplinary action to report. Public information concerning Ms. Knudsen's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Knudsen does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Knudsen is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Gerard S. Korabik, AIFA®, CFP®, CRPS®**

*Gerard S. Korabik (b. 1968) is a Financial Advisor in Savant's Rockford, IL and suburban Chicago offices, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. He is also a member of the Fiduciary Committee, an internal panel that reviews our retirement plan service providers to comply with ERISA Section 3(16) fiduciary status. Jerry advises individual clients as well as retirement plans and not-for-profit organizations. He is responsible for managing all aspects of the financial planning and investment processes for Savant's clients. He regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, University of Illinois, Champaign, IL, 1991
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2011
- Accredited Investment Fiduciary Analyst® (AIFA®), 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 1997
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 01/2007–01/2012
- Vice President – Financial Communications, Morningstar/Ibbotson, 01/2000–06/2006
- Senior Manager – Financial Planning Solutions, PriceWaterhouseCoopers, 12/1997–12/1999
- Senior Analyst – Pensions and Investments, Arthur Andersen, 06/1994–12/1997
- Financial Analyst, Arthur Andersen, 05/1991–06/1994

**Item 3 – Disciplinary Information**

Mr. Korabik does not have any disciplinary action to report. Public information concerning Mr. Korabik's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Korabik does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Korabik is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott L. Kornstedt, MBA, CFP®, CLU®, ChFC®**

*Scott L. Kornstedt (b. 1981) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management, and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- MBA, University of Wisconsin – Oshkosh, 2009
- B.A. Business Economics, Wisconsin Lutheran College, Milwaukee, WI, 2004
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Financial Advisor, Filbrandt Wealth Management, LLC, 01/2018-12/2021
- Financial Advisor, Filbrandt Investment Advisers Inc, 05/2012-01/2018
- Home Office Employee, SII Investments, 07/2006-05/2012

**Item 3 – Disciplinary Information**

Mr. Kornstedt does not have any disciplinary action to report. Public information concerning Mr. Kornstedt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Kornstedt does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Kornstedt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Paulina M. Ksiazek, CFP®**

*Paulina M. Ksiazek (b. 1992) is a Financial Advisor in Savant's Lincolnshire, IL office and a member of the Advisory Team. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Paulina routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S. Business, emphasis Finance, DePaul University, Chicago, IL, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 08/2021-Present
- Advisor Training Program Associate, Savant Wealth Management, 07/2020-08/2021
- Advisor Training Program Associate, Savant Capital Management, 06/2020–07/2020
- Financial Planner, Savant Capital Management, 02/2020–06/2020
- Financial Planner, Huber Financial Advisors, 05/2019–02/2020
- Sr. Client Service Associate, Huber Financial Advisors, 10/2017-04/2019
- Client Service Associate, Huber Financial Advisors, 01/2016-09/2017
- Client Service Administrative Assistant, Huber Financial Advisors, 06/2015-12/2015
- Wealth Management Intern, The Mather Group, 05/2014-08/2014

**Item 3 – Disciplinary Information**

Ms. Ksiazek does not have any disciplinary action to report. Public information concerning Ms. Ksiazek's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Ksiazek does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Ksiazek is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott K. Laue, JD, CFP®**

*Scott K. Laue (b. 1960) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients and also advises not-for-profit organizations and consults on the plan design and ongoing administration of retirement plan clients. Scott routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- Certified Pension Trustee Program – Illinois, Public Pension Fund, 2005
- Certified Retirement Plan Specialist program, Cannon Financial Institute, 1995
- J.D., Valparaiso University School of Law, Valparaiso, IN, 1986
- B.S., Marketing, Bradley University, Peoria, IL, 1983
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2008–01/2012
- Vice President & Trust Officer, AMCORE Investment Group NA, 03/1998–02/2008
- Vice President, Bank One, and its predecessor First National Bank, Rockford IL, 06/1991–03/1998

**Item 3 – Disciplinary Information**

Mr. Laue does not have any disciplinary action to report. Public information concerning Mr. Laue's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Laue has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients however clients have no obligation to use them.

**Item 5 – Additional Compensation**

Mr. Laue is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Patrick E. Lawlor, CFP®, CFA®**

*Patrick E. Lawlor (b. 1972) is a Financial Advisor in Savant's Lincolnshire, IL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance, University of Illinois, Champaign-Urbana, IL 1994
- CERTIFIED FINANCIAL PLANNER™ professional, 1998
- Chartered Financial Analyst® (CFA®) 2004
- Head of Mergers and Acquisitions, Savant Wealth Management, 01/2023-Present
- Unemployed, 08/2022-11/2023
- President and Managing Director M & A, The Mather Group, LLC, 10/2017-07/2022
- Representative, Alpha Fiduciary, 02/2015-10/2017

**Item 3 – Disciplinary Information**

Mr. Lawlor does not have any disciplinary action to report. Public information concerning Mr. Lawlor's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Lawlor does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Lawlor is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Christine Lawrence, CPA**

*Christine Lawrence (b. 1971) is a Tax & Consulting Leader in Savant's Dover, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Goldey-Beacom College, Pine Creek, DE 1993
- Certified Public Accountant (CPA), 1996
- Tax & Consulting Leader, Savant Wealth Management, 07/2023-Present
- Member and Investment Advisor Representative, Wealth Management Group, LLC, 10/2001–07/2023
- Principal, Raymond F. Book & Associates, CPAs, P.A., 01/2003-07/2023
- Accountant, Raymond F. Book & Associates, CPAs, P.A., 11/1995-07/2023

### **Item 3 – Disciplinary Information**

Ms. Lawrence does not have any disciplinary action to report. Public information concerning Ms. Lawrence's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Lawrence does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Lawrence is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**David S. LeCompte, CFP®, CRPC, CFS, RICP**

*David S. LeCompte (b. 1981) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Business Administration and Finance, Troy University, Troy, AL, 2004
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Chartered Retirement Planning Counselor, 2009
- Certified Fund Specialist, 2012
- Retirement Income Certified Professional, 2017
- Alabama State Life, Health Disability Insurance Exam and License, 2005
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018
- Financial Planner, Ameriprise Financial, 05/2005-08/2012

**Item 3 – Disciplinary Information**

Mr. LeCompte does not have any disciplinary action to report. Public information concerning Mr. LeCompte's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. LeCompte does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. LeCompte is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jeffrey R. Lewis, CFP®, ChFC®, CRPS®**

*Jeffrey R. Lewis (b. 1993) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Jeff regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S, Economics, Illinois State University, Normal, IL, 2015
- A.A.S. General Studies, Rock Valley Community College, Rockford, IL, 2013
- Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®), 2020
- Chartered Financial Consultant® (ChFC®), 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 09/2019-07/2020
- Advisor Development Program Associate, Savant Capital Management, 04/2019–09/2019
- Investment Research Analyst, Savant Capital Management, 03/2017–04/2019
- Portfolio Accountant, Savant Capital Management, 05/2015–03/2017

**Item 3 – Disciplinary Information**

Mr. Lewis does not have any disciplinary action to report. Public information concerning Mr. Lewis' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Lewis does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Lewis is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Brent A. Lindell, CTFA, CFP®, AIF®, CRPS®**

*Brent A. Lindell (b. 1967) is a Financial Advisor in Savant's Madison, WI office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brent routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies. He advises clients on numerous financial strategies to help them accumulate, maintain, and preserve their wealth.*

### **Item 2 – Educational Background and Business Experience**

- Cannon Trust School, University of Notre Dame, Notre Dame, IN, 2000
- B.A., Economics, University of Iowa, Iowa City, IA, 1990
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- Accredited Investment Fiduciary (AIF®), 2011
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2010
- Certified Trust & Financial Advisor (CTFA), 2000
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 03/2007–01/2012
- Vice President, Wealth Management Consultant, US Bank, 09/1995–03/2007
- Account Executive, Dean Witter Reynolds, Inc., 06/1994–05/1995
- Sales Support Representative, Kemper Financial Services, Inc., 01/1992–06/1993

### **Item 3 – Disciplinary Information**

Mr. Lindell does not have any disciplinary action to report. Public information concerning Mr. Lindell's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Lindell does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Lindell is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jakob C. Loescher, CRPC®, CFP®, ChFC®, AIF®, CRPS®**

*Jakob C. Loescher (b. 1986) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Advisory Council, an internal panel that provides input on ways to improve the client experience. Jake is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.S., Finance, minor History, Northern Illinois University, DeKalb, IL, 2008
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Accredited Investment Fiduciary (AIF®), 2012
- Chartered Financial Consultant® (ChFC®), 2011
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2010
- Chartered Retirement Planning Counselor<sup>TM</sup> (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 07/2011–01/2012
- Financial Planner, Savant Capital Management, Inc., 06/2010–07/2011
- Paraplanner, Savant Capital Management, Inc., 12/2008–06/2010

**Item 3 – Disciplinary Information**

Mr. Loescher does not have any disciplinary action to report. Public information concerning Mr. Loescher's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Loescher does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Loescher is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Stephen C. Lorence, CFP®**

*Stephen C. Lorence (b. 1992) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Steve regularly meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Kansas State University, Manhattan, KS, 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2017–07/2020
- Relationship Manager, United Capital, Northbrook, IL, 08/2015–11/2016
- Guidance Specialist, United Capital, Dallas, TX, 06/2015–08/2015
- Intern, United Capital, Rockford, IL, 05/2013–05/2015

### **Item 3 – Disciplinary Information**

Mr. Lorence does not have any disciplinary action to report. Public information concerning Mr. Lorence's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Lorence does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Lorence is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Mary T. Lynch, MBA, CFP®**

*Mary T. Lynch (b. 1966) is a Wealth Transfer Specialist in Savant's Madison, WI office and a member of the Planning Team. She works closely with the Advisory Team to prepare client financial plans and develop tax strategies for clients. Mary is also responsible for gathering, organizing, and synthesizing client data to support financial advisors in developing planning and investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A. General Management, University of Chicago, Booth School of Business, Chicago, IL, 2005
- B.A., Art History, Northwestern University, Evanston, IL, 1989
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Wealth Transfer Specialist, Savant Wealth Management, 07/2020-Present
- Wealth Transfer Specialist, Savant Capital Management, 03/2020-07/2020
- Financial Planner, Savant Capital Management, 05/2016–03/2020
- Financial Planning Associate, Boyden Financial, Mt. Horeb, WI, 09/2011–02/2016

### **Item 3 – Disciplinary Information**

Ms. Lynch does not have any disciplinary action to report. Public information concerning Ms. Lynch's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Lynch does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Lynch is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Anne M. Mank, CPA, CFP®**

*Anne M. Mank (b. 1974) is the Director of Financial Planning and a member of the Planning Team in Savant's Rockford, IL office. She works closely with the Advisory Team to prepare client financial plans and develop tax strategies for clients. Anne is also responsible for gathering, organizing, and synthesizing client data to support financial advisors in developing planning and investment strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Accounting, Cardinal Stritch University, Milwaukee, WI , 1997
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Certified Public Accountant (CPA), 2003
- Director of Financial Planning, Savant Wealth Management, 09/2022-Present
- Wealth Advisor, Ellenbecker Investment Group, 09/2017-08/2022
- Financial Advisor, Edward Jones, 01/2014-09/2017
- Controller, Pinstripe, 07/2008-01/2014
- Consultant, Titus Group, 07/2005-07/2008
- Reporting Specialist, Midwest Airlines, 08/2004-07/2005
- Internal Audit Supervisor, Manpowergroup, 05/2002-08/2004

### **Item 3 – Disciplinary Information**

Mrs. Mank does not have any disciplinary action to report. Public information concerning Mrs. Mank's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mrs. Mank does not have any other business activities.

### **Item 5 – Additional Compensation**

Mrs. Mank is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Joseph P. Marmorato, CPA**

*Joseph P. Marmorato (b. 1989) is a Private Client Group Planner in Savant's Lancaster, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Quinnipiac University, Hamden, CT 2012
- Certified Public Accountant, 2016
- Private Client Group Planner, Savant Wealth Management, 10/2023-Present
- Senior Financial Planner, Savant Wealth Management, 05/2023-10/2023
- Manager of Tax Planning, Domani Wealth, LLC, 09/2021–05/2023
- Senior Tax Manager, Mariner Wealth Advisors, 01/2015-09/2021
- Staff II Accountant, WithumSmith +Brown PC, 08/2012-12/2014

### **Item 3 – Disciplinary Information**

Mr. Marmorato does not have any disciplinary action to report. Public information concerning Mr. Marmorato's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Marmorato does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Marmorato is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jeffrey T. McCormack, CFP®**

*Jeffrey T. McCormack (b. 1971) is a Financial Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- M.A. of Arts, University of Washington, Seattle, WA, 1992
- B.A. of Arts, University of Alabama, Tuscaloosa, AL, 1992
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Life and Health Insurance License, 1997
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018
- Investment Adviser Representative, LPL Financial, 09/2012-01/2016

### **Item 3 – Disciplinary Information**

Mr. McCormack does not have any disciplinary action to report. Public information concerning Mr. McCormack's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. McCormack is a Finance Committee Member at St. Stephens Episcopal Church. This activity does not take up any of his time during trading hours.

### **Item 5 – Additional Compensation**

Mr. McCormack is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**David H. McCormick-Goodhart, MBA, CPA/PFS, CFP®, AIF®**

*David H. McCormick-Goodhart (b. 1981) is a Financial Advisor in Savant's Vienna, VA, office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. David regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., Finance, Mount St. Mary's University, Emmitsburg, MD, 2008
- B.S., Accounting, Mount St. Mary's University, Emmitsburg, MD, 2005
- Accredited Investment Fiduciary (AIF®), 2017
- Personal Financial Specialist (PFS), 2015
- CERTIFIED FINANCIAL PLANNER™ professional, 2012
- Certified Public Accountant (CPA), 2009
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2015–07/2020
- Financial Advisor, Triton Wealth Management, LLC, 10/2013–05/2015
- Senior Tax Accountant, Cardoni Waddell, LLC, 05/2011–10/2013
- Staff-In-Charge Accountant, Dembo, Jones, Healy, Pennington & Marshall PC, 05/2005–05/2011

**Item 3 – Disciplinary Information**

Mr. McCormick-Goodhart does not have any disciplinary action to report. Public information concerning Mr. McCormick-Goodhart's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. McCormick-Goodhart does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. McCormick-Goodhart is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Edward K. McDougal, CFP®**

*Edward K. McDougal (b. 1997) is a Financial Advisor in Savant's Lincolnshire, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. International Relations with a minor in Economics, Wheaton College, Wheaton, IL, 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 09/2023-Present
- Client Specialist, Baird, 08/2019-08/2023

### **Item 3 – Disciplinary Information**

Mr. McDougal does not have any disciplinary action to report. Public information concerning Mr. McDougal's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. McDougal does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. McDougal is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kevin T. McFadden, CFP®, ABFP<sup>SM</sup>, LUTCF**

*Kevin T. McFadden (b. 1985) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S.B.A., Accounting with Finance Minor, Columbia College of MO, Elgin, IL, 2012
- A.A.S., Business Management, McHenry County College, McHenry County College, Crystal Lake, IL, 2007
- Life Underwriter Training Council Fellow (LUTCF), 2016
- CERTIFIED FINANCIAL PLANNER<sup>™</sup> professional, 2014
- Accredited Behavioral Finance Professional<sup>SM</sup> (ABFP<sup>SM</sup>), 2023
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2019–07/2020
- Financial Advisor/Senior Financial Planner, Savant Capital Management, 01/2018–12/2018
- Senior Financial Planner, Savant Capital Management, 09/2015–01/2018
- Licensed Private Client Group Relationship Manager, PNC Investments, 05/2015–09/2015
- Investment Adviser Representative, Raymond James, 05/2014–05/2015
- Investment Associate, Raymond James, 07/2012–05/2014
- Risk and Trust Operations, Castle Bank, 10/2007–07/2012

### **Item 3 – Disciplinary Information**

Mr. McFadden does not have any disciplinary action to report. Public information concerning Mr. McFadden's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. McFadden does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. McFadden is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Sarah E. McGinniss, CFP®, CRPS®, ABFP<sup>SM</sup>**

*Sarah E. McGinniss (b. 1988) is a Financial Advisor in Savant's Madison, WI office, is a member of the Advisory Team, and has a membership interest in Savant. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Sarah is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, University of Illinois, Urbana-Champaign, IL, 2010
- Accredited Behavioral Finance Professional<sup>SM</sup> (ABFP<sup>SM</sup>), 2022
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2017
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2017
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 04/2015–07/2020
- Consultant, Slalom Consulting, 04/2013–04/2015
- Consultant, FTI Consulting, 09/2010–04/2013
- Intern, Allstate Financial, 06/2009–08/2009
- Intern, Rockford Local Development Corp., 06/2008–08/2008
- Resident Advisor, University of Illinois, 05/2007–12/2007
- Intern, Savant Capital Management, Inc. (winter breaks and summers), 12/2006–08/2008

### **Item 3 – Disciplinary Information**

Ms. McGinniss does not have any disciplinary action to report. Public information concerning Ms. McGinniss' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. McGinniss does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. McGinniss is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**John M. McMillen, CFP®, CFA®**

*John M. McMillen (b. 1973) is a Director of Portfolio Integration in Savant's Atlanta, GA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Economics, Frostburg State University, Frostburg, MD 1996
- M.B.A Business Administration, University of New Orleans, New Orleans, LA, 1999
- CERTIFIED FINANCIAL PLANNER™ professional, 2010
- Chartered Financial Analyst® (CFA®) 2006
- Director Portfolio Integration, Savant Wealth Management, 02/2023-Present
- Portfolio Manager, Capital Directions, 02/2005-02/2023
- Investment Advisory Representative, BAM Advisor Services, LLC, 01/2011-08/2014

**Item 3 – Disciplinary Information**

Mr. McMillen does not have any disciplinary action to report. Public information concerning Mr. McMillen's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. McMillen does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. McMillen is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Tory A. Meiborg**

*Tory A. Meiborg (b. 1974) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration, Mount Mercy University – Cedar Rapids, IA, 2000
- Managing Director and Financial Advisor, Savant Wealth Management, 10/2022-Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- President and Financial Advisor, World Trend Financial, 11/2007 – 09/2022
- Vice President, World Trend Financial, 08/1997 – 10/2007

### **Item 3 – Disciplinary Information**

Mr. Meiborg does not have any disciplinary action to report. Public information concerning Mr. Meiborg's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Meiborg does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Meiborg is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## Prince Owusu Mensah

*Prince Owusu Mensah (b. 1992) is a Financial Planner in Savant's Vienna, VA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Applied Economics , Virginia Polytechnic Institute and State University (Virginia Tech), Blacksburg, VA, 2016
- Financial Planner, Savant Wealth Management, 01/2023-Present
- Financial Planning Specialist, Ameriprise Financial-Pargon Wealth Advisors, 03/2021-09/2022
- Client Service Representative, Ameriprise Financial-Capital Bay Group, 01/2020-02-2021
- Paraplanner, The Family Firm, 12/18-12/2019
- Junior Investment Associate, Cambridge Associates, 10/2017-11/2018

### **Item 3 – Disciplinary Information**

Mr. Owusu Mensah does not have any disciplinary action to report. Public information concerning Mr. Owusu Mensah's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Owusu Mensah does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Owusu Mensah is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Zachary D. Meulemans, CFP®, ChFC®**

*Zachary D. Meulemans (b. 1990) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management, and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- B.A. Business and Economics, Ripon College – Ripon, WI, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Financial Advisor, Filbrandt Investment Advisers Inc, 04/2015-12/2021
- Registered Representative, Pruco Securities, LLC 07/2013-01/2015

**Item 3 – Disciplinary Information**

Mr. Meulemans does not have any disciplinary action to report. Public information concerning Mr. Meulemans' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Meulemans does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Meulemans is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Scott D. Michael, CFP®, AIF®**

*Scott D. Michael (b. 1969) is a Financial Advisor in Savant's York, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Economics, Millersville University, Millersville, PA 1993
- Accredited Investment Fiduciary® (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 1999
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner, Senior Wealth Advisor, and Chief Compliance Officer, Domani Wealth, LLC, 01/2015–05/2023

**Item 3 – Disciplinary Information**

Mr. Michael does not have any disciplinary action to report. Public information concerning Mr. Michael's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Michael currently serves as a Board Member for the Farm and Natural Lands Trust of York County and for the Red Lion Area Educational Foundation. In these roles, Mr. Michael advises on the investment of assets for use and benefit of the organizations and their beneficiaries. However, this position is not used to solicit or generate business for Registrant and, accordingly, it is not expected that this activity will present a conflict of interest for any client or prospective client.

**Item 5 – Additional Compensation**

Mr. Michael is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jonathan E. Millican, CFP®**

*Jonathan E. Millican (b. 1980) is a Private Client Group Leader in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- Bachelor of Science, University of Alabama, Tuscaloosa, AL, 2002
- Associate of Science, Northeast Alabama Community College, Rainsville, AL, 2000
- CERTIFIED FINANCIAL PLANNER™ professional, 2005
- Private Client Group Leader, Savant Wealth Management, 12/2023- Present
- Investment Adviser Representative and Director of Planning, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2017-11/2023
- Financial Planner, Kinsight, LLC, 06/2002-12/2016

**Item 3 – Disciplinary Information**

Mr. Millican does not have any disciplinary action to report. Public information concerning Mr. Millican's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Millican does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Millican is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Ryan G. Monette, CFP®, ChFC®**

*Ryan G. Monette (b. 1984) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Ideal Futures Committee, an internal panel that develops the processes, technology, and tools to implement the Ideal Futures Platform vision. Ryan is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and financial planners to determine and coordinate effective planning, investment, and tax strategies. Ryan has expertise in Social Security retirement planning, which includes helping clients evaluate their various Social Security retirement income claiming strategies, and helps clients understand the value of their Social Security benefits in their overall financial plan.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, minor Economics, Northern Illinois University, DeKalb, IL, 2007
- Chartered Financial Consultant® (ChFC®), 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2011
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2015–07/2020
- Financial Advisor/Assigned Planner, Savant Capital Management, 07/2014–07/2015
- Assigned Planner, Savant Capital Management, 01/2014–05-2014
- Financial Planner, Savant Capital Management, 01/2012–01/2014
- Financial Planner, Savant Capital Management, Inc., 01/2011–01/2012
- Trader, Savant Capital Management, Inc., 01/2008–01/2011
- Intern, Savant Capital Management, Inc., 05/2006–01/2008

### **Item 3 – Disciplinary Information**

Mr. Monette does not have any disciplinary action to report. Public information concerning Mr. Monette's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Monette does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Monette is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Grant W. Moore, MS, MPAS®, CFP®, CRPS®, CRPC®, AIF®**

*Grant W. Moore (b. 1984) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Investment Committee and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.B.A., Marquette University, Milwaukee, WI, 2010
- B.S. Business Administration, Marquette University, Milwaukee, WI, 2006
- Master Planner Advanced Studies<sup>SM</sup> (MPAS®), 2019
- Master of Science Degree, Personal Financial Planning (MS), 2019
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2013
- Accredited Investment Fiduciary (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER<sup>TM</sup> professional, 2008
- Chartered Retirement Planning Counselor (CRPC®), 2008
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 02/2010–01/2012
- Financial Planner, Savant Capital Management, Inc., 02/2008–02/2010
- Paraplanner, Savant Capital Management, Inc., 05/2006–02/2008

**Item 3 – Disciplinary Information**

Mr. Moore does not have any disciplinary action to report. Public information concerning Mr. Moore's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Moore does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Moore is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Robert E. Morrison, CFP®**

*Robert E. Morrison (b. 1971) is a Chief Strategy and Innovation Officer in Savant's Lincolnshire, IL office, is a member of the Executive Team, and has a membership interest in Savant. He has been involved in the financial services industry since 2000 and served as president of Huber Financial Advisors from 2012 until it joined with Savant in 2020 and has been coaching clients since 2001. Rob earned a bachelor's degree in business with a minor in communications from DePaul University and is a CERTIFIED FINANCIAL PLANNER™ professional. He co-authored the book Victory Lap Retirement, second edition.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Business, Minor in Communications,
- DePaul University, Chicago, IL, 1993
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Life, Health, Variable Contracts Insurance License, IL, 2003
- Chief Strategy and Innovation Officer, Savant Wealth Management, 07/2020-Present
- Chief Strategy and Innovation Officer, Savant Capital Management, 02/2020–07/2020
- President, Huber Financial Advisors, LLC, 07/2013–04/2020
- President, Huber Financial Group, Ltd., 01/2012-07/2013
- Financial Advisor, Huber Financial Group, Ltd., 01/2001-12/2011

### **Item 3 – Disciplinary Information**

Mr. Morrison does not have any disciplinary action to report. Public information concerning Mr. Morrison's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Morrison does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Morrison is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Douglas R. Morton, III, CFP®**

*Douglas R. Morton, III (b. 1977) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Doug regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Economics and Business Administration, Hope College, Holland, MI, 2000
- Life, Health, Variable Contracts Insurance License, IL, 2008
- CERTIFIED FINANCIAL PLANNER™ professional, 2004
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 01/2017-04/2020
- Wealth Advisor/Managing Director, United Capital Financial Advisers, LLC, 09/2008-11/2016
- Investment Analyst/Financial Advisor, Integrated Financial Management, Inc., 03/2001-09/2008

### **Item 3 – Disciplinary Information**

Mr. Morton does not have any disciplinary action to report. Public information concerning Mr. Morton's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Morton has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Morton is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Elizabeth N. Muldowney, CRPC®, CFP®, BFA™**

*Libby N. Muldowney (b. 1979) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team, and has a membership interest in Savant. She is a co-founder and a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. She previously co-founded the impetus behind Savant's Women's Wealth Initiative, Savvy Women, a group created to educate and empower women to establish and maintain their financial independence. Libby is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.A., Economics, emphasis finance, Rockford College, Rockford, IL, 2002
- Behavioral Financial Advisor™ (BFA™), 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Chartered Retirement Planning Counselor (CRPC®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2014–07/2020
- Client Service Representative, Savant Capital Management, 01/2012–06/2014
- Client Service Representative, Savant Capital Management, Inc., 09/2008–01/2012
- Customer Services, Fifth Third Bank, 12/2002–07/2008
- Platform Rep, Fifth Third Bank, 11/2004–07/2008

### **Item 3 – Disciplinary Information**

Ms. Muldowney does not have any disciplinary action to report. Public information concerning Ms. Muldowney's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Muldowney does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Muldowney is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Adam M. Munger, CFP®**

*Adam M. Munger (b. 1985) is a Financial Advisor in Savant's Huntsville, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Business Administration-Professional Finance, The University of North Alabama, Florence, AL, 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Associate Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2023-11/2023
- Planning Coordinator, Bridgeworth; LLC dba Bridgeworth Wealth Management, 06/2021-01/2023
- Investment Advisor Representative/Registered Representative, TD Ameritrade, 02/2018-01/2021
- Investment Advisor Representative, TD Ameritrade Investment Management, LLC, 02/2018-01/2021
- Investment Advisor Representative, Scottrade Investment Management, 03/2017-02/2018
- Registered Representative/Stockbroker, Scottrade, Inc., 04/2010-02/2018

**Item 3 – Disciplinary Information**

Mr. Munger does not have any disciplinary action to report. Public information concerning Mr. Munger's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Munger does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Munger is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Robert M Myers, CFP®**

*Robert M. Myers (b. 1981) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Business Management and Organizational Systems with a minor in Psychology, University of Iowa – Iowa City, IA, 2004
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Investment Advisor Representative, World Trend Financial, 01/2007 – 09/2022

### **Item 3 – Disciplinary Information**

Mr. Myers does not have any disciplinary action to report. Public information concerning Mr. Myers' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Myers does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Myers is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jordan H. Neuschwander**

*Jordan H. Neuschwander (b. 2000) is a Financial Advisor in Savant's Downers Grove, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Financial Planning, University of Illinois, Urbana-Champaign, IL, 2022
- Financial Advisor, Savant Wealth Management, 01/2024 – Present
- Associate Advisor, Savant Wealth Management, 06/2022 – 12/2023
- Financial Representative Intern, Northwestern Mutual, 04/2021 – 06/2022

### **Item 3 – Disciplinary Information**

Mr. Neuschwander does not have any disciplinary action to report. Public information concerning Mr. Neuschwander's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Neuschwander does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Neuschwander is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Sarah A. Nolte, CFP®**

*Sarah A. Nolte (b. 1992) is a Financial Advisor in Savant's Iowa City, IA location.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Finance, University of Northern Iowa – Cedar Falls, IA, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 12/2018 – 09/2022
- Investment Advisor Representative, World Trend Financial, 12/2018 - 09/2022
- Registered Representative, Cambridge Investment Research, 04/2014 - 11/2018

### **Item 3 – Disciplinary Information**

Ms. Nolte does not have any disciplinary action to report. Public information concerning Ms. Nolte's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Nolte does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Nolte is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Richard C. O'Donnell, CFP®**

*Richard C. O'Donnell (b. 1984) is a Financial Advisor in Savant's Atlanta, GA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. History with a Minor in Economics, DePauw University, Greencastle, IN 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Financial Advisor, Savant Wealth Management, 02/2023-Present
- Wealth Advisor, Capital Directions, 06/2014-02/2023
- Assistant Vice President, Lenox Wealth Management, 10/2013-06/2014
- Senior Analyst, Lenox Wealth Management 12/2006-10/2013

### **Item 3 – Disciplinary Information**

Mr. O'Donnell does not have any disciplinary action to report. Public information concerning Mr. O'Donnell's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. O'Donnell does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. O'Donnell is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jennifer A. O'Hara, CFP®**

*Jennifer A. O'Hara (b. 1982) is a Financial Advisor in Savant's Doylestown, PA office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Personal Financial Planning, Kansas State University, KS, 2021
- B.A. History With a minor in Anthropology, Pennsylvania State University, PA, 2004
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Private Client Group Advisor, Savant Wealth Management, 05/2023-Present
- Director, Wealth Management, The Mather Group, LLC, 10/2019-03/2023
- Investment Advisor Representative, RPH Financial Services, Inc., 04/2017-04/2019
- Operations Manager, RPH Financial Services, Inc., 02/2008-09/2019
- Registered Representative, Sterne Agee Financial Services, Inc., 05/2016-03/2018
- Payroll Specialist, Harper Collins Publishing, Co., 08/2007-11/2007
- Senior Payroll/ New Client Specialist, Paychex, Inc., 09/2004-08/2007

**Item 3 – Disciplinary Information**

Ms. O'Hara does not have any disciplinary action to report. Public information concerning Ms. O'Hara registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. O'Hara does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. O'Hara is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## James B. Ostrander

*James B. Ostrander (b. 1978) is a Financial Advisor in Savant's Satellite Beach, FL office.*

### Item 2 – Educational Background and Business Experience

- B.A. Communications, Taylor University, IN, 2001
- Florida Insurance License 2-15
- Financial Advisor, Savant Wealth Management, 01/2024 – Present
- Wealth Coach, FirstWave Financial, Inc., 07/2017 – 12/2023
- Registered Representative, INVEST Financial Corp., 04/2016-06/2017
- Bank Employee, Seacoast National Bank, 04/2016 – 06/2017
- Registered Investment Advisor, Investacorp Advisory Services, 01/2013-04/2016
- Registered Representative, Investacorp Inc., 01/2013 – 04/2016
- Registered Representative, Invest Financial Corp., 09/2009-01/2013

### Item 3 – Disciplinary Information

Mr. Ostrander does not have any disciplinary action to report. Public information concerning Mr. Ostrander's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Ostrander does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Ostrander is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Patrick T. O'Sullivan**

*Patrick T. O'Sullivan (b. 1973) is a Business Development Officer in Savant's Lincolnshire, IL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Loyola University, Chicago, IL, 1997
- Business Development Officer, Savant Wealth Management, 02/2024 - Present
- Investment Consultant, Calamos Advisors LLC, 04/2023 - 02/2024
- Investment Consultant, Calamos Financial Services LLC, 04/2023 - 02/2024
- Mass Transfer, Nuveen Asset Management, LLC, 09/2011 - 04/2023
- Registered Representative, Nuveen Investments, LLC, 04/2005 - 04/2023
- Account Services Officer, Cole Taylor Bank, 08/2004 – 03/2005
- Registered Representative, Scudder Distributors, Inc., 09/1999 – 07/2004

### **Item 3 – Disciplinary Information**

Mr. O'Sullivan does not have any disciplinary action to report. Public information concerning Mr. O'Sullivan's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. O'Sullivan does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. O'Sullivan is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Jonathan A. Panzica, CFP®**

*Jonathan A. Panzica (b. 1997) is a Private Client Group Planner in Savant's Peoria, IL office and a member of the Planning Team. He helps prepare client financial plans for high net worth individuals and develops tax strategies for individuals through our Private Client Group.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance & Risk Management, Illinois State University, Normal, IL, 2019
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Private Client Group Planner, Savant Wealth Management, 05/2022-Present
- Wealth Strategy Associate, Savant Wealth Management, 01/2020-05/2022
- Advisory Intern, Savant Capital Management, 05/2019–08/2019

### **Item 3 – Disciplinary Information**

Mr. Panzica does not have any disciplinary action to report. Public information concerning Mr. Panzica's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Panzica is a limited partner that assists in financial and operational decisions for Zeke's Sports LP, an online retail business for sports, trading cards, and memorabilia. Panzica devotes approximately 5 hours per month to this business activity. This is not an investment-related business.

### **Item 5 – Additional Compensation**

Mr. Panzica is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Dominick J. Parillo, JD, CFP®**

*Dominick J. Parillo (b. 1989) is the Director of Wealth Transfer in Savant's Manassas, VA office within the Planning Team and has a membership interest in Savant. He works closely with the Advisory Team to analyze client estate plans, develop wealth transfer and asset protection strategies, and assist with trust and estate administration. Dom is also knowledgeable in many other financial planning areas including retirement planning, income tax, estate and gift tax, education planning, risk management, and investment planning.*

### **Item 2 – Educational Background and Business Experience**

- JD, George Mason University – Antonin Scalia Law School, Arlington, VA, 2020, *magna cum laude*
- B.S. in Finance, Northern Illinois University, DeKalb, IL, 2011, *cum laude*
- CERTIFIED FINANCIAL PLANNER™ professional, 2014
- Director of Wealth Transfer, Savant Wealth Management, 07/2020-Present
- Director of Wealth Transfer, Savant Capital Management, 03/2020-07/2020
- Wealth Transfer Advisor, Savant Capital Management, 05/2017–03/2020
- Financial Planner, Savant Capital Management, 03/2013–05/2017
- Financial Paraplanner, Savant Capital Management, 01/2012-02/2013
- Financial Paraplanner, Savant Capital Management, Inc., 07/2011–12/2011

### **Item 3 – Disciplinary Information**

Mr. Parillo does not have any disciplinary action to report. Public information concerning Mr. Parillo's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Parillo has an ownership interest in Savant Legal LLP, an affiliated law firm providing estate and other legal services. Savant recommends the services of Savant Legal LLP to clients; however, clients have no obligation to use them.

### **Item 5 – Additional Compensation**

Mr. Parillo is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Aaron S. Pearson, CFP®**

*Aaron S. Pearson (b. 1994) is a Financial Advisor in Savant's Vienna, VA office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Personal Financial Planning, Utah Valley University, Orem, UT, 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Financial Advisor, Savant Wealth Management, 11/2022-Present
- Advisor, Brighton Jones, 01/2022-11/2022
- Student, Utah Valley University, 09/2015-12/2021
- Wealth Management Intern, Brighton Jones, 06/2021-07/2021
- Investment Services Intern, Mountain America Credit Union, 07/2020-12/2020
- Member Service Representative, Mountain America Credit Union, 01/2018-06/2020

**Item 3 – Disciplinary Information**

Mr. Pearson does not have any disciplinary action to report. Public information concerning Mr. Pearson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Pearson does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Pearson is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **J. Jay Peay, CPA/PFS, CGMA**

*J. Jay Peay (b. 1962) is a Tax and Consulting Leader in Savant's Clinton, SC office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Accounting, Wofford College, Spartanburg, SC, 1984
- Chartered Global Management Accountant, 2014
- Personal Financial Specialist (PFS), 1994
- Certified Public Accountant (CPA), 1989
- Tax and Consulting Leader, Savant Wealth Management, 12/2023 – Present
- Wealth Coach, Peay and Associates LLC, 01/2019 – 11/2023
- Managing Member, SwaimBrown Wealth Management LLC (and Predecessors), 10/1999-11/2023
- Director, SwaimBrown PA, 07/1990 – 12/2018

### **Item 3 – Disciplinary Information**

Mr. Peay does not have any disciplinary action to report. Public information concerning Mr. Peay's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Peay has ownership in Bush River Realty LLC. Mr. Peay is a Board Member for AgSouth Farm Credit.

### **Item 5 – Additional Compensation**

Mr. Peay is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Martin F. Perdoux, CFP®**

*Martin F. Perdoux (b. 1964) is a Financial Advisor in Savant's Wilmette, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. He regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Master of Arts in Art Therapy and Counseling, School of Art Institute, Chicago, IL, 1995
- Bachelor of Arts Sculpture emphasis, San Diego State University, San Diego, CA, 1990
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2019–07/2020
- Financial Advisor, Wells Fargo Clearing Services, LLC, 11/2016–02/2019
- Financial Advisor, Wells Fargo Advisors, LLC, 12/2015–11/2016
- Financial Advisor, Edward Jones, 05/2013–12/2015

### **Item 3 – Disciplinary Information**

Mr. Perdoux does not have any disciplinary action to report. Public information concerning Mr. Perdoux's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Perdoux does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Perdoux is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Lillie F. Perry, CFP®**

*Lillie F. Perry (b. 1998) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth Management.*

**Item 2 – Educational Background and Business Experience**

- B.S. Financial Counseling and Planning, Iowa State University, Ames, IA, 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2022
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 09/2022-Present
- Associate Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-09/2022
- Client Services, Filbrandt Wealth Management, LLC, 07/2020-12/2021

**Item 3 – Disciplinary Information**

Ms. Perry does not have any disciplinary action to report. Public information concerning Ms. Perry's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Perry does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Perry is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kelli M. Peterson, MPA, CPA, CFP®, ATA**

*Kelli M. Peterson (b. 1988) is a Tax & Training Manager in Savant's Rockford, IL office, is a member of the Wealth Strategy Team and has a membership interest in Savant. She works closely with the Advisory Team to prepare client financial plans, develop tax strategies for clients, and assist with the implementation of tax planning and consulting opportunities. Kelli also works closely with Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC, during tax season, preparing and reviewing personal and business income tax returns.*

### **Item 2 – Educational Background and Business Experience**

- M.P.A., U.W. Whitewater, Whitewater, WI, 2011
- B.S. in Business Administration, U.W. Whitewater, Whitewater, WI, 2010
- Accredited Tax Advisor (ATA), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2018
- Certified Public Accountant (CPA), 2013
- Tax & Training Manager, Savant Wealth Management, 08/2022-Present
- Tax Director, Savant Wealth Management, 12/2021-08/2022
- Tax and Business Services Supervisor, Savant Wealth Management, 07/2020-12/2021
- Tax and Business Services Supervisor, Savant Capital Management, 03/2020-07/2020
- Financial Planning & Tax Specialist, Savant Capital Management, 11/2017–03/2020
- Financial Planner, Savant Capital Management, 09/2015–11/2017
- Tax Accountant, Kerry Group, 01/2011–09/2015

### **Item 3 – Disciplinary Information**

Mrs. Peterson does not have any disciplinary action to report. Public information concerning Mrs. Peterson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mrs. Peterson does not have any other business activities.

### **Item 5 – Additional Compensation**

Mrs. Peterson is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Judith A. Pflaumer, CPFA**

*Judith A. Pflaumer (b. 1962) is a Financial Advisor in Savant's Lewes, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S., with Honors, Organization Management, Wilmington University, Wilmington, DE 2012
- M.S., with Honors, Management, Wilmington University, Wilmington, DE 2012
- Certified Plan Fiduciary Advisor (CPFA), 2016
- Financial Advisor, Savant Wealth Management, 07/2023-Present
- Member, Chief Compliance Officer and Investment Advisor Representative, Wealth Management Group, LLC, 01/2015–07/2023
- Chief Compliance Officer, Wealth Management Group, LLC, 10/2011–01/2015
- Client Services Manager and Investment Advisor Representative, Wealth Management Group, LLC, 06/2001–10/2011
- Office Manager, Raymond F. Book & Associates, CPAs, P.A., 06/2001–07/2023

### **Item 3 – Disciplinary Information**

Ms. Pflaumer does not have any disciplinary action to report. Public information concerning Ms. Pflaumer's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Pflaumer does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Pflaumer is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## John Phelps

*John ("Jack") Phelps (b. 1967) is a Managing Director in Savant's Wellesley, MA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Economics, Holy Cross College, Worcester, MA, 1989
- Managing Director, Savant Wealth Management, 07/2023-Present
- Owner & Financial Advisor, The Relaxing Retirement Coach, Inc., 12/2003-07/2023
- Registered Representative, Purshe Kaplan Sterling, 05/2008-12/2010
- Registered Representative, QA3 Financial Corp, 05/2002-05/2008
- Owner & Financial Advisor, Strategic Planning Group Inc, 03/1995-12/2003

### **Item 3 – Disciplinary Information**

Mr. Phelps does not have any disciplinary action to report. Public information concerning Mr. Phelps' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Phelps does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Phelps is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Kevin J. Phillips, CFP®**

*Kevin J. Phillips (b. 1982) is a Financial Advisor in Savant's Naperville, IL, office, is a member of the Advisory Team and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Kevin regularly meets with clients, other advisors, portfolio managers, accountants, attorneys, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S. in Public Affairs, emphasis Public Financial Management, minor
- Public Management, Business, Indiana University, Bloomington, IN, 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 12/2014–07/2020
- Registered Representative and Investment Advisor Representative, Securian Financial Services, Inc., 08/2011–12/2014
- Agent, Minnesota Life 08/2011–12/2014
- Agent, GCG Financial, 08/2011–12/2014
- Proprietary Equity Trader, Great Point Capital, LLC, 06/2005–08/2011
- Sales, Christiana Capital, 10/2010–03/2011
- Clerk, International Futures & Options Independent Traders, 06/1999–01/2005
- Analyst, CME Group/Kevin K. Phillips, 12/2004–01/2005

### **Item 3 – Disciplinary Information**

Mr. Phillips does not have any disciplinary action to report. Public information concerning Mr. Phillip's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Phillips does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Phillips is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Christopher R. Plagge, CPA, EA**

*Christopher R. Plagge (b. 1961) is the Tax Growth Leader in Savant's St. Charles, IL office, and a Principal of -and is the Managing Director of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC. Chris has a membership interest in Savant. He has been involved in the tax and financial services industry since 1983. Prior to joining Savant, Chris was the managing partner of Green, Plagge & Shaw, where he specialized in income tax planning and preparation, financial reporting and analysis, financial modeling, and general business management consulting. Chris is a Certified Public Accountant and an Enrolled Agent, licensed by the Department of Treasury to practice before the Internal Revenue Service.*

### **Item 2 – Educational Background and Business Experience**

- B.S. in accounting, Elmhurst College, Elmhurst, IL, 1983
- Certified Public Accountant (CPA), 1997
- Enrolled Agent (EA), 1989
- Principal, Managing Director, Savant Tax & Consulting, 01/2015–Present
- Tax Growth Leader, Savant Wealth Management-Savant Tax & Consulting Division, 12/2021-Present
- Principal, Green, Plagge & Shaw, 05/1983–12/2014

### **Item 3 – Disciplinary Information**

Mr. Plagge does not have any disciplinary action to report. Public information concerning Mr. Plagge's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Plagge does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Plagge is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Joshua J. Podczervinski CPA, CFP®, RICP®**

*Joshua J. Podczervinski (b. 1972) is an Investment Advisor Representative in Savant's Atlanta, GA, office.*

**Item 2 – Educational Background and Business Experience**

- B.S., Accounting, North Carolina State University, Raleigh, NC, 1998
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Retirement Income Certified Professional, 2022
- Investment Advisor Representative, Savant Wealth Management, 02/2023-Present
- CPA, Joshua J. Podczervinski, CPA, PC, 01/2021-Present
- Investment Advisor Representative, Capital Directions, LLC, 01/2021–02/2023
- Wealth Manager, Capital Directions, LLC, 10/2018-12/2020
- Investment Advisor Representative, Wealthguard Advisory Group, LLC, 11/2010-10/2018

**Item 3 – Disciplinary Information**

Mr. Podczervinski does not have any disciplinary action to report. Public information concerning Mr. Podczervinski's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Podczervinski is the sole shareholder of Joshua J. Podczervinski, CPA, PC an accounting and bookkeeping firm. Clients have the option of obtaining accountant and bookkeeping services through any accountant of their choosing.

**Item 5 – Additional Compensation**

Mr. Podczervinski is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Ambari Prakash Pinto, JD, CFP®**

*Ambari Prakash Pinto (b. 1970) is a Financial Advisor in Savant's Vienna, VA, office and a member of the Advisory Team. She is a member of Cents of Self, an initiative that inspires, informs, and empowers women to pursue their best financial futures. Ambari is responsible for managing all aspects of the financial planning and investment process for Savant's clients. She regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Certificate of Financial Planning, Georgetown School of Professional and Continuing Studies, Washington, D.C., 2011
- J.D., Georgetown University Law Center, Washington D.C., 1997
- B.A., Political Science/Spanish, University of Vermont, Burlington, VT., 1992
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2017–07/2020
- Financial Planner, Growing Tree Financial Consultants, LLC, 08/2011–12/2016
- Investment Adviser Representative, MetLife Premier Client Group, 08/2014–05/2015
- Financial Advisor, Focus Wealth Management, 04/2015–07/2015
- Legal Counsel, Real Networks, 04/2004–12/2010
- Senior Associate, Thelen Reid & Priest, LLP, 04/1998–03/2004

### **Item 3 – Disciplinary Information**

Ms. Prakash Pinto does not have any disciplinary action to report. Public information concerning Ms. Prakash Pinto's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Prakash Pinto does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Prakash Pinto is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Thomas J. Ptacin, MBA, CFP®, AIF®, CRPS®**

*Thomas J. Ptacin (b. 1975) is a Financial Advisor in Savant's Rockford, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Tom routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., University of Montana, Missoula, MT, 2003
- B.S., Business, Finance and Economics, University of Wisconsin, Eau Claire, WI, 1998
- Chartered Retirement Plan Specialist<sup>SM</sup> (CRPS®), 2014
- Accredited Investment Fiduciary (AIF®), 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2006
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 01/2012–07/2020
- Financial Advisor, Savant Capital Management, Inc., 08/2006–01/2012
- Trader, Savant Capital Management, Inc., 05/2004–07/2006
- Director of Supervision – Investment Operations, Northwestern Mutual, 09/1998–12/2003

### **Item 3 – Disciplinary Information**

Mr. Ptacin does not have any disciplinary action to report. Public information concerning Mr. Ptacin's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Ptacin does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Ptacin is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## David F. Ramage

*David F. Ramage (b. 1950) is a Financial Advisor in Savant's Clinton, SC office.*

### Item 2 – Educational Background and Business Experience

- B.S. Business Administration, Presbyterian College, SC, 1985
- Financial Advisor, Savant Wealth Management, 12/2023 – Present
- CCO & Investment Advisor Representative, SwaimBrown Wealth Management, LLC, 02/2013 – 11/2023
- Agent, Upstate Insurance Consultants, LLC, 10/2012 – 11/2023
- Agent, Allstate Insurance Company, 09/1985 – 09/2009

### Item 3 – Disciplinary Information

Mr. Ramage does not have any disciplinary action to report. Public information concerning Mr. Ramage's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Ramage has ownership in Bush River Realty LLC.

### Item 5 – Additional Compensation

Mr. Ramage is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



**Laura K. Rhoades, CFP®**

*Laura K. Rhoades (b. 1983) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Consumer Sciences, The University of Alabama, Tuscaloosa, AL, 2006
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Financial Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 01/2019-11/2023
- Associate Advisor, Bridgeworth, LLC, 11/2015-12/2018
- Registered Representative, LPL, 08/2012-09/2018
- Paraplanner, Bridgeworth, LLC, 10/2008-11/2015

**Item 3 – Disciplinary Information**

Ms. Rhoades does not have any disciplinary action to report. Public information concerning Ms. Rhoades registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Rhoades does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Rhoades is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Daniel A. Rojas, CFP®**

*Daniel A. Rojas (b. 1993) is a Financial Advisor in Savant's Indianapolis, IN office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Finance, Marian University, Indianapolis, IN, 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 02/2024 – Present
- Financial Advisor, Valeo Financial Advisors, LLC, 06/2022 – 01/2024
- Shared Employee, Charles Schwab Bank, SSB, 10/2018 – 05/2022
- Investment Advisor Representative / Registered Representative, Charles Schwab & Co., Inc., 07/2017 – 05/2022
- Finance Intern, Morales Group, 06/2016 – 08/2016
- Finance Intern, City of Lawrence, 06/2014 – 07/2014

**Item 3 – Disciplinary Information**

Mr. Rojas does not have any disciplinary action to report. Public information concerning Mr. Rojas' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Rojas does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Rojas is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Christopher N. Ruedi, MBA, RICP®, CFP®, CFA®**

*Christopher N. Ruedi (b. 1986) is a Financial Advisor in Savant's Bloomington, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Chris routinely meets with clients, other advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- M.B.A. Economics, St. Louis University, John Cook School of Business, St. Louis, MO, 2011
- B.S. Finance, University of Illinois, Urbana-Champaign, IL, 2008
- Chartered Financial Analyst® (CFA®), 2023
- Retirement Income Certified Professional, 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 10/2019–07/2020
- Investment Advisor, Valmark Advisers, Inc., 03/2014–12/2019
- Registered Representative, Valmark Securities, Inc., 10/2012–12/2019
- Financial Advisor, Kingston Wealth Management, 07/2012-12/2019

**Item 3 – Disciplinary Information**

Mr. Ruedi does not have any disciplinary action to report. Public information concerning Mr. Ruedi's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Ruedi does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Ruedi is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Michael W. Russ**

*Michael W. Russ (b. 1980) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Accounting and Business Management, Mount Mercy University – Cedar Rapids, IA, 2004
- Financial Advisor, Savant Wealth Management, 10/2022-Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Investment Advisor Representative, World Trend Financial, 03/2013 – 09/2022

### **Item 3 – Disciplinary Information**

Mr. Russ does not have any disciplinary action to report. Public information concerning Mr. Russ' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Russ does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Russ is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Donna S. Sanders**

*Donna S. Sanders (b. 1961) is a Financial Advisor in Savant's Cedar Rapids, IA office.*

### **Item 2 – Educational Background and Business Experience**

- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Vice President, World Trend Financial, 04/2008 – 09/2022

### **Item 3 – Disciplinary Information**

Ms. Sanders does not have any disciplinary action to report. Public information concerning Ms. Sanders' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Sanders does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Sanders is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Jeffrey L. Schatz CFP®**

*Jeffrey L. Schatz (b. 1962) is a Financial Advisor in our Manassas, VA office and has a membership interest in Savant.*

**Item 2 – Educational Background and Business Experience**

- Mary Washington College, BS Business Administration, 1984
- George Mason University, MBA, 1994
- Financial Advisor, Savant Wealth Management, 07/2022-Present
- Senior Wealth Advisor, Alliant Wealth Advisors, 01/2017-06/2022
- Investment Advisor Representative, West Financial Services, Inc., 11/2013-10/2016
- Financial Planning Assistant, English and Associates, 06/2012-10/2013
- Registered Representative, Financial Network, 01/2011-06/2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2013

**Item 3 – Disciplinary Information**

Mr. Schatz does not have any disciplinary action to report. Public information concerning Mr. Schatz's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Schatz has no other business activities.

**Item 5 – Additional Compensation**

Mr. Schatz is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Lorene K. Shaw, CPA, CFP®, CDFA®**

*Lorene (“Rene”) K. Shaw (b. 1960) is the Tax and Consulting Leader in Savant’s St. Charles, IL office, and is a Principal of Savant Tax & Consulting, a wholly owned subsidiary of Savant Capital, LLC. Rene also has a membership interest in Savant. She is responsible for overseeing Tax & Accounting Services at our St. Charles, IL location. Rene graduated magna cum laude with a bachelor of science degree in accounting from Northern Illinois University. She is involved with the Illinois CPA Society, the American Institute of Certified Public Accountants, and the Financial Planning Society.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Accounting, Northern Illinois University, DeKalb, IL, 1981
- Certified Divorce Financial Analyst® (CDFA®), 2010
- CERTIFIED FINANCIAL PLANNER™ professional, 2002
- Certified Public Accountant (CPA), 1982
- Principal, Savant Tax & Consulting, 01/2015–Present
- Tax and Consulting Leader, Savant Wealth Management-Savant Tax & Consulting Division, 12/2021–Present
- Tax & Business Services Senior Manager, Savant Wealth Management-Savant Tax & Consulting Division, 07/2020–12/2021
- Tax & Business Services Senior Manager, Savant Wealth Management-Savant Tax & Consulting Division, 03/2020–07/2020
- Partner, Green, Plagge & Shaw, Ltd., 05/2008–12/2014
- Accountant, Green, Plagge & Shaw, Ltd., 09/1999–04/2008

### **Item 3 – Disciplinary Information**

Ms. Shaw does not have any disciplinary action to report. Public information concerning Ms. Shaw's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Shaw does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Shaw is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Justin D. Smith, CFP®, CFA®, CAP®**

*Justin D. Smith (b. 1982) is a Financial Advisor in Savant's Phoenix, AZ office and a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Justin routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.A., Finance, University of Michigan, Ann Arbor, MI, 2005
- Chartered Advisor in Philanthropy® (CAP®), 2020
- CERTIFIED FINANCIAL PLANNER™ professional, 2015
- Chartered Financial Analyst® (CFA®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 05/2019–07/2020
- Portfolio Manager/Partner, Slayton Lewis, 04/2010–05/2019
- Business Development, Logan Stone Capital, 06/2009–03/2010
- Financial Advisor Associate, JP Morgan Chase, 04/2009–06/2009

**Item 3 – Disciplinary Information**

Mr. Smith does not have any disciplinary action to report. Public information concerning Mr. Smith's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Smith does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Smith is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Jennifer W. Sneed, CFP®, ChFC**

*Jennifer W. Sneed (b. 1978) is a Financial Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Business Administration and Accounting, Samford University, Homewood, AL, 2000
- CERTIFIED FINANCIAL PLANNER™ professional, 2005
- Chartered Financial Consultant, 2008
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-09/2018
- Investment Adviser Representative, LPL Financial, 08/2013-01/2016

### **Item 3 – Disciplinary Information**

Ms. Sneed does not have any disciplinary action to report. Public information concerning Ms. Sneed's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Sneed does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Sneed is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Anthony R. Spangenberg, AWMA®, CFP®, CFA®**

*Anthony (Tony) R. Spangenberg (b. 1982) is a Financial Advisor in Savant's Wilmette, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is a member of the Investment Committee and is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Tony regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

**Item 2 – Educational Background and Business Experience**

- B.A., Philosophy, Northern Illinois University, DeKalb, IL, 2005
- Chartered Financial Analyst® (CFA®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Accredited Wealth Management Advisor<sup>SM</sup> (AWMA®), 2010
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 09/2016–07/2020
- VP-Financial Consultant, Charles Schwab & Co., Inc., 3/2012–09/2016
- Investment Consultant, TD Ameritrade, Inc., 12/2008–03/2012
- Financial Advisor, Edward Jones, 11/2007–11/2008

**Item 3 – Disciplinary Information**

Mr. Spangenberg does not have any disciplinary action to report. Public information concerning Mr. Spangenberg's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Spangenberg has no other business activities.

**Item 5 – Additional Compensation**

Mr. Spangenberg is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Sarah B. Specht, CFP®**

*Sarah B. Specht (b. 1982) is a Financial Advisor in Savant's Cedar Rapids, IA location.*

**Item 2 – Educational Background and Business Experience**

- B.A. Business Administration, Wartburg College – Waverly, IA, 2005
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Savant Wealth Management, 10/2022 - Present
- Registered Representative, Ausdal Financial Partners, Inc., 09/2018 – 09/2022
- Investment Advisor Representative, World Trend Financial, 11/2016 – 09/2022

**Item 3 – Disciplinary Information**

Ms. Specht does not have any disciplinary action to report. Public information concerning Ms. Specht's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Specht does not have any other business activities.

**Item 5 – Additional Compensation**

Ms. Specht is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Paul E. Staniszewski, CFP®**

*Paul E. Staniszewski (b. 1965) is a Financial Advisor in Savant's Lincolnshire, IL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Accounting, University of Dayton, Dayton, OH 1988
- CERTIFIED FINANCIAL PLANNER™ professional, 1996
- Financial Advisor, Savant Wealth Management, 01/2023-Present
- IAR, ONE Advisory Partners LLC., 09/2022–01/2023
- Financial Advisor, Carlson Financial, 03/2022-06/2022
- Director/Financial Planner, Edelman Financial Engines Advisors LLC., 09/2018-12/2021
- AVP Advanced Planning, Waddell & Reed, 07/2016-09/2018

**Item 3 – Disciplinary Information**

Mr. Staniszewski does not have any disciplinary action to report. Public information concerning Mr. Staniszewski's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Staniszewski does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Staniszewski is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Charles F. Steege, CFP®, CEP**

*Charles F. Steege (b. 1961) is a Financial Advisor in Savant's Doylestown, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Psychology, Gordon College – Wenham, MA, 1984
- CERTIFIED FINANCIAL PLANNER™ professional, 1990
- Certified Equity Professional, 2013
- Managing Director/Financial Advisor, Savant Wealth Management, 11/2022 – Present
- President, SFG Investment Advisors, Inc., 12/1996 – 10/2022
- Agent, Brokerage Unlimited, 07/1992 – 10/2022
- Registered Representative, Triad Advisors, Inc., 04/2001 – 12/2005

**Item 3 – Disciplinary Information**

Mr. Steege does not have any disciplinary action to report. Public information concerning Mr. Steege's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Steege does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Steege is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Angela M. Stephenson, CFP®, CPA/PFS**

*Angela M. Stephenson (b. 1959) is a Managing Director and Financial Advisor in Savant's Lancaster, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Concord College, Athens, WV 1981
- CERTIFIED FINANCIAL PLANNER™ professional, 1998
- Personal Financial Specialist (PFS), 2004
- Certified Public Accountant (CPA), 1985
- Managing Director/Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner, COO and Senior Wealth Advisor, Domani Wealth, LLC, 10/2017–05/2023
- Partner, COO and Senior Family Wealth Guardian, ParenteBeard Wealth Management, 01/2015-09/2017

### **Item 3 – Disciplinary Information**

Ms. Stephenson does not have any disciplinary action to report. Public information concerning Ms. Stephenson's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Stephenson does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Stephenson is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Bradley R. Stewart, CFP®**

*Bradley R. Stewart (b. 1991) is a Financial Advisor in Savant's Freeport, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Brad routinely meets with clients, advisors, portfolio managers, and planners to determine and coordinate effective planning, investment, and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Northern Illinois University, DeKalb, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 07/2017–07/2020
- Investment Research Analyst, Savant Capital Management, 05/2015–06/2017
- Portfolio Accountant, Savant Capital Management, 05/2013–12/2013
- Intern, Savant Capital Management, 05/2013–12/2013

### **Item 3 – Disciplinary Information**

Mr. Stewart does not have any disciplinary action to report. Public information concerning Mr. Stewart's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Stewart does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Stewart is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Christopher M. Stock, MBA, AIF®**

*Christopher M. Stock (b. 1974) is a Financial Advisor in Savant's Hanover, PA office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, King's College, Wilkes-Barre, PA 1996
- M.B.A. Finance, Loyola University Maryland, Baltimore, MD 2009
- Accredited Investment Fiduciary® (AIF®), 2012
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner and Senior Wealth Advisor, Domani Wealth, LLC, 01/2015–05/2023
- Senior Wealth Advisor, ParenteBeard Wealth Management, 10/2010-01/2015
- Private Wealth Management Associate, Deutsche Bank Alex. Brown, 03/2003-10/2010
- Financial Advisor/Financial Planning Analyst, American Express Financial Advisors, 05/1996-03/2003

### **Item 3 – Disciplinary Information**

Mr. Stock does not have any disciplinary action to report. Public information concerning Mr. Stock's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Stock does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Stock is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Rebecca J. Stroud, MBA, CFA®, AIF®**

*Rebecca (“Becky”) J. Stroud (b. 1977) is the Director of Client Experience in Savant's Plymouth, MI office, and has a membership interest in Savant. Becky has been involved in the financial services industry since 2002. She worked for AMDG Financial as a partner and director of operations and client service from 2003 until it joined with Savant in 2021. Prior to joining AMDG Financial, Becky worked in a mid-sized tax and accounting firm as a staff accountant and later joined their newly formed investment consulting group as a consulting associate.*

### **Item 2 – Educational Background and Business Experience**

- M.B.A., University of Missouri, Columbia, MO, 2000
- B.S., Business Administration, University of Tennessee at Martin, Martin, TN, 1999
- Accredited Investment Fiduciary (AIF®), 2017
- Certified Financial Analyst®(CFA®), 2015
- Director of Client Experience, Savant Wealth Management, 07/2021-Present
- Partner/Director of Operations and Client Service, AMDG Financial, 09/2015–10/2021
- Investment Advisor Associate, AMDG Financial, 12/2003–12/2012
- Investment Management Consultant, Rubin Brown Advisors, 07/2002–10/2003

### **Item 3 – Disciplinary Information**

Mrs. Stroud does not have any disciplinary action to report. Public information concerning Mrs. Stroud’s registration as an investment adviser representative may be found by accessing the SEC’s public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mrs. Stroud does not have any other business activities.

### **Item 5 – Additional Compensation**

Mrs. Stroud is an equity owner of the firm and receives a share of the firm’s profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person’s advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Edward F. Thomas, III, CFP®, ChFC, ChSNC**

*Edward F. Thomas III (b. 1989) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Religious Studies, Hampden-Sydney College, VA, 2012
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Chartered Special Needs Consultant, 2020
- Chartered Financial Consultant, 2023
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Associate Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2021-11/2023
- Investment Advisor Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2021-11/2023
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2020-05/2023
- Commissioned Marine Officer, United States Marine Corps, 04/2017-Present
- Registered Representative/ Investment Advisor Representative, MML Investor Services, LLC, 09/2017-09/2020
- Agent, MassMutual Life Insurance Co., 09/2017-09/2020
- Intern, Cahaba Wealth Management, 05/2017-09/2017
- Marine Officer, United States Marine Corps, 04/2013-05/2017

**Item 3 – Disciplinary Information**

Mr. Thomas does not have any disciplinary action to report. Public information concerning Mr. Thomas registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Thomas is a Commissioned Marine Officer of the United States Marine Corps, U.S. Military. Mr. Thomas' duties as Commissioned Marine Officer of United States Marine Corps do not create a conflict of interest to his provision of advisory services through Savant Wealth Management.

**Item 5 – Additional Compensation**

Mr. Thomas is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Wayne B. Titus III, CPA/PFS, AIFA®**

*Wayne B. Titus III (b. 1965) is a Managing Director - Detroit region and Financial Advisor in Savant's Plymouth, MI office, serves as managing director for the Detroit metro market, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Wayne regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- M.S. Employee Benefits, John Marshall Law School, Chicago, IL, 2015 with honors
- B.S. Accounting, University of South Florida, Tampa, FL 1991
- B.A. Business Administration, Grove City College, Grove City, PA, 1987
- Accredited Investment Fiduciary Analyst® (AIFA®), 2006
- Personal Financial Specialist (PFS), 2002
- Certified Public Accountant (CPA), 1995
- Managing Director and Financial Advisor, Savant Wealth Management, 07/2021-Present
- Chief Compliance Officer/Advisor Representative, AMDG Financial, 05/2002-10/2021
- Member, AMDG Business Advisory Service PLC, 01/2002-Present
- Senior Manager, PricewaterhouseCoopers, 01/1996-04/2002
- Manager, Ernst & Young, 1991-06/1996

### **Item 3 – Disciplinary Information**

Mr. Titus does not have any disciplinary action to report. Public information concerning Mr. Titus's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Titus is the owner of AMDG Financial and AMDG Business Advisory Services. These entities are no longer registered or licensed to provide financial services, and neither continues to do further client business, but remain open only to finalize and close down business operations. Mr. Titus also owns real estate investments through Titus Holdings.

### **Item 5 – Additional Compensation**

Mr. Titus is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients. Mr. Titus does not receive any economic benefit from non-advisory clients for the provision of advisory services.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Noah J. Tostrud, CFP®**

*Noah J. Tostrud (b. 1996) is a Financial Advisor for Filbrandt, the University Division of Savant Wealth.*

**Item 2 – Educational Background and Business Experience**

- B.A. Economics, University of Wisconsin – Madison, WI, 2018
- CERTIFIED FINANCIAL PLANNER™ professional, 2020
- Financial Advisor, Filbrandt, The University Division of Savant Wealth Management, 12/2021-Present
- Associate Advisor, Filbrandt Wealth Management, LLC, 04/2018-12/2021

**Item 3 – Disciplinary Information**

Mr. Tostrud does not have any disciplinary action to report. Public information concerning Mr. Tostrud's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Tostrud does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Tostrud is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Eamon M. Verdone, CFP®, CFA®, CAIA®**

*Eamon M. Verdone (b. 1991) is the Corporate Development and Integration Associate in Savant's Rockford, IL office, is a member of the Mergers & Acquisition Team and has a membership interest in Savant. He is responsible for supporting corporate development by creating financial models, building relationships with the selling firm, and supporting the CEO and Director of Corporate Development in the sales process. The Corporate Development and Integration Associate also supports the Due Diligence, Onboarding, and Integration Manager for all aspects of the deal, up to and including optimization of the selling firm. Eamon works closely with the head of corporate development, CEO, COO, and the Due Diligence, Onboarding, and Integration Manager.*

**Item 2 – Educational Background and Business Experience**

- B.S. in Finance, Northern Illinois University, Dekalb, IL, 2013
- CERTIFIED FINANCIAL PLANNER™ professional, 2019
- Chartered Alternative Investment Analyst®(CAIA®), 2018
- Chartered Financial Analyst® (CFA®), 2017
- Corporate Development & Integration Associate, Savant Wealth Management, 10/2021-Present
- Senior Investment Research Analyst, Savant Wealth Management, 07/2020-10/2021
- Senior Investment Research Analyst, Savant Capital Management, 11/2018–07/2020
- Investment Research Analyst, Savant Capital Management, 01/2014–11/2018

**Item 3 – Disciplinary Information**

Mr. Verdone does not have any disciplinary action to report. Public information concerning Mr. Verdone's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Verdone does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Verdone is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Ronald P. Vascik, Jr., CPA**

*Ronald P. Vascik, Jr. (b. 1968) is a Tax & Consulting Leader in Savant's Dover, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, Delaware State College, Dover, DE 1990
- Certified Public Accountant (CPA), 1995
- Tax & Consulting Leader, Savant Wealth Management, 07/2023-Present
- Member and Investment Advisor Representative, Wealth Management Group, LLC, 09/2001–07/2023
- Principal and CPA, Raymond F. Book & Associates, CPAs, P.A., 11/1989-07/2023

### **Item 3 – Disciplinary Information**

Mr. Vascik does not have any disciplinary action to report. Public information concerning Mr. Vascik's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Vascik does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Vascik is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Chris A. Walters

*Chris A. Walters (b. 1963) is the Chief Advisory Officer in Savant's Rockford, IL office, is a member of the Executive Team, and has a membership interest in Savant. He is a member of the Investment Committee and has oversight responsibility for Savant's advisory business firm-wide. Chris is a 30-year veteran of the wealth management industry and has served as an advisor, portfolio manager, trust officer, and in many leadership roles. Prior to joining Savant, he held executive leadership positions for several large national and international wealth management and family office firms.*

### Item 2 – Educational Background and Business Experience

- B.A., Economics, California State University, Long Beach, CA
- Chief Growth & Practice Officer, Savant Wealth Management, 01/2021-Present
- Midwest Advisory & Development Manager, Savant Wealth Management, 07/2020-01/2021
- Midwest Advisory & Development Manager, Savant Capital Management, 09/2019-07/2020
- Principal, Lighthouse Advisory, 08/2017-Present
- IAR, Clarity Capital Partners, 07/2019-09/2019
- Managing Director, Genspring Family Offices, 06/2015-08/2017
- Executive Vice President, Rabobank, N.A., 11/2013-05/2015
- Registered Rep, LPL Financial, 07/2011-07/2012
- UVEST Financial Services Group, Inc, 10/2008-07/2012
- Executive Vice President, Citizens Business Bank, 06/2007-07/2012

### Item 3 – Disciplinary Information

Mr. Walters does not have any disciplinary action to report. Public information concerning Mr. Walter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Walters is currently engaged in an outside business activity with Lighthouse Advisory where he provides non-investment related consulting to ultra-high net worth families. No time is dedicated to this business during normal business hours.

### Item 5 – Additional Compensation

Mr. Walters is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**David K. Ward, MS, CFP®**

*David K. Ward (b. 1986) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Concentration in Family Financial Planning, University of Georgia, Athens, GA, 2010
- Bachelor of Business Administration, University of Georgia, Athens, GA, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2013
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 05/2014-11/2023
- Registered Representative, LPL Financial, 05/2014-09/2018

**Item 3 – Disciplinary Information**

Mr. Ward does not have any disciplinary action to report. Public information concerning Mr. Ward's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Ward does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Ward is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Wade A. Warren, CFP®**

*Wade A. Warren (b. 1978) is a Private Client Group Planner in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, Auburn University, Auburn, AL, 2002
- CERTIFIED FINANCIAL PLANNER™ professional, 2009
- Private Client Group Planner, Savant Wealth Management, 12/2023 - Present
- Senior Planner, Bridgeworth, LLC dba Bridgeworth Wealth Management, 12/2015 - 11/2023
- Registered Representative, LPL Financial, 05/2015 - 11/2023
- Associate Planner, Bridgeworth, LLC, 05/2015 - 12/2015
- Designated Principal, First Protective, 11/2013 - 05/2015
- Supervisor, ProEquities, Inc, 03/2008 - 11/2013

### **Item 3 – Disciplinary Information**

Mr. Warren does not have any disciplinary action to report. Public information concerning Mr. Warren's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Warren does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Warren is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **William J. Wetzler, CFP®**

*William J. Wetzler (b. 1998) is an Associate Advisor in Savant's Birmingham, AL office.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Finance, University of Alabama, Tuscaloosa, AL, 2021
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Planning Coordinator, Bridgeworth, LLC dba Bridgeworth Wealth Management, 09/2021-11/2023
- Intern, Bridgeworth Wealth Management, 05/2021-07/2021
- General Manager, Downtown Entertainment, 07/2019-04/2021

### **Item 3 – Disciplinary Information**

Mr. Wetzler does not have any disciplinary action to report. Public information concerning Mr. Wetzler's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Wetzler does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Wetzler is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Stewart D. Whitt, MS, CFP®, CRPC**

*Stewart D. Whitt (b. 1984) is a Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- M.S. Financial Planning, University of Alabama, Tuscaloosa, AL, 2008
- B.S. Finance, University of Alabama, Tuscaloosa, AL, 2007
- CERTIFIED FINANCIAL PLANNER™ professional, 2017
- AL Insurance Producer License, 2010
- Chartered Retirement Planning Counselor, 2009
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Financial Advisor, Bridgeworth, LLC dba Bridgeworth Wealth Management, 04/2015-11/2023
- Administrative Associate, LPL Financial LLC, 04/2015-09/2018

**Item 3 – Disciplinary Information**

Mr. Whitt does not have any disciplinary action to report. Public information concerning Mr. Whitt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Whitt does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Whitt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Laurie C. Wieder, PPC®**

*Laurie C. Wieder (b. 1954) is a Retirement Plan Consultant in our Manassas, VA office. Laurie has been involved in the retirement plan services industry since 2011. She helps plan sponsors clients to design and manage 401(k) plans that help meet organization goals and employee needs.*

**Item 2 – Educational Background and Business Experience**

- The College of William and Mary, AB American Studies, 1976
- Retirement Plan Consultant, Savant Wealth Management, 07/2022-Present
- Vice President of Qualified Plans, Alliant Wealth Advisors, 07/2011-06/2022
- Executive Vice President, Prince William Chamber of Commerce, 07/2010-06/2011
- President, Prince William Regional Chamber of Commerce, 11/2000-06/2010
- Professional Plan Consultant (PPC®), 2012

**Item 3 – Disciplinary Information**

Ms. Wieder does not have any disciplinary action to report. Public information concerning Ms. Wieder's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Wieder is Board member with the Prince William County Industrial Development Authority

**Item 5 – Additional Compensation**

Ms. Wieder is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## Scott V. Williams

*Scott V. Williams (b. 1990) is a Financial Advisor in Savant's Rockford, IL office and a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Scott regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment, and tax strategies.*

### Item 2 – Educational Background and Business Experience

- B.A., Business Administration/Political Science, magna cum laude, Beloit College, Beloit, WI, 2012
- Portfolio Advisor, Savant Wealth Management, 10/2021-Present
- Mass Transfer, LPL Financial LLC, 08/2018-10/2021
- Premier Banker, BMO Harris Bank, 08/2018-10/2021
- Bank Manager, BMO Harris Bank, 08/2016-08/2018
- Asst. Bank Manager, BMO Harris Bank, 10/2015-08/2016
- Personal Banker, BMO Harris Bank, 05/2012-10/2015

### Item 3 – Disciplinary Information

Mr. Williams does not have any disciplinary action to report. Public information concerning Mr. Williams' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Item 4 – Other Business Activities

Mr. Williams does not have any other business activities.

### Item 5 – Additional Compensation

Mr. Williams is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### Item 6 – Supervision

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Thomas K. Williams, CFP®, CPA**

*Thomas K. Williams (b. 1952) is a Financial Advisor in Savant's Wyomissing, PA office.*

**Item 2 – Educational Background and Business Experience**

- A.A. Accounting, Williamsport Area Community College, Williamsport, PA 1972
- B.S. Accounting, Bloomsburg University, Bloomsburg, PA 1974
- CERTIFIED FINANCIAL PLANNER™ professional, 1998
- Certified Public Accountant (CPA), 1976
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Partner and Senior Wealth Advisor, Domani Wealth, LLC, 01/2015–05/2023

**Item 3 – Disciplinary Information**

Mr. Williams does not have any disciplinary action to report. Public information concerning Mr. Williams' registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Williams does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Williams is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Stephanie L. Willison, CFP®, AIF®, CPA/PFS**

*Stephanie L. Willison (b. 1978) is a Financial Advisor in Savant's Greenwood, IN office, is a member of the Advisory Team, and has a membership interest in Savant. She is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Stephanie regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S. Accounting, University of Indianapolis, IN, 1999
- Accredited Investment Fiduciary (AIF®), 2017
- CERTIFIED FINANCIAL PLANNER™ professional, 2005
- Personal Financial Specialist (PFS), 2004
- Certified Public Accountant (CPA), 2004
- Financial Advisor, Savant Wealth Management, 09/2021–Present
- Owner-Financial Advisor, Treybourne Wealth Planners, 12/2010-12/2021
- Owner-Vice President, WealthPoint Advisors, LLC, 10/2009-11/2010
- Associate Vice President, RJP Investment Advisors, LLP, 10/1999-10/2009

### **Item 3 – Disciplinary Information**

Ms. Willison does not have any disciplinary action to report. Public information concerning Ms. Willison's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Willison does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Willison is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Scott M. Wintersteen, CPA, CFA®**

*Scott M. Wintersteen (b. 1983) is a Financial Advisor in Savant's Lincolnshire, IL office, and is a member of the Advisory Team. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Scott regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Accounting, Purdue University, Krannert School of Management, West Lafayette, IN, 2006
- Chartered Financial Analyst® (CFA®), 2014
- Certified Public Accountant, 2008
- Financial Advisor, Savant Wealth Management, 10/2020–Present
- Treasurer, Barry Callebaut USA LLC, 04/2014-10/2020
- Treasury Analyst, Ingredion Inc, 01/2012-04/2014
- Financial Analyst, The Heritage Group Companies, 08/2006-12/2011

### **Item 3 – Disciplinary Information**

Mr. Wintersteen does not have any disciplinary action to report. Public information concerning Mr. Wintersteen's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Wintersteen has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Wintersteen is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.



## **Robert J. Witt, CFP®, RICP®**

*Robert J. Witt (b. 1971) is a Financial Advisor in Savant's Lincolnshire, IL office, is a member of the Advisory Team, and has a membership interest in Savant. He is responsible for managing all aspects of the financial planning and investment process for Savant's clients. Bob regularly meets with clients, other advisors, portfolio managers, and financial planners to formulate and coordinate effective planning, investment and tax strategies.*

### **Item 2 – Educational Background and Business Experience**

- Bachelor of Science, University of Wisconsin, Stevens Point, WI, 1995
- Retirement Income Certified Professional®, (RICP®), 2016
- CERTIFIED FINANCIAL PLANNER™ professional, 2001
- Life, Health, Variable Contracts Insurance License, WI, 1997
- Financial Advisor, Savant Wealth Management, 07/2020-Present
- Financial Advisor, Savant Capital Management, 02/2020–07/2020
- Wealth Advisor, Huber Financial Advisors, LLC, 07/2013-04/2020
- Wealth Manager, Huber Financial Group, Ltd., 08/2007-07/2013

### **Item 3 – Disciplinary Information**

Mr. Witt does not have any disciplinary action to report. Public information concerning Mr. Witt's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Witt has no other business activities.

### **Item 5 – Additional Compensation**

Mr. Witt is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**Matthew P. Witter, CFP®, CEP, ChFC®, AIF®**

*Matthew P. Witter (b. 1986) is a Financial Advisor in Savant's Doylestown, PA office.*

**Item 2 – Educational Background and Business Experience**

- B.A. Business Administration with a Finance major and Accounting minor, West Chester University of PA – West Chester, PA, 2009
- CERTIFIED FINANCIAL PLANNER™ professional, 2016
- Chartered Financial Consultant®, 2018
- Certified Equity Professional, 2021
- Accredited Investment Fiduciary®, 2012
- Financial Advisor, Savant Wealth Management, 11/2022 – Present
- Senior Wealth Advisor, SFG Investment Advisors, Inc., 01/2018 – 10/2022
- Senior Wealth Advisor, Sharp Financial Services, LLC, 03/2016 – 01/2018
- Investment Advisor/Financial Planner Canon Capital Investment Advisory, 02/2011 – 03/2016

**Item 3 – Disciplinary Information**

Mr. Witter does not have any disciplinary action to report. Public information concerning Mr. Witter's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Mr. Witter does not have any other business activities.

**Item 5 – Additional Compensation**

Mr. Witter is an equity owner of the firm and receives a share of the firm's profits. He is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

**DeLynn M. Zell, CFP®**

*DeLynn M. Zell (b. 1964) is a Managing Director and Financial Advisor in Savant's Birmingham, AL office.*

**Item 2 – Educational Background and Business Experience**

- B.S. Accounting and Finance, Birmingham Southern College, Birmingham, AL, 1986
- CERTIFIED FINANCIAL PLANNER™ professional, 1993
- AL Insurance Producer License, 1986
- Financial Advisor, Savant Wealth Management, 12/2023- Present
- Partner, Investment Adviser Representative, Bridgeworth, LLC dba Bridgeworth Wealth Management, 08/2012-11/2023
- Registered Representative, LPL Financial, 08/2012-11/2023

**Item 3 – Disciplinary Information**

Ms. Zell does not have any disciplinary action to report. Public information concerning Ms. Zell's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 4 – Other Business Activities**

Ms. Zell serves on the Board as well as the Finance and Investment Committees for Birmingham-Southern College. She is also a member of the Greater Alabama Council of the Boy Scouts of America's Finance and Investment Committees. These activities take up less than 1% of her time during trading hours

**Item 5 – Additional Compensation**

Ms. Zell is an equity owner of the firm and receives a share of the firm's profits. She is also eligible to receive a bonus for bringing in additional assets from both new and existing clients.

**Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Matthew T. Zion, CFP®**

*Matthew T. Zion (b. 1987) is a Financial Advisor in Savant's Columbia, SC office.*

### **Item 2 – Educational Background and Business Experience**

- B.A. Chemistry and Geology, University of Colorado, Boulder, CO, 2014
- CERTIFIED FINANCIAL PLANNER™ professional, 2021
- Financial Advisor, Savant Wealth Management, 05/2023-Present
- Associate Advisor, Paragon Financial Advisors, LLC, 11/2019-05/2023

### **Item 3 – Disciplinary Information**

Mr. Zion does not have any disciplinary action to report. Public information concerning Ms. Zion's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Mr. Zion does not have any other business activities.

### **Item 5 – Additional Compensation**

Mr. Zion is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## **Nataliya V. Zook, CFP®**

*Nataliya V. Zook (b. 1992) is a Financial Advisor in Savant's Dover, DE office.*

### **Item 2 – Educational Background and Business Experience**

- B.S., Finance, Wilmington University, Wilmington, DE 2017
- MBA, Finance, Wilmington University, Wilmington, DE 2022
- CERTIFIED FINANCIAL PLANNER™ professional, 2023
- Financial Advisor, Savant Wealth Management, 07/2023-Present
- Wealth Strategist, Wealth Management Group, LLC, 01/2022–07/2023
- Junior Advisor, Wealth Management Group, LLC, 07/2017–01/2022
- Administrative Associate, Raymond F. Book & Associates, CPAs, P.A., 07/2017-07/2023
- Senior Personal Banker, Citizens Bank, 06/2014-07/2017

### **Item 3 – Disciplinary Information**

Ms. Zook does not have any disciplinary action to report. Public information concerning Ms. Zook's registration as an investment adviser representative may be found by accessing the SEC's public disclosure site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 4 – Other Business Activities**

Ms. Zook does not have any other business activities.

### **Item 5 – Additional Compensation**

Ms. Zook is eligible to receive a bonus for bringing in additional assets from both new and existing clients.

### **Item 6 – Supervision**

Our supervised person's advisory activities are supervised by Chris Walters, Chief Advisory Officer; Rob Morrison, Chief Strategy and Innovation Officer; or Kevin Hrdlicka, Chief Operating Officer. They each report to Brent Brodeski, Chief Executive Officer. In addition, Margaret M. Baer, Chief Compliance Officer, performs overall compliance supervision of our firm and its financial professionals. These individuals can be reached at (815) 227-0300.

## APPENDIX A: Professional Designations and Certifications

### **Accredited Behavioral Finance Professional™ (ABFP™)**

Issued by the College for Financial Planning, Individuals who hold the ABFP™ designation have completed a course of study encompassing advisors' emotional competencies, client interactions, and financial planning advice through a thorough understanding of psychological explanations for economic behavior and hands-on practice of knowledge.

### **Accredited Investment Fiduciary® (AIF®)**

Administered by fi360, the Accredited Investment Fiduciary® (AIF®) professional designation demonstrates knowledge and competency in the area of fiduciary responsibility and communicates a commitment to standards of investment fiduciary excellence. Holders of the AIF® mark have successfully completed a specialized program on investment fiduciary standards and subsequently passed a comprehensive examination.

### **Accredited Investment Fiduciary Analyst™ (AIFA®)**

Administered by fi360, the Accredited Investment Fiduciary Analyst™ (AIFA®) professional designation focuses on the process of conducting fiduciary assessments. The AIFA designation not only signifies the same thorough knowledge of the prudent process for investment fiduciaries that the AIF designation represents, but also the ability to perform assessments of that process. AIFA designees' primary function is to perform, or assist in, assessments of an investment steward's, advisor's, or manager's conformance to a Global Fiduciary Standard of Excellence using fi360's ISO-like procedure of assessment. AIFA designees possess the ability and knowledge to advise clients of deficiencies in investment processes. It is also the required mark to perform a CEFEX Fiduciary Certification, the independent recognition of a fiduciary's conformity to all fiduciary practices and criteria. To become an AIFA, one must have graduated from fi360's AIF training. In addition, there are specific prerequisite work experiences.

### **Accredited Portfolio Management Advisor<sup>SM</sup> (APMA®)**

Issued by the College for Financial Planning, the Accredited Portfolio Management Advisor<sup>SM</sup> program is a graduate-level introduction to portfolio construction and management. Individuals who hold the APMA® designation have gained hands-on experience involving investment policy statement analysis, portfolio building, and critical asset allocation decision making.

### **Accredited Tax Advisor (ATA)**

The Accredited Tax Advisor (ATA) is a leading national credential for practitioners who handle sophisticated tax planning issues, including planning for owners of closely held businesses, planning for the highly compensated, choosing qualified retirement plans and performing estate tax planning. In order to become an ATA, candidates must pass the ATA examination and meet a three-year experience

requirement. The Accreditation Council for Accountancy and Taxation® (ACAT) seeks to ensure that all accredited individuals possess the theoretical and practical knowledge necessary to be successful practitioners

### **Accredited Wealth Management Advisor<sup>SM</sup> (AWMA®)**

Individuals who hold the AWMA® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations for the unique needs of high-net-worth clients.

### **Behavioral Financial Advisor™ (BFA™)**

The Behavioral Financial Advisor™ (BFA™) is a professional certification developed by Kaplan to provide training to deepen client relationships through professional and personal financial planning consultation. The program is designed to help advisors' mentor and coach individuals regarding their financial decisions. To attain the right to use the BFA™, an individual must complete two comprehensive courses and pass a certifying examination.

### **Chartered Alternative Investment Analyst® (CAIA®)**

The Chartered Alternative Investment Analyst® (CAIA®) designation is conferred by the CAIA Association. The CAIA® is the globally recognized credential for professionals managing, analyzing, distributing, or regulating alternative investments. The CAIA® designation is awarded to candidates who have successfully passed the Level I and Level II examinations and met the other requirements specified by the CAIA Association.

### **Chartered Advisor in Philanthropy® (CAP®)**

The Chartered Advisor in Philanthropy® (CAP®) designation is conferred by The American College. To obtain the CAP charter, candidates must successfully complete three exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in tax strategies for charitable giving, business exit planning, estate planning, legacy planning, and gift-planning for nonprofits. Philanthropic planning offers a positive difference in communities and the world.

### **Certified Divorce Financial Analyst® (CDFA®)**

The Certified Divorce Financial Analyst® (CDFA®) is a professional certification granted in the by the Institute for Divorce Financial Analysts™ (IDFA™). To attain the right to use the CDFA®, an individual must satisfactorily complete a comprehensive course of study approved by the IDFA and pass a four-part Certification Examination that tests their understanding and knowledge of the financial aspects of divorce. In addition, candidates must demonstrate the

practical application of this knowledge in the divorce process.

#### **Certified Equity Professional (CEP)**

The Certified Equity Professional (CEP) is a designation from the Certified Equity Professional Institute, Leavey School of Business at Santa Clara University, Santa Clara, CA. CEP designees possess a broad knowledge of all aspects of equity compensation, particularly around core disciplines; Accounting; Equity Plan Design, Analysis and Administration; Corporate and Securities Law; and Taxation. The CEP designation is granted to individuals who have passed three qualifying exams, and have demonstrated mastery of equity compensation related issues in all of the core disciplines. Individuals who become designees must complete a minimum of 30 hours of continuing education every two years, and must adhere to a code of ethics and professional responsibility.

#### **Certified Estate Planner™ (CEP®)**

The CEP® (CERTIFIED ESTATE PLANNER™) is a trademark registered with the United States Patent and Trademark Office, and solely owned by the National Institute of Certified Estate Planners, Inc. This certification mark is awarded to individuals who successfully complete the NICEP certification requirements and who also comply with annual maintenance requirements.

#### **Certified Exit Planning Advisor (CEPA)**

The CEPA program is for advisors who effectively engage more business owners. CEPA integrates exit strategy into business, personal, and financial goals of the business owner. The process shows that exit strategy is business strategy. It builds, harvests, and preserves family wealth for generations to come and integrates best in class business practices into daily operations. Advisors help to develop the exit planning conversation.

#### **Chartered Financial Analyst® (CFA®)**

The Chartered Financial Analyst® (CFA®) designation is conferred by the CFA Institute. To obtain the CFA charter, candidates must successfully complete three exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity and extensive knowledge in accounting, ethical and professional standards, economics, portfolio management and security analysis.

#### **Certified Financial Planner™ (CFP®)**

The CFP® program is administered by the Certified Financial Planner Board of Standards, Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates' complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience and agree to adhere to the CFP® Board's code of ethics and professional

responsibility and financial planning standards and complete 30 hours of continuing education every two years.

#### **Chartered Financial Consultant® (ChFC®)**

The Chartered Financial Consultant® (ChFC®) designation program focuses on the comprehensive financial planning process as an organized way to collect and analyze information on a client's total financial situation; to identify and establish specific financial goals; and to formulate, implement, and monitor a comprehensive plan to achieve those goals. The ChFC® program provides financial planners and others in the financial services industry with in-depth knowledge of the skills needed to perform comprehensive financial planning for their clients. Candidates must pass an examination for six required courses and two elective courses to earn the ChFC designation.

#### **Certified Investment Management Analyst (CIMA)**

The Certified Investment Management Analyst (CIMA) certification program is the only credential designed specifically for financial professionals who want to attain a level of competency as an advanced investment consultant. The CIMA professional integrates a complex body of investment knowledge to provide objective investment advice and guidance to individuals and institutions. That knowledge is applied systematically and ethically to assist clients in making prudent investment decisions. This CIMA designation focuses on asset allocation, ethics, due diligence, risk measurement, investment policy and performance measurement. The CIMA certification program requires that candidates meet all eligibility requirements, including experience, education, examination and ethics.

#### **Certified 401(k) Professional® (C(k)P®)**

A Certified 401(k) Professional designation represents the pinnacle of achievement for the professional Retirement Plan advisor, recognizing a combination of substantial practical retirement plan management experience, and the completion of a comprehensive and highly specialized educational program. To earn the right to use the C(k)P® designation requires more than just academic aptitude. A financial professional must have demonstrated real world application of the core competencies taught in the classroom. The C(k)P® designation identifies financial professionals who have the knowledge and experience to favorably affect the outcome of corporate retirement plans.

#### **Chartered Global Management Accountant (CGMA®)**

The chartered global management accountant designations is the premier management accounting credential, indicating you have advanced proficiency in finance, operations, strategy and management. U.S. CPAs are only required to take the capstone CGMA® exam. Finance professionals in the Americas are required to take the CGMA® Finance Leadership Program and case study exams.



### **Chartered Life Underwriter® (CLU®)**

The Chartered Life Underwriter® (CLU®) credential is specifically designed to enhance the knowledge of people employed in the life insurance industry. Most, but not all, individuals who seek or have earned this designation are also licensed insurance agents or brokers. The CLU is conferred only upon successful completion of a ten-part course of study that covers fundamentals of economics, finance, taxation, investments, and other areas of risk management as they apply to life insurance. The course of study can be completed through home study or by attendance of courses offered by either a branch of the American Society of Chartered Life Underwriters or an affiliated college or university.

### **Certified Medical Planner (CMP®)**

A Certified Medical Planner® undertakes a year-long study of topics specifically related to financial planning for medical professionals. A CMP® has an expertise in catering to the needs of doctors in order to meet their specific financial goals.

### **Certified Public Accountant (CPA)**

CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA,) and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

### **Certified Plan Fiduciary Advisor (CPFA)**

The Certified Plan Fiduciary Advisor (CPFA) designation, offered through The National Association of Plan Advisors, helps clients objectively review their financial status, identify potential concerns, and recommend the appropriate

solutions for addressing retirement matters. Advisors who carry this designation have extensive knowledge on retirement plans. Annual continuing education is required.

### **Certified Retirement Counselor (CRC®)**

The Certified Retirement Counselor® (CRC®) designation, offered through The International Foundation for Retirement Education (InFRE), recognizes retirement planning professionals who demonstrate a mastery of subject matter, a commitment to the retirement planning profession, and adherence to a code of ethics and continuing education requirements.

### **Chartered Retirement Planning Counselor<sup>SM</sup> (CRPC®)**

The Chartered Retirement Planning Counselor<sup>SM</sup> (CRPC®) program is offered through the College for Financial Planning® and focuses on the pre- and post-retirement needs of individuals, asset management, estate planning, and the entire retirement planning process using models and techniques from real client situations. Candidates must pass an end-of-course, multiple-choice examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

### **Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®)**

The Chartered Retirement Planning Specialist<sup>SM</sup> (CRPS®) program is offered through the College for Financial Planning® and focuses on the design, installation, maintenance, and administration of retirement plans. The curriculum for the CRPS designation encompasses specific content covering both theory and practical application. Candidates must pass an end-of-course, multiple-choice examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

### **Certified Special Needs Consultant® (ChSNC®)**

The Chartered Special Needs Consultant® (ChSNC®) designation with specialized knowledge focused on special needs planning, including special needs trusts and helping parents and caregivers plan for immediate and long term care, as well as wisely and compassionately guiding families through complex financial decisions like estate planning, beneficiary status, and eligibility for government benefits like Social Security. Before an individual can use the ChSNC® designation, they must complete 3 required courses, comply with the American College Code of Ethics & Procedures, have at least 5 years of professional experience in financial services or the practice of law (with a focus on income tax and/or estate planning), OR have 4 years of relevant financial services experience and an undergraduate degree from a regionally accredited institution. Participation in the annual Professional Recertification Program (PRP) is required to maintain the designation.



**Certified Specialist in Retirement Planning® (CSRP)**

The Certified Specialist in Retirement Planning® (CSRP) designation is conferred by the National Institute for Excellence in Professional Education, LLC. Candidates must complete a curriculum of five core and two elective courses and pass a related exam for each.

**Certified Specialist in Estate Planning® (CSEP)**

The Certified Specialist in Estate Planning® (CSEP) designation is conferred by the National Institute for Excellence in Professional Education, LLC. Candidates must complete a curriculum of six core and two elective courses and pass a related exam for each.

**Certified Senior Advisor (CSA®)**

This designation is the leading certification for multidisciplinary professionals who have demonstrated advanced knowledge in the multiple processes of aging and serving older adults. Earning the CSA certification requires individuals to pass a rigorous exam and to uphold the highest ethical standards for the benefit and protection of the health and welfare of seniors.

**Certified Trust and Financial Advisor (CTFA)**

The Certified Trust and Financial Advisor (CTFA) designation signifies that an individual working in this field has attained comprehensive training in the following professional knowledge areas: Fiduciary & Trust Activities, Financial Planning, Tax Law & Planning, Investment Management, and Ethics. Candidates must meet the experience, education, ethics and examination requirements determined to be competency measures for wealth management professionals.

**Enrolled Agent (EA)**

An Enrolled Agent has earned the right to represent taxpayers before the Internal Revenue Service worldwide. Enrolled Agents, like attorneys and certified public accountants (CPAs), may represent all taxpayers, from individuals with simple tax returns to Fortune 100 tax returns. Enrolled Agents face no restrictions on the types of tax matters they can handle and the IRS offices before which they can practice. Enrolled Agents are licensed by the Department of Treasury and can represent taxpayers throughout the United States and overseas.

**Life Underwriter Training Council Fellow (LUTCF)**

The LUTCF designation combines essential product knowledge with the skills financial professionals must have to advise individuals and businesses effectively on their insurance and planning needs. A key to the program is ethical selling practices, and Ethics for the Financial Services Professional is required for every designee. LUTCF candidates must complete six courses, one required and five electives and pass an exam for each course. Candidates must be a member of NAIFA to be awarded the designation following completion of all of the educational requirements.

**Master of Accounting Science (MAS)**

The MAS designation is offered to individuals who already hold a Bachelor of Science in accountancy. The curriculum for the MAS designation has emphasis on the emerging trend of data analytics, problem identification and information analysis, including financial accounting, audit and tax, advisory and managerial, and forensics. The program received a STEM-designation of data analytics and an understanding of how emerging technology will impact the future.

**Master Planner Advanced Studies™ (MPAS®)**

The MPAS® designation is offered to individuals who have completed a Master of Science degree with a major in personal financial planning or financial analysis. The individual must demonstrate critical thinking skills and complex problem-solving techniques while completing assignments, projects, research and various papers to meet graduation requirements. The issuing organization is the College for Financial Planning®. To be eligible to pursue the MPAS® designation, a candidate must have earned a bachelor's or Graduate degree from a regionally accredited college or university. The curriculum for the MPAS® designation encompasses specific content covering both theory and practical application. Candidates are required to complete their combination of assignments, projects, research and papers with a minimum cumulative 3.0 GPA.

**Master of Science in Personal Financial Planning (MS)**

The MS credential is designed for individuals interested in pursuing the CFP® Certification while also earning a graduate degree. The MS curriculum focuses on practical application learning through group discussions, writing assignments, and case study projects. This hands-on approach provides a deeper understanding of the subject matter and unique real-world insights. The MS credential is the highest level of financial education possible and is accredited by the Higher Learning Commission (HLC).

**Master of Science in Taxation (MST)**

Taxation is a subdiscipline of accounting, and professionals specializing in tax matters for individuals, partnerships, or corporate taxation. This degree emphasizes U.S. tax regulations and codes. Studies include income tax, corporate tax, tax planning, inheritance tax, international tax, and tax law or tax policy. Extensive prerequisites are required.

**Personal Financial Specialist (PFS)**

The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. The PFS credential is administered through the AICPA.

**Professional Plan Consultant (PPC™)**

The PPC™ designation signifies an ability to employ best practices that help plan sponsors run successful and compliant practices and is awarded to those that complete a

curriculum based on ERISA regulations and industry best practices as it pertains to the management of a qualified plan. The issuing organization is Financial Service Standards, LLC ("FSS").

**Qualified 401(k) Administrator (QKA)**

The Qualified 401(k) Administrator (QKA) credential is offered for retirement plan professionals who work primarily with 401(k) plans. Applicants for the QKA credentials are from various professional disciplines. They typically assist employers and consultants with the recordkeeping, non-discrimination testing and the administrative aspects of 401(k) and related defined contribution plans. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QKA examination series to be a candidate for this credential.

**Qualified 401(k) Consultant (QKC)**

The Qualified 401(k) Consultant (QKC) credential was created by ASPPA to recognize professionals who has extensive defined contributions (DC) knowledge and demonstrates comprehensive understanding of 401(k) plan designs. QKCs assist with common complex topics among client-facing and technical personnel, thus increasing client satisfaction between plan sponsors and financial advisors. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's DC Advanced Compliance and Administration Topics (DC-3) examination series to be a candidate for this credential.

**Qualified Pension Administrator (QPA)**

The Qualified Pension Administrator (QPA) credential was created by ASPPA to recognize professionals who are qualified to perform the technical and administrative functions of qualified plan administration. QPAs assist employers, actuaries, and consultants in performing functions such as determination of eligibility benefits, computation of benefits, plan recordkeeping, trust accounting and disclosure, and compliance requirements. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QPA examination series to be a candidate for this credential.

**Qualified Plan Financial Consultant (QPFC)**

QPFC is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The QPFC program provides an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. QPFC is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues. A minimum of two years' experience in retirement plan related matters is required along with completion of ASPPA's QPA examination series to be a candidate for this credential.

**Retirement Plans Associate (RPA®)**

The Retirement Plans Associate (RPA) designation is earned by individuals in the group benefits arena who complete the extensive four-course curriculum and successfully pass the examination.

**Retirement Income Certified Professional (RICP®)**

The Retirement Income Certified Professional (RICP) designation is offered and recognized by the American College. Candidates must have three (3) years of industry experience and pass three self-paced courses covering the full retirement planning process.